1	Mechanical Properties of Recycled Aggregate Concrete Modified by
2	Nano-particles
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14	
15	Abstract
16	In this study, different none particles were used to modify recycled aggregates concrete (\mathbf{PAC})

In this study, different nano-particles were used to modify recycled aggregates concrete (RAC) 16 17 containing recycled clay brick aggregates (RCBAs) to improve the RAC properties. Two 18 stages of experimental works were performed. In the first stage, various nano-particle 19 mixtures produced by different mixing methods, i.e. the use of surfactant and ultrasonication, 20 were examined by optical microscope to evaluate the dispersion of the nano-particles in water 21 liquid. The nano-particles modified cement mortar specimens were further evaluated by 22 flexural tensile test to check how these mixing methods affect the properties of the 23 nano-particle modified cement mortar. In the second experimental stage, the effects of four 24 replacement ratios of recycled aggregates, three type of nano-particles, two mixing methods 25 of RAC, additional surfactant and ultrasonication process used in the mix of nano-particle 26 liquid, and the dosages of the nano-particles on the workability, compressive and split tensile 27 properties of the nano-particle modified RAC were investigated.

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Keywords: Recycled aggregate concrete (RAC), Recycled clay brick aggregate (RCBA),
 Nano-particles, Mechanical properties, Interfacial Transition Zone (ITZ), Ultrasonication

- 31
- 32 List of acronyms

List of a	cionyms		
RAC	Recycled aggregate concrete	ITZ	Interfacial Transition Zone
RCBA	Recycled clay brick aggregate	NS	Nano-SiO ₂ material
RAs	Recycled aggregates	NC	Nano-CaCO ₃ material
NAC	Natural aggregate concrete	MWCNTs	Multi-walled carbon nanotubes
NAs	Natural aggregates	CNTs	Carbon nano-tubes
f_{c}	Compressive strength	MI	Mixing method I
$f_{ m st}$	Split tensile strength	MII	Mixing method II
$f_{ m st,code}$	Calculated split tensile strength	С	Compressive tests
<i>f</i> st,150	Tested split tensile strength of cubes	Т	Split tensile tests
A	Area of split surface	UV	Ultrasonic vibration mix method
D	Diameter of cylindrical specimens	SFC	Surfactant process
H	Height of cylindrical specimens	TSMA	Two-stage mixing approach

33 1. Introduction

34 With the progress of urbanization, massive quantities of construction and demolition wastes (CDW) are generated which are not only difficult to dispose, but also cause environmental 35 pollution and economic problems [1-4]. It is reported that over 500 million tons of CDW are 36 37 produced annually in the worldwide [5]. While on the other hand the depletion of huge 38 amounts of natural resources such as the use of natural aggregates in construction also causes burden on environmental issues. Therefore, how to reduce the depletion of natural resources 39 40 and also how to promote the recycle and reuse of CDW become a worldwide challenge. One coping approach to address both issues is to develop and use recycled aggregates (RAs) 41 originated from CDW to produce recycled aggregate concrete (RAC) for new construction 42 application. In RAC, recycled aggregates (RAs) are typically used to partially or fully replace 43 44 the natural aggregates (NAs) [6-7]. RAC is considered as an economical and environmentally 45 friendly concrete which can conserve the landfilling space for CDW and reduce the shortage of natural resources [8]. 46

Research on using RAC in new concrete construction has been studied for decades [1]. 47 The major problem of promoting RACs for practice is their inferior properties when 48 49 comparing with those of NAC, e.g., such as low compressive, tensile and flexural strength, 50 high water absorption and porosity of RAs, large shrinkage of the RAC [9-11]. Due to those 51 weaknesses, the dosage of RAs used for replacing NAs for RAC has been limited, e.g., 52 normally 20%-35% or even lower replacement ratio of NAs was used in structural application 53 [12]. In most European and Asian countries, the waste clay brick aggregates occupy around 54 45%~50% of RAs since most existing constructions and buildings were made of bricks and masonries [13-15]. RAs generally consist of 45%~50% of recycled clay brick aggregates 55 56 (RCBAs) and 55%~50% of recycled concrete or bubble aggregates, and some waste glass or 57 wood chips. It is both technically and economically impossible to sort out pure recycled concrete aggregates from the mixture of RAs [9, 15]. For RAs with RCBAs obtained from old 58 59 masonry and brick wastes, normally they present higher water absorption and lower crushing 60 indices than those of RAs sorted from old concrete waste, as the original strength of bricks 61 and masonry structures are lower than that of concrete [16]. Research indicates that the 62 inferior performance of RACs is attributed to the waste mortar attached to the surface of the 63 RAs which was defined with porous, micro cracks and drawbacks [17]. Previous studies have confirmed that the mechanical properties of concrete are highly dependent on the properties 64 65 of the interfacial transition zone (ITZ) between new cement mortar and aggregates [18-24]. 66 More ITZs exist in the RAC which is another reason for the inferior quality of RAC, and the 67 relative quality of the old ITZ and the new ITZs in RAC affect the strength of the RAC 68 significantly [18]. Actually, three ITZs exist in the RAC: ITZ-1 is between the old attached 69 mortar and the natural aggregates, ITZ-2 is between the natural aggregates and the new 70 cement mortar, and ITZ-3 is between the old and new cement mortar as seen in Fig. 1(b) [20]. 71 In comparison only ITZ-2 exists in NAC as in Fig 1(c).



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76 In the past decades, researchers have tried various reinforcing and optimizing approaches 77 aiming to improve the performance of RAs and their resulting RACs. For examples, attempts 78 have been carried out to optimize the microstructures of the RAs and in turn to improve the 79 resulting RAC properties by using fly ash, silica fume with pozzolanic reaction and filling 80 ability to produce more solid micro-structures of the RAs and stronger ITZs in the RAC 81 [25-28]. Recently, the application of nano-technology has gained the momentum in different 82 fields [30-31]. Nano-materials have been used in concrete to achieve superior mechanical 83 properties and durability of conventional NAC [32-35]. Nano-materials are defined as nano-scale size particles with the diameter of less than 100 nm, such as nano-SiO₂, 84 nano-CaCO₃, nano-TiO₂, and carbon nanotubes [33-35]. Proper nano-particles can enhance 85 the strength and durability of concrete, reduce the permeability of concrete by coating the 86 87 surface of the aggregates, filling the micropores to decrease concrete porosity, accelerating the 88 hydration reaction of cement, and strengthening the bonds of interfacial ITZs between the 89 aggregates and the cement paste [35]. The potential of nano-technology in enhancing the 90 performance of concrete and developing novel, sustainable, advanced cement composites 91 with unique mechanical, thermal, and electrical properties is promising [35]. For example, 92 nano-SiO₂ (NS) particle as the most popular nanomaterial mixture in concrete modification 93 provided an excellent performance in improving the mechanical properties and durability of 94 NAC [20, 38-40]. Studies have shown that the addition of NS in fresh concrete resulted in 21.6% increase of compressive strength [38], and changed the microstructures by filling the 95 96 voids of each content of concrete mixture physically and helping form the C-S-H crystal 97 nucleus of cement paste, which in turn changed the physical properties and durability of 98 concrete mixture [36-38]. The nano-CaCO₃ (NC) particle was primarily used as filler to 99 reduce the porosity of aggregates in its powder form. Recent studies have shown that the NC 100 could accelerate the hydration rate of cement and improve the early-age properties of NAC 101 [41]. Studies have also shown that carbon nano-tubes (CNTs) could improve the mechanical properties, reduce the initial shrinkage, improve durability and modify the microstructures of 102 103 cementitious matrices [42-44]. An appropriate incorporation of surface modified CNTs with a 104 mass content of 0.4%~0.5% resulted in 19%~25% increase in compressive and flexural 105 strength of the cement pastes [45] and resulted in 35% lower shrinkage of the cement matrix [46]. Hawreen et al. [47] investigated the effects of dosages of CNTs with incorporation of 106 0.05~0.1% on the mechanical and shrinkage behavior, and found respective 33% and 65% 107

108 increase in flexural strength of mortar with 0.05% and 0.1% CNTs. In contrast, the 109 insufficient dispersion of CNTs could lead to inferior performance than that of common cement mixtures [48]. A major disadvantage of using nano-sized materials as admixture in 110 111 concrete is that the nanoscale materials tend to form agglomerates during wetting and mixing 112 due to the considerable Van der Waals' force among the molecules [44]. Unless the individual particles are well dispersed, agglomeration will reduce the exposed particle surface area and 113 114 lead to poor micro-structures of the concrete mix and in turn even reduce the properties of the 115 concrete mix [49-51]. It is crucial that the mixing water, cement and nano-admixtures are evenly dispersed and distributed down to a fine scale, otherwise the insufficient dispersing 116 117 and de-agglomeration could result in inferior concrete properties, e.g., partial stress concentration and pre-existing micro-cracks of concrete structure, and lower strength [20]. 118 119 Ultra-sonication is proved to be an effective approach for mixing, dispersing and 120 de-agglomeration of nano-particles or aggregates [52]. The ultrasonication, with its high 121 frequency of vibration, could accelerate the dispersion of nano-particles and other mixtures in 122 the concrete [53].

In view of the characteristics of nano-particles and their superior performance in promoting the properties of cement-based materials and NAC, it is worth investigating the feasibility of applying nano-materials to improve the performance of RAC with RCBAs. This study therefore aims to investigate the mechanical properties of RACs containing RCBA modified by various nano-particles. To improve the dispersion of nano-particles in the RAC, different mixing methods, e.g. surfactant and ultrasonication, were further used to process the nano-particle liquids. Specifically, the objectives of the current study include:

- to investigate the effect of ultrasonication and surfactant processes on the dispersion
 of nano-materials which is examined by microscope observation and evaluated by the
 flexural tensile test of the nano-particles modified cement mortar specimens;
- to compare the compressive and tensile properties of nano-particles (i.e., nano-SiO₂, nano-CaCO₃, and carbon nanotubes) modified fresh RAC with RCBAs and NAC, and the tested variables included the curing ages of the RACs, the replacement ratios of RAs for RAC, dosages and types of nano-particles, and two kinds of two-stage mixing methods of RAC mixtures;
- to compare the experimental split tensile results of nano-particles modified RAC with
 the predictions based on the different equations listed in concrete design standards,
 i.e., ACI 318 [56], GB 10010 [57], CEB [58], EHE [59], NBR 6118 [60].
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142 **2. Experimental program**

143 **2.1 Raw materials**

144 Recycled coarse aggregates (RCAs) used in this study were obtained from construction and 145 demolition wastes which were crushed and screened by Jinke Resource Recycling Co. in China. The RAs consisted of 55% recycled clay brick aggregates (RCBAs) and 45% recycled 146 147 concrete and mortar aggregates by mass (Fig 2(a)). The natural coarse aggregates (NCAs) 148 were natural gravels and the natural fine aggregates (NFA) were natural river sands with a 149 fineness modulus of 2.57. The physical properties and the sizes of the RAs and NAs are listed in Table 1 and Fig 2, respectively. The nano-SiO₂ (NS) and nano-CaCO₃ (NC) were purchased 150 from Hangzhou Wanjing New material CO., LTD., China, and the physical properties of NS 151

and NC are listed in Table 2 and Table 3, respectively. The type of the carbon nanotubes used 152 in the tests was the multi-walled carbon nanotubes (MWCNTs), named L-MWCNT-2040 and 153

the physical properties of MWCNTs are listed in Table 4. 154

	Table 1 Physical properties of RCA and NCA							
Transa	Particle size	Density	Porosity	Water absorption	Moisture content	Crushing index		
Types	(mm)	(kg/m^3)	(%)	(%)	(%)	(%)		
RCA	5~10	1140	10	14.8	6.5	17.3		
NCA	5~10	1620	6	1.7	0.3	10.7		

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	10	11 14 13 14 13	a)	I IU II	12 13 14	(b)	17 10 19 2		
		(Fig. 2 (aj Coarse agore	gates a)	RAs and	(0) 1 h) NA	\s		
		1 16. 2 \		gates a)	ICI IS unv	. 0) 117	15		
		Table	e 2 Physical p	oropertie	s of nan	o-SiO ₂			
		Appearance	Density ((g/cm ³)	Size (nm)	pН	Purity	Price/kg	;
	NS White	flocculent pow	vder 1.1~	1.2	15~30	5~7	≥99.5%	\$29	_
	Appearance	Table Density (g/cm ³)	3 Physical pr Size (nm)	operties Particle shape	of nano PH	-CaCO Purity	⁹ 3 Chai	racter	Prie
NC	White powder	2.5~2.6	15~40	Cube	8~9	≥98.5%	b Hydro	ophilic	9
	0	Table	e 4 Physical p	propertie	s of MV Der	VCNTs	Specific Su	urface	
	-	(nm)	Length (µm)	Purity (9	%) (g/c	vm ³)	Area (m ²	²/g)	Pric
	WCNT-2040	20-40	5-15	>97	0.	22	90-120)	\$2

materials [26]. Excessive usage of nano-particles beyond the upper limit could cause a 170 negative effect on the mechanical properties of concrete but also raise the material cost. The 171

recommended dosages of NS and NC were 1 wt.% and 2 wt.% of the cement to have an 172

optimized enhancement in the compressive or flexural properties [33, 38]. The dosage of 173

174 MWCNT used for cement was 0.1 wt.% of cement as suggested by Hawreen et al. [47]. As 175 nanoparticles are hard to disperse in the cement, thus, in current study, surfactant and 176 ultrasonication were further applied to process the nano-particle liquid.

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178 **2.2 Test matrix**

179 A total of 64 categories of RAC cubic specimens were prepared and tested to obtain the mechanical properties of nano-particle modified RACs, i.e., 48 categories of cubic specimens 180 were tested under the compression load (i.e., 16 categories of various RACs at 3d-, 7d- and 181 28d-compressive tests, respectively) and 16 categories of cubic specimens were tested under 182 183 the split tensile load at 28-day. Each category of RAC included 6 identical cubic specimens with a size of $150 \times 150 \times 150$ mm³. In total, 384 RAC cubes were constructed to evaluate the 184 185 compressive and split tensile properties of RACs. The test variables included the replacement 186 ratios of the RAs (i.e., 0 wt.%, 50 wt.%, 70 wt.% and 100 wt.%), the types of nano-particles 187 (i.e., NS, NC and MWCNT), the dosage of nano-particles (i.e., 1 wt.% and 2 wt.% of NS, 1 wt.% and 2 wt.% of NC, 0.1 wt.% of MWCNT), two different two-stage mixing approaches 188 189 for the fresh concrete mixtures (mixing methods I and II, details of these two mixing methods 190 are given in Section 2.3), the application of surfactant (denoted as SFC) and the 191 ultrasonication processes (denoted as UV, details are given in Section 2.3) for dispersing the 192 nanoparticles. The details of all the specimens are listed in Table 5, where RAC-0% (i.e., 193 NAC) represents natural aggregate concrete specimens mixed without RAs; RAC followed by a percentage represented the RAC with different replacement ratios of RAs for plain RAC 194 specimens; For nano-particles modified RAC specimens, the front letters "NS", "NC" and 195 196 "MWCNT" represent the types of RAC specimens modified by different nanoparticles; "MI" 197 or "MII" represents the concrete mixing methods as mixing method I and mixing method II 198 which are explained in the following Section 2.3; the numbers "1" and "2" denote the weight 199 ratios of NS and NC to cement, the number "0.1" denotes the weight ratios of MWCNT to 200 cement; the last percentages stand for the replacement ratios of RAs; UV means the specimens of NS or MWCNT-modified RAC mixed by using the ultrasonic vibration method 201 and the SFC was used in the production of nanoparticles liquid for all the 202 nanoparticles-modified RAC specimens; the last column means which form of the tests was 203 204 conducted on each kind of specimens, the abbreviation "C" means the compressive tests and the abbreviation "T" means the split tensile tests, and the curing ages of specimens were 205 expressed as 3d-, 7d- and 28d- in front of the abbreviations. For examples, RAC-50% 206 indicates the RAC specimens without incorporation of nanoparticles and with 50% 207 208 replacement ratio of RAs accounting for the coarse aggregates, NS-MII-1-100% indicates the 209 RAC-RCBA specimens incorporating 1 wt.% NS, with 100% replacement ratio of RAs and 210 the second mixing method, NS-MII-1-70%-UV indicates the RAC-RCBA specimens with 211 70% replacement ratio of RAs incorporating 1 wt.% NS liquid processed with both 212 ultrasonication and surfactant, and the second mixing method of RAC.

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Table 5. Details of specimens in compressive tests and split tensile tests

No. Specimen	Particle type	Particle dosage of cement	Replacement ratio of RAs (r)	Mixing method	Ultrasonic vibration	Test and age of specimens
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			by				
			weight				
1				00/			3d-, 7d- and
I	RAC-0% (NAC)			0%			28d-C/28d-T
•	D. 4 C. 500/						3d-, 7d- and
2	RAC-50%			50%			28d-C/28d-T
2	DAC 700/			700/			3d-, 7d- and
3	KAC-/0%			/070			28d-C/28d-T
4	PAC 100%			1000/			3d-, 7d- and
4	KAC-10076			10070			28d-C/28d-T
5	NS-MII-1-0%	NS	1%	0%	MII		3d-, 7d- and
5	113-1111-1-070	115	170	070	10111		28d-C/28d-T
6	NS-MII-1-50%	NS	1%	50%	MII		3d-, 7d- and
0		110	170	5070	10111		28d-C/28d-T
7	NS-MII-1-70%	NS	1%	70%	MII		3d-, 7d- and
				,			28d-C/28d-T
8	NS-MII-1-100%	NS	1%	100%	MII		3d-, 7d- and
							28d-C/28d-T
9	NS-MII-2-70%	NS	2%	70%	MII		3d-, 7d- and
							28d-C/28d-T
10	NC-MII-2-70%	NC	2%	70%	MII		3d-, 7d- and
							28d-C/28d-T
11	NC-MII-1-70%	NC	1%	70%	MII		3d-, 7d- and
							28d-C/28d-T
12	NS-MI-1-70%	NS	1%	70%	MI		3d-, 7d- and
							28d-C/28d-1
13	NC-MI-1-70%	NC	1%	70%	MI		3d-, /d- and
							200-C/200-1
14	NS-MII-1-70%-UV	NS	1%	70%	MII	UV	$3d_{-}$, /d- and $28d_{-}$ C/28d_T
							3d- 7d- and
15	MWCNT-0.1-70%	MWCNT	0.1%	70%	MII		284-C/284-T
							3d- 7d- and
16	MWCNT-0.1-70%-UV	MWCNT	0.1%	70%	MII	UV	28d-C/28d-T

Table 6 lists the details of the mix proportions of the concrete batches containing different replacement ratios of RAs and the weights of the nano-particles. The water-cement ratios of all specimens were constant as 0.4 considering the water absorption ratio of the RCAs, based on the previous studies by the authors [9, 10]. In Table 6, *r* indicates the replacement ratios of RCAs and the gross weight per cubic meters of coarse aggregates. The RAC specimens modified with 1 wt.% and 2 wt.% of NS, NC and 0.1 wt.% of MWCNT are used as the mix proportion in the batches of NS-1 and NS-2, NC-1 and NC-2, MWCNT-0.1, respectively.

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Table 6 Mix proportions of concrete mixtures

Minter	Cement	NCA	RCA	NFA	NS	NC	MWCNT	Water	r
Mixture	(kg/m^3)	(kg/m^3)	(kg/m^3)	(kg/m ³)	(kg/m^3)	(kg/m^3)	(kg/m^3)	(kg/m^3)	(%)
NAC	600.9	1041.4	-	520.2				237.5	0
RAC-50%	600.9	520.7	520.7	520.2				237.5	50
RAC-70%	600.9	312.4	729.0	520.2				237.5	70
RAC-100%	600.9	-	1041.4	520.2				237.5	100
NS-1	600.9	312.4	729.0	520.2	6.0			237.5	70
NC-1	600.9	312.4	729.0	520.2		6.0		237.5	70
NS-2	600.9	312.4	729.0	520.2	12.0			237.5	70
NC-2	600.9	312.4	729.0	520.2		12.0		237.5	70
MWCNT-0.1	600.9	312.4	729.0	520.2			0.6	237.5	70

225 In the table, NFA denotes natural fine aggregates

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227 2.3 Specimen casting and curing

228 To improve the properties of RAC modified by nano-particles, researchers recommended the 229 two-stage mixing approach (TSMA) to improve the strength and durability [21]. Quantitative 230 studies revealed that the ITZs of concrete were strengthened through TSMA based on the images of Scanning Electron Microscopy (SEM). Besides, because the poor solubility of 231 nano-particles and the strong Van der Waals forces among MWCNTs, the nanoparticles 232 233 without pre-dispersion tended to agglomerate [44]. Previous study indicated that the use of 234 surfactant as one alternative method to disperse nano-particles and prevent them from 235 agglomeration effectively [35-37]. The surfactant is one kind of stabilizer which consists of hydrophilic group and lipophilic group. The surfactant could efficiently attract and wrap 236 outside the nanoparticles into colloform due to the excellent absorbability. The formed 237 238 colloforms wear the same charge which could avoid the agglomeration of the nanoparticle 239 and help stabilize the nanoparticles. Thus, the surfactant of Gum Arabic (GA) was used to 240 promote the dispersion of nano-particles in this study. The weight ratio of all the three types 241 of nano-particles and the GA was 1:6 [37]. The GA was firstly diluted with one tenth of the concrete mixing water and the nano-particles were added into the diluent and mixed with 145 242 243 times of rotation per minute by a mixer for one min.

244 Thus, the mixture of RAC incorporating nano-particles in this study was achieved by two different TSMAs as demonstrated in Fig.3 based on the research by Li [21]. For the first 245 mixing method, namely MI, the nano-particles were immersed into the SFC diluent and 246 247 artificially stirred gently in a beaker for 1 min to achieve a uniform dispersion which was 248 macroscopically homogeneous without visible agglomeration and stratification. They were then added into RAs with the other half of the water and further mixed for another one min. 249 250 Finally, cement, sand and the rest of mixing water were poured into the mixture and mixed for 251 another 1.5 min. In the second method, namely MII, the nano-particles were also premixed with the surfactant diluent for 1 min. The cement, sand and RAs were firstly mixed for 0.5 252 253 min, then all the water was poured in the mixture and mixed for another 0.5 min. Finally, the 254 nano-particles admixture was added into the concrete mixture and mixed for 1.5 min.

For MWCNT or NS-modified RAC, the alternative ultrasonication method was used to compare with the traditional hand-up stirring method, since it is well known that both the ultrasonic energy could achieve an effective dispersion of nano-particles in water [59].

Ultrasonication transforms line voltage into mechanical vibrations and these mechanical 258 vibrations could be transferred into the liquid by creating pressure waves. This action causes 259 the formation and violent collapse of microscopic bubbles and creates millions of shock 260 261 waves increasing the temperature of the liquid mixtures [21]. Although the amount of energy 262 released by each individual bubble is very small, the cumulative effect could cause extremely 263 high levels of energy to be released and lead to sufficient dispersion of nanoparticles. UV was used during the fabrication of nano-particle liquid admixtures and vibrated for 10 min before 264 the well-dispersed nano-particle admixtures were added into the mixture of concrete [59]. 265 After proper mixing, the fresh concrete was poured into the specified moulds and kept for 24 266 hours under plastic sheet for maintaining moisture. Then the specimens were demoulded and 267 cured in the standard concrete curing room for 3 days, 7 days and 28 days, respectively for 268 269 different tests.

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277 **2.4 Test setup**

The compressive and split tensile tests were carried out using a high-stiffness servo-hydraulic compressional testing machine with a capacity of 20,000 kN as illustrated in Fig. 4(a). Two steel plates were used to balance the pressure. The load control method was adopted with the loading speed of 0.5 MPa/s. The split tensile tests using the prefabricated molds are shown in Fig. 4(b). The molds for splitting tensile tests could better create a pure split tensile loading condition for cubic specimens.



Fig. 4 Test setups: (a) compressive testing, (b) split tensile test, (3) schematic view of standard cement mortar flexural tensile test

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285 **3. Process of nanoparticles and experimental setup**

286 3.1 Microscope observation

The major challenge associated with the incorporation of nano-particles in cement-based materials is how to disperse them evenly in the matrix. In this section, the dispersing efficiency of ultrasonication and surfactant processing methods on MWCNT and NS liquids were evaluated, and the samples were defined as the artificially mixed MWCNT/NS liquid

- 291 without any process, MWCNT/NS incorporating surfactant liquid and MWCNT/NS liquid with process of UV. It should be pointed out that the trial tests of NC liquid have not 292 293 considered here due to the similar dispersed mechanism to NS [35]. For plain MWCNT/NS 294 liquid which acted as the control group, the MWCNT/NS nano-particles were mixed with 295 some pure water and manually stirred for 10 min. For MWCNT/NS incorporating surfactant 296 liquid, the surfactant was initially diluted with same amount of water as the control group, then the MWCNT/NS nano-particles were mixed with the dilution and manually stirred for 10 297 298 min. For MWCNT/NS liquid with process of UV, the MWCNT/NS nano-particles were mixed with same amount of water as the control group and the ultrasonic processor with a 299 300 cylindrical top was operated into the mixture for 10 min.
- The NS and MWCNT liquid solutions with and without surfactant and ultrasonic process 301 302 were tested and observed using optical microscope. As shown in Fig.5, the MWCNT liquid 303 mixture without surfactant or ultrasonication tended to stick together indicating a poor 304 dispersion of the nano-particles. In comparison, for MWCNT with surfactant (Fig 5(b)) or 305 ultrasonication (Fig 5(c)), the dispersion of MWCNT tended to be uniform. For NS liquid mixture shown in Fig. 6, the NS liquid showed somehow a better dispersion than the plain 306 MWCNT liquid, while for NS liquid with SFC or UV process presented more uniform 307 308 dispersion. In conclusion, the SFC or UV process were necessary for fabrication of MWCNT 309 liquid, and the SFC or UV process could result in NS liquid with much better dispersion.



(a) MWCNT liquid (b) MWCNT liquid with surfactant (c) MWCNT liquid with UV Fig.5 Micrographs of MWCNT liquids with different treatment

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(a) NS liquid (b) NS liquid with surfactant (c) NS liquid with UV Fig.6 Micrographs of NS liquids with different treatment

- 317 3.2 Mechanical tests and results
- 318 Nano-particles modified cement mortar samples with or without surfactant or ultrasonication
- 319 process were tested to investigate the effect of using these two different additional processes
- 320 on the compressive strength and flexural tensile strength of these nano-particle modified

321 cement mortars at 3d, 7d and 28d. The cement mortar samples with a size of $40 \times 40 \times 160$ mm³ were prepared for the flexural tensile test using a standard cement mortar flexural tensile 322 testing machine as illustrated in Fig.4(c) and samples with size of $70.7 \times 70.7 \times 70.7$ mm³ were 323 324 conducted for compression tests. Six categories of cement mortar samples were considered as 325 untreated cement mortar, one NS liquid treated with surfactant alone, one NS liquid treated 326 with ultrasonication process alone, one MWCNT liquid treated with surfactant alone, one MWCNT liquid with ultrasonication process alone, and one MWCNT liquid treated with both 327 surfactant and ultrasonication treatments. Each category consisted of six identical samples. It 328 329 should be pointed out that the trial tests of NC liquid have not considered here due to the 330 similar dispersed mechanism to NS [35]. The cement to sand ratio and water to cement ratio of the cement mortars were 1:2.5 and 1:2 respectively. The weight ratios of NS and MWCNT 331 332 were 1 wt.% and 0.1 wt.% of cement weight respectively. The defoamer of polyether mixture 333 was used to suppress the bubbles in cement mortar and construct dense cement samples. The cement mortar specimens were demolded after 24h and placed in the $(23 \pm 2)^{\circ}$ ° water for 6 334 days, then cured in the concrete standard curing room. 335

The compressive strength and flexural tensile strength of various cement mortar specimens 336 337 tested at 3d, 7d and 28d are displayed in Fig. 7 and 8, where C indicates the cement. The processing methods are denoted with "+", the "SFC" means the operation of surfactant, the 338 339 "UV" means the operation of ultrasonication. For examples, sample C+NS+UV denotes a 340 mortar specimen incorporating NS with ultrasonication cement process, C+MWCNT+SFC+UV denotes a cement mortar specimen incorporating MWCNT with both 341 342 surfactant and ultrasonication process.





Fig.7 Compressive strength of cement mortar



Fig.8 Flexural tensile strength of cement mortar

347 Based on Fig. 7 and 8, both the compressive strength and flexural tensile strength of cement 348 mortar increased with the age reasonably. The NS or MWCNT treatment improved the both 349 the compressive strength and flexural tensile strength of cement mortar slightly, with 350 surfactant and ultrasonication processes, the improvement tended to be more obvious, especially for the combined treatment of both surfactant and ultrasonication. Hence, all the 351 nano-particles liquids used in the RAC-RCBA specimens of the following 3d-, 7d- and 352 28d-compressive and 28d-split tensile tests were duilted with surfactant, and the additional 353 354 ultrasonication combined with surfactant were performed in two categories of NS modified 355 and MWCNT modified RAC-RCBA specimens.

356

357 4. Results and Discussion

358 4.1 Slump of fresh concrete

359 The slump tests were performed to investigate the workability of fresh untreated RAC and the 360 nano-particle modified RAC according to the standard GB/T 50080-2002 [60]. The average tested slump values and the coefficients of variation (COV) are shown in Fig. 9. The slump of 361 untreated RAC without nano-particles decreased with an increase of the replacement ratio of 362 363 the RAs. The slump of RAC decreased compared to that of NAC (i.e., specimens RAC-0%). 364 The reasons might be attributed to the large porosity and the high water absorption ratio of the recycled clay brick aggregates which led to a reduction of mixing free water and an increase 365 366 of the viscosity of the mix. As seen in Fig. 9(b), the slump of NS-modified RAC also 367 decreased with an increase of the replacement ratio of RAs, and the slump decreased 368 significantly with the increase of the NS dosage from 1% to 2%. This might be interpreted by the large surface area and more free water absorption of the NS particles [27]. The first TSMA 369 method (i.e., MI) adopting UV approach also decreased the slump of the RAC, which could 370 371 be explained by the higher water absorption with better dispersion of NS admixture. For 372 NC-modified RAC, the similar effect of ultrasonication and MI on the slump was also found 373 as shown in Fig. 9(c). The slumps of NC-modified RAC were larger than those of the 374 corresponding NS-modified RAC. The MWCNT-modified RAC exhibited the lowest slump

375 considering the positive effect of MWCNT on the early hydration process of the cementitious 376 matrix [56]. Overall, both the existing RAs and the addition of nano-materials could weaken 377 the workability of RAC due to the high water absorptions of the RAs and the three types of 378 nano-particles used in this study. The processes of promoting the dispersion using the 379 surfactant and ultrasonication approaches resulted in the reduction in the slump values of 380 RAC due to the enlarged surface area and increased water absorption of the nano-particles.



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381 382

(b)



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Fig.9 Slump values of RAC and RAC modified with nanoparticles: a) untreated RAC b) NS
 modified RAC and c) NC modified RAC and MWCNT modified RAC

390 **4.2 Failure modes and crack propagation**

The failure modes of nano-particle modified RAC under compressive load were observed at 391 392 different stages of the loading. It was found that the crack propagating process was similar for 393 all the RAC specimens. There were small cracks developed in the concrete when the 394 compressive loading was within 30% of the peak load and these micro cracks concentrated 395 around the ITZ according to the previous studies [18, 23]. During the second stage when the compressive loading was more than 30% but lower than 80% of the peak load, more 396 397 longitudinal cracks occurred than the transvers cracks. When the compressive load was 398 beyond 80% but less than 100% of peak load, the cracks developed dramatically with tearing sounds. After reaching the peak load, the external mortar of RAC cubic specimens fell off and 399 the specimen was crushed. 400

The final crack patterns of untreated NAC and RAC, NS modified RAC cubic specimens are shown in Fig. 10. In general, the failure patterns of different nano-particle modified RAC were the same as that of NAC or that of RAC with a diagonal pyramid rupture. Compared with the NAC, most RAs in the RAC were crushed under the compressive load, while most coarse NAs maintained unbroken. This phenomenon could be interpreted by the lower crushing index of NAs (i.e., 10.7%) compared to that of the RAs (i.e., 17.3%).



409 Fig.10 Failure modes under compression load: a) untreated NAC, b) untreated RAC and c)
410 1wt.% NS modified RAC

411

412 Fig11 shows the failure modes of untreated NAC and RAC, NS modified RAC cubes under 413 split tensile load. The ruptured patterns of NS modified RAC were also similar to that of 414 untreated NAC or the untreated RAC with one single longitudinal crack. Overall, the addition

- 415 of nano-materials did not change the failure mode of the RAC under either the compressive or 416 split tensile load
- 416 split tensile load.



418 (a) (b) (c)
419 Fig.11 Failure modes under split tensile load: a) untreated NAC, b) untreated RAC and c) NS

split tensile load: a) untreated NAC, modified RAC

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422 **4.3 Compressive strength**

423 The results of compressive strength for the different RAC specimens tested at 3-day, 7-day and 28-day are listed in Figs. 12-15. For untreated RAC cubic specimens with different 424 425 replacement ratios of RAs, an increase in replacement ratio of the RAs led to a reduction in 426 the 3d- and 7d-compressive strength due to the higher crushing index of RAs compared with 427 that of NAs. The reduction in the compressive strength of RAC became insignificant with an 428 increase in the replacement ratio of RAs. Specifically, the difference in the compressive 429 strength was marginal when the replacement ratios increased from 70% to 100%. For instance, 430 when the replacement ratio of RAs increased from 0% to 50%, the reduction ratios in the compressive strength for RAC at 3d, 7d and 28d were 10.6%, 10.6% and 10.6%, respectively. 431 432 While increasing the replacement ratios from 70% to 100%, the reduction ratios in the 433 compressive strength of RAC tested at 3d, 7d, and 28d were 5.9%, 5.8% and 4.6%, 434 respectively as Fig. 12.

420





Fig. 12 Compressive strength of untreated RAC



Fig 13 shows the compressive strength of NS modified RAC specimens with different 438 439 replacement ratios of RAs tested at 3d, 7d and 28d. Generally, the compressive strength of the 440 specimens increased with the extension of the curing duration. The 7d- and 28d-compressive 441 strength of RAC specimens with NS incorporation increased compared to those of untreated RAC corresponding to the same replacement ratios of the RAs, as shown in Figs. 10 and 11. 442 443 For 7d-compressive strength of RAC incorporating NS specimens, the increments compared 444 to those of untreated RAC counterparts at 7d were around 4.0%~12.3%. For 28d-compressive 445 strength of RAC incorporating NS specimens, the increments compared to those of untreated 446 RAC counterparts at 28d were around 4.1%-16.8%. It could be indirectly found from the 447 improvement on the compressive strength that the NS plays one crucial role in filling the micro voids of both coarse and fine aggregates and promoting the mix of concrete mixture. 448 The 3d-compressive strength of NS-modified RAC specimens showed an insignificant trend 449 that the 3d-compressive strength of NS-modified RAC with 0% and 100% specimens were 450 slightly lower than those of corresponding untreated RAC with 0% and 100% specimens. The 451 possible reasons for the opposite variation trends of the early-age compressive strength of NS 452 453 modified RAC could be the reaction duration of the NS with the cement mortar and mixing 454 water, and that postponed the development of early-age compressive strength.





456 Fig. 13 Compressive strength of NS-modified RAC with different replacement ratios of RAs

458 Fig.14 showed the 3d-, 7d- and 28d-compressive strength of the NS-modified RAC with 459 different TSMAs (i.e., MI and MII), dosages of NS (i.e., 1 wt.% and 2 wt.%) and the 460 participation of ultrasonication. Generally, the specimens with an increase of NS dosage or ultrasonication process showed a higher 3d-, 7d- and 28d-compressive strength, e.g., the 461 462 NS-MII-2-70% specimens with 2% dosage of NS and NS-MII-1-70%-UV specimens with 463 ultrasonication process presented 15.8% and 20.3% higher 28d-compressive strength than that of the NS-MII-1-70% specimen, respectively. The increased strength could be interpreted by 464 465 more NS used and better dispersion with extra ultrasonication that led to a better filling effect of the internal voids, which accelerating the motion of nano-particles, and further promoting 466 the nano-particle dispersion and mixing with the concrete. For specimens NS-MI-1-70% and 467 468 NS-MII-1-70%, it could be found that the concrete mixture by the first TSMA could promote the 3d- and 7d-compressive strength of RAC better than the specimens treated by the second 469 TSMA, but the first TSMA resulted in less increments of 28d-compressive strength than the 470 specimens with the second TSMA. This might be because the NS admixture were initially 471 472 mixed with dry RAs and the NS preferentially densified the microstructures of RAs. While 473 the initial improvement of NS was concentrated the promoting function on the ITZ-1 regardless of another two ITZs in Fig. 1(b). For the second TSMA, the NS admixture was 474 475 mixed with the mixture of all concrete raw materials so that the NS could benefit for all ITZs 476 and promote the final compressive strength of RAC more apparently.





The Fig. 15 showed the 3d-, 7d- and 28d-compressive strength of respective NC modified 481 482 RAC specimens and MWCNT modified RAC specimens. The addition of NC improved the 28d-compressive strength of RAC and 3d-, 7d- and 28d-compressive strength increased more 483 484 with an increase of NC dosages, while for NC modified RAC specimens with 1 wt.% NC 485 admixture, the 3d- and 7d-compressive strength were lower than those of the untreated RAC 486 specimens, indicating the postpone of the early-age strength development when applying NC 487 in RAC. The NC modified RAC specimens with MII mixing method exhibited higher 3d-, 7dand 28d-compressive strength than NC modified RAC specimens with MI. The MWCNT 488 489 modification resulted in the highest growth of 28d-compressive strength of RAC with the least dosage compared to NS modified RAC and NC modified RAC. The ultrasonication 490 491 process also increased the compressive strength of the MWCNT-modified RAC. The compressive strength increments of MWCNT-modified RAC with and without ultrasonication 492 493 compared to that of the untreated RAC were 38.7% and 42.2%, respectively.



Fig. 15 Compressive strength NC modified RAC and MWCNT modified RAC

497 Therefore, an increase of the dosage of both NS and NC incorporation for RAC (up to 2 wt.% 498 of cement) increased the 3d-, 7d- and 28d-compressive strength of RAC. Among these three 499 kinds of nano-materials, the MWCNT modification exhibited the largest improvement on the 500 compressive strength of the RAC, followed by the 2 wt.% NS modification, the 2 wt.% NC 501 modification, the 1 wt.% NS modification and the 1wt.% NC modification successively. The 502 second TSMA resulted in superior compressive strength of the RAC compared with the first 503 TSMA, and the treated specimens with ultrasonication combined with SFC treatment process 504 also exhibited higher compressive strength than those with SFC treatment alone. The improvement on the compressive strength of nanoparticles modified RAC could be predicted 505 506 from the former compression tests on cement mortar. The compressive strength of concrete could be generally determined by the cement strength and water-cement ratio, since the 507 nanoparticle admixtures have optimistic influence on the compressive strength of cement 508 509 mortar, the resulting promotion on the compressive strength of concrete was foresaw 510 available.

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496

512 Table 7 Comparison of compressive strength with available test results in literature [27, 513 54-55]

			Incren	nents o	f comp	ressive	strengt	h (MPa))	
Data source	0.75	% NS	1.5%	% NS	1%	NS	2%	NS	3%	NS
	7d	28d	7d	28d	7d	28d	7d	28d	7d	28d
Li et al. [54, 55]	-	-	-	-	6.0	6.1	7.0	8.5	-	-
Bibhuti et al. [27]	1.1	2.8	1.7	4.3	-	-	-	-	3.8	6.2
Present study	-	-	-	-	3.9	4.8	6.3	10.9	-	-
Relative error	±	0.94 M	Pa for	7d, ±1	.66 MI	Pa for 2	8d-con	npressiv	e stren	gth

515 It should be noted here that the test compressive strength was also compared with experimental results obtained in the existing literatures, as listed in Table 7 [27, 54-55]. The 516 517 7d and 28d compressive strength of similar specimens were provided. For Li's studies [54-55], 518 the 7d and 28d compressive strength of NS modified recycled concrete specimens with 1% 519 and 2% dosages of NS were offered, the increment of compressive strength varied from 20.7% to 24.9%. In study of Bibhuti [27], the dosages of NS were 0.75%, 1.5% and 3%, 520 521 respectively, and the 7d and 28d compressive strength of NS modified recycled concrete 522 specimens were also given. Considering the differences of test set in above studies, the 523 relative errors of 7d and 28d compressive strength were the mean deviation based on the classification as low NS dosage category (0.75%, 1% and 1.5%) and high NS dosage category 524 525 (2% and 3%), respectively. The relative errors were ± 0.94 MPa for 7d and ± 1.66 MPa for 526 28d-compressive strength, respectively, indicating the reasonability and accuracy of the data 527 in present study.

528

529 4.4 Split tensile strength

530 4.4.1 Tested results and discussion

531 Fig. 16 shows the variation of split tensile strength of nano-particle modified RAC. As shown 532 in Fig. 16(a), the split tensile strength of both untreated RAC and RAC incorporating 533 nano-SiO₂ decreased with an increase of the replacement ratios of the RAs. All the three types 534 of nanoparticles used in the RAC improved the split tensile strength, the MWCNT 535 modification with the least dosages exhibited the most remarkable enhancement in the split tensile strength of the RAC, i.e., 16.9%~20.4% increment compared to corresponding plain 536 537 RAC-RCBA specimens with the same 70% replacement ratios of RAs, while those 538 increments of NS modification were around 6.0%~20.8% and 4.9%~11.4% for NC 539 modification. An increase in dosage of both NS and NC also caused an increase in split tensile 540 strength of the RAC, i.e., 7.3% increment rate of 2% dosage of NS modified RAC than 1% NS dosage, 5.6% increment rate of 2% dosage of NC modified RAC than 1% NC dosage. 541

542 The MII mixing method used in modified RAC exhibited slightly higher split tensile strength 543 than the specimen treated by MI, e.g., the split tensile strength of NS modified RAC using MII was 2.4% larger than that of using MI, and the split tensile strength of NC modified RAC 544 using MII was 0.4% larger than that of using MI. Better effects of MII over MI could be due 545 to the same reasons as that in the scenario of compression tests in Section 4.2: MII resulted in 546 547 a comprehensive enhancement in the all the three ITZs in Fig 1(b), while the first TSMA only 548 acted on the ITZ-1 of the RAs. Nevertheless, the ultrasonication process presented a slight 549 improvement on the split tensile resistance on the RAC when incorporating NS or MWCNT, 550 and that was different from the scenario of compression test. The cause for this difference 551 could be that the split tensile strength is primarily dependent on the split tensile strength of mixture materials themselves, e.g., the split tensile strength of concrete itself or the effect of 552 553 nano-materials, and the ultrasonication process could not change the split tensile strength of 554 concrete itself.

555 The increased split tensile strength of nanoparticles modified RAC could also be expected 556 from the increased flexural tensile strength of cement mortar. As explained, the strength of 557 natural aggregates and sand were generally much higher than the strength of set cement, the

rupture plane of concrete generally occurred on the interface between cement and aggregates or on the cement. The strength of concrete mainly depends on the strength of the set cement and the interface with aggregates, which directly determine the cement strength and water-cement ratio. Therefore, the promotion of split tensile strength of RAC would consequently occur.





(a)



565



Fig. 16 Variation of split tensile strength: a) untreated RAC and NS-modified RAC with
different replacement ratios of RAs, b) NS-modified RAC, NC-modified RAC and
MWCNT-modified RAC with different mixing methods and dosages of NS or NC

571 Besides, the test split tensile strength in this present study was also compared with the

572	experimental results provided by Bibhuti [27], as listed in Table 8. The average difference was
573	barely ± 0.017 MPa for 28d-split tensile strength, indicating the reliability of the tests in

574 present study.

I	1	8			
Data gourge	In	crements of 2	28d-split tensil	e strength (MPa	.)
Data source	0.75% NS	1.5% NS	1% NS	2% NS	3% NS
Bibhuti et al. [27]	0.14	0.24	-	-	0.34
Present study	-	-	0.17	0.33	-
Relative error	± 0.017 MPa for 28d-split tensile strengt				

575 Table 8 Comparison of split tensile strength with available test results in literature [27]

576

577 4.4.2 Evaluation by design standards

A comparative study between the test results and the split tensile strength values calculated by 578 579 formulations presented in different concrete design codes (Table 9 [56-60]) was conducted 580 and shown in Fig.17, where f_{st} is the predicted split tensile strength, f_c is the corresponding 581 compressive strength of specimens. The compressive strength (f_c) for all the formulas listed in 582 Table 9 referred to 28d-compressive strength based on tests on cubic specimens. The cubic specimens with size of $150 \times 150 \times 150$ mm³ or cylindrical specimens with size of 583 584 diameter \times height=150 \times 300mm² are generally used as the standard specimens in the split 585 tensile strength test of concrete. It should be noticed that the split tensile strength in all the 586 standard relations referred to the split tensile strength of cylindrical specimens. Therefore, a correction factor of 0.8 is adopted for converting the tested 28-day compressive strength (f_c) 587 588 of cubic specimens to cylindrical strength [63]. Since the cubic specimens were selected to test the split tensile strength in this research, the size effect was considered in the code 589 590 calculated values as Eq. (1) [64], where the $f_{st,150}$ is the 28-day split tensile strength of cubic specimens with size of $150 \times 150 \times 150$ mm³, A is the area of split surface. Therefore, the 591 592 relationship between the calculated split tensile strength values $f_{st,code}$ of cylindrical 593 specimens based on the codes and the tested cubic specimens $f_{st,150}$ in this research was 594 $f_{st.code}/f_{st.150} = 0.83.$

$$f_{st}/f_{st,150} = 3.606A^{-0.136} \tag{1}$$

596 Based on Fig.17, the test data were coincided with the calculated values based on Spanish 597 code formula [59], and other code formulas overestimated the split tensile strength values.



595

Table 9 Formulations of relationship between compressive strength and split tensile strength of concrete in different concrete design codes

	of concrete in uniferent concrete design codes
	Split tensile strength
ACI 318 [56]	$f_{st} = 0.56 \times \sqrt{f_c}$
GB 50010 [57]	$f_{st} = 0.19 \times f_c^{0.75}$
CEB [58]	$f_{st} = 1.56 \times (\frac{f_c - 8}{10})^{2/3}$
EHE [59]	$f_{st} = 0.21 \times f_c^{2/3}$
NBR 6118 [60]	$f_{st} = 0.3 \times f_c^{2/3}$





Fig.17 Comparison of split tensile strength with Standard formulas: (a) untreated RAC, (b) NS modified RAC with different replacement ratios of RAs, (c) NS-modified RAC with different mixing methods and dosages of NS, (d) NC modified RAC and MWCNT modified

Furthermore, the split tensile strength-compressive strength relationship for application in all three types of nano-particle modified RAC and untreated RAC was regressed based on the formula in EHE [59] and displayed as Fig.18:

$$f_{st} = 0.257 f_c^{0.589} \tag{2}$$

The predicted values based on the modified formula were compared with the experimentalresults shown in Fig.19. The predicted results matched the experimental split tensile strength

quite well with average relative error of 0.62%.







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Fig.19 Comparison between test data and Eq. (2) calculated values

627 **5. Conclusions**

This paper investigated the mechanical properties of recycled aggregate concrete (RAC; with recycled clay brick aggregates (RCBA) as the coarse aggregates) modified by nano-particles, i.e. nano-SiO₂ (NS), nano-CaCO₃ (NC) and multi-wall carbon nanotubes (MWCNTs). Two experimental phases were carried out. In the first stage, nano-particle liquid with different mixing methods, i.e. surfactant and ultrasonication, were tested and analyzed by microscope

- and flexural tensile loading to identify the most effective mixing method for dispersing nano-particles in water liquid. In the second stage, experimental work was conducted to investigate the effects of replacement ratios of RAs in RAC (i.e., 0%, 50%, 70% and 100%), type of nanoparticles (i.e. NS, NC and MWCNT), mixing methods (i.e., MI and MII,), nano-particle liquid process of ultrasonication and surfactant, dosages of nanoparticles (i.e., 1 wt.% and 2 wt.% of NS and 1 wt.% and 2 wt.% of NC, 0.1 wt.% of MWCNT) on the
- workability and mechanical properties of nano-particle modified RAC specimens. The study revealed that:
- Both the processes of surfactant and ultrasonication improved the dispersion of all the
 three types of nanoparticles used in this study.
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- 4. The split tensile strength of untreated RAC and nanoparticle-modified RAC also
 decreased with an increase of replacement ratios of RAs, the split tensile strength of RAC
 incorporating NS or NC increased with an increase of dosages of NS or NC.
- 5. The tested split tensile strengths were compared to the predicted values from the formulas
 given in five different standards based on the relationship between compressive strength
 and split tensile strength. Besides the Spanish code [59], other codes overestimated the
 split tensile strength. The modified split tensile strengths-compressive strength
 relationship formula was regressed and evaluated.
- 659 Overall, this study confirmed that the nano-particle modified RAC is promising for structural 660 application with desirable mechanical properties. In the future study, the effects of different 661 experimental parameters such as wider ranges of dosages of nano-particles, surfactants of 662 specimens, and flexural loading responses need to be evaluated.
- 663

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- 669

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