

1 **Title:**

2 Leaning the trunk forward decreases patellofemoral joint loading during uneven running

3 **ABSTRACT**

4 While decline surfaces or a more upright trunk posture during running increase the patellofemoral joint
5 (PFJ) contact force and stress, less is known about these kinetic parameters under simultaneous changes
6 to the running posture and surface height. This study aimed to investigate the interaction between *Step*
7 (10-cm drop-step and level step) and *Posture* (trunk angle from the vertical: self-selected, ~15°; backward,
8 ~0°; forward, ~25°) on PFJ kinetics (primary outcomes) and knee kinematics and kinetics as well as hip
9 and ankle kinetics (secondary outcomes) in twelve runners at 3.5 ms⁻¹. Two-way repeated measures
10 ANOVAs ($\alpha = 0.05$) revealed no step-related changes in peak PFJ kinetics across running postures;
11 however, a decreased peak knee flexion angle and increased joint stiffness in the drop-step only during
12 backward trunk-leaning. The *Step* main effect revealed significantly increased peak hip and ankle
13 extension moments in the drop-step, signifying pronounced mechanical demands on these joints. The
14 *Posture* main effect revealed significantly higher and lower PFJ kinetics during backward and forward
15 trunk-leaning, respectively, when compared to the self-selected condition. Forward trunk-leaning yielded
16 significantly lower peak knee extension moments and higher hip extension moments, whereas the opposite
17 effects occurred with backward trunk-leaning. Overall, changes to the running posture, but not to the
18 running surface height, influenced the PFJ kinetics. In line with the previously reported efficacy of forward
19 trunk-leaning in mitigating PFJ stress while even or decline running, this technique, through a distal-to-
20 proximal joint load redistribution, also appears effective during running on surfaces with height
21 perturbations.

22 **Key words:** locomotion, posture, joint mechanics, knee, injury, uneven ground

23 INTRODUCTION

24 The popularity of running continues to increase, due to being a low cost and easily accessible form of
25 physical activity with obvious health advantages (16). In 2019 alone, recreational runners globally ran
26 over 2 billion kilometres with an average distance of 6.5 kilometres per run (29). The vast majority of
27 running is undertaken outdoors (30), where natural terrain is rarely flat. This requires runners to frequently
28 modulate their locomotor behavior for expected or unexpected alterations in surface compliance and/or
29 surface height (20). Considering the potential impact of uneven surface on the dynamics of human
30 locomotion (12), the analysis of running on uniform even surfaces (e.g. on a regular treadmill) may less
31 reflect an accurate representation of habitual running experience in a real-world setting (13). Hence, the
32 results from experimental analyses resembling uneven surface are more likely to directly translate to
33 running in a field environment.

34 Despite the health benefits of running, there is a high prevalence of running-related lower-limb injuries
35 (i.e., 19% to 79%) (40), mainly due to the repetitive nature of biomechanical loading during running (37).
36 The most frequently reported running-related symptom is patellofemoral pain (PFP; 25% of all running
37 injuries) (31). PFP can be aggravated by weight-bearing activities such as climbing stairs, squatting,
38 jumping and running, which increase compressive forces acting on the patellofemoral joint (PFJ) (44).
39 Elevated PFJ stress (PFJ_{stress}) is one of the most widely proposed mechanisms of PFP among runners (24).
40 Considering the nature of repetitive, cyclic movements during running, any decrease in load magnitude
41 borne by the knee joint during the stance phase may be significant in reducing the risk of overuse knee
42 injuries. As a complex condition, PFP is linked with biomechanical changes local (tibiofemoral and
43 patellofemoral), proximal (hip) and distal (ankle) to the knee joint (6).

44 Previous research has illustrated several modifications in posture and/or technique aimed at mitigating
45 running related PFP. For instance, switching from rear- to mid- or fore-foot strike pattern induces a distal

46 shift of mechanical loading from the knee to the ankle (11, 41). Increasing the running step rate diminishes
47 the negative work performed at the hip and knee joints (14) or decreasing the step length can decrease
48 PFJ_{stress} (42, 43). Similarly, postural modifications such as forward trunk-leaning appears effective in
49 reducing PFJ_{stress} (10, 32, 33), since trunk orientation during walking (18) or running (1, 2, 32, 33) also
50 significantly redistributes the mechanical demands of lower-limb joints. This is mainly due to changing
51 the orientation (3) and position (28) of the ground reaction force vector relative to the lower-limb joints.
52 Forward trunk-leaning has already been shown to mitigate PFJ_{stress} during running on even (32, 33) or
53 sloped (15) surfaces. During even running (32, 33), the reduction of PFJ_{stress} due to forward trunk-leaning
54 occur without placing further mechanical stress on ankle plantar-flexors (32-34). This strategy differs to
55 changing foot-strike pattern and step-rate in so much that the latter modifications shift biomechanical
56 loading more distally (10, 19, 27), which might be associated with higher risks of ankle and foot injuries.
57 The slope of running surface also influences PFJ_{stress} . Running on a decline treadmill elevates peak
58 PFJ_{stress} , while incline running does not (15). Elevated joint stress during decline running is mainly
59 attributed to less forward trunk-leaning. Considering outdoor running is performed on uneven ground, the
60 PFJ kinetic profile may alter in response to surface height changes. This is mainly due to the fact that,
61 compared to even running, the accommodation of downward steps during running is associated with more
62 extended lower-limb joints and a more vertical leg orientation (1, 21), leading to an altered pattern of
63 lower-limb joint kinetics.

64 One can argue that an altered trunk orientation conceivably influences PFJ lower-limb joint kinetics
65 differently with changing surface height. Hence, assessing the role of trunk posture in reorganization of
66 the lower-limb joint mechanics associated with PFJ_{stress} under perturbed locomotion may provide further
67 insight into running-related patellofemoral pain and guide the development of preventive and/or
68 conservative interventions effective to reduce PFJ load in the runners. In this framework, the aim of this

69 study was primarily to determine the effects of sagittal plane trunk posture on PFJ kinetics during running
70 across uneven ground surface, namely an expected 10 cm drop-step (DS10; Figure 1). **We hypothesized**
71 **that running with forward and backward trunk-leaning would decrease and increase PFJ kinetics (contact**
72 **force and stress) compared to self-selected trunk posture, and the changes in PFJ kinetics would be greater**
73 **during the DS10 compared to level, unperturbed step (LS).**

74 **METHODS**

75 *Experimental Approach to the Problem*

76 We used a cross-sectional repeated measures study design to evaluate the interaction of changes to the
77 running surface height (10 cm expected drop-step) and running posture (backward and forward trunk-
78 leaning from a self-selected trunk posture) on kinetic behaviour of lower-limb joints, including hip,
79 tibiofemoral (knee), patellofemoral and ankle. The drop-step was created by lowering the height-
80 adjustable force plate by 10 cm embedded halfway along a 15 m long instrumented track.

81 *Subjects*

82 Twelve (six females) recreational runners (mean \pm *SD*); age = 28.5 ± 5.7 years; body mass index = $22.4 \pm$
83 $1.9 \text{ kg}\cdot\text{m}^{-2}$; running distance = $15.6 \pm 5.3 \text{ km}\cdot\text{wk}^{-1}$), free of any current/previous history of lower-limb
84 surgery/injury or low back pain for at least the previous 6 months voluntarily participated in the study. All
85 participants self-reported that they had experience with running on uneven surfaces. A minimum sample
86 size of eleven participants was determined from a priori power analysis using G*Power (Version 3.1,
87 University of Dusseldorf, Germany) implementing an effect size of 0.33 and statistical power of 80% (α
88 = 0.05). The experimental protocol was approved by the local Ethics Committee of the Friedrich Schiller
89 University Jena (3532–08/12) and met all requirements for human experimentation according to the

90 Declaration of Helsinki. All participants were informed of the benefits and risks before signing the
91 approved informed consent document.

92 *Experimental design and procedures*

93 Data were collected using a twelve-camera motion-capture system (250 Hz; MCU1000, Qualisys,
94 Sweden) and two consecutive force plates [1000Hz; 9281B (0.4 × 0.6 m), 9287BA (0.6 × 0.9 m), Kistler,
95 Switzerland] embedded halfway along a 15 m long instrumented track. The arrangement of the force plates
96 allowed for step lengths ranging from 1.40 to 2.30 m. We synchronized kinematics and ground reaction
97 force data using an external trigger and BioWare data acquisition software (Kistler Instrument AG,
98 Switzerland). Applying joint coordinate standards of the International Society of Biomechanics (45), a
99 twelve-body segment model was defined using nineteen reflective markers. The markers were placed on
100 the following bony landmarks: fifth metatarsal heads, lateral malleolus, lateral epicondyles of femurs,
101 greater trochanters, anterior superior iliac spines, L5–S1 junction (L5), lateral humeral epicondyles, wrists,
102 acromioclavicular joints, seventh cervical spinous process (C7) and middle of the forehead. Trunk angle
103 was defined by the angle sustained by the line connecting the L5 and C7 with respect to the vertical (1,
104 3) (Figure 1). Mean trunk angle was calculated as the average sagittal plane trunk posture during the stance
105 phase of the level step. Following running with a self-selected trunk lean (TL_0), participants were
106 instructed to run with anterior (TL^+) and posterior (TL^-) trunk leans within a range in which they felt
107 comfortable when running across even or uneven tracks (1) (Figure 1). Following the completion of the
108 running conditions on even, uniform track (level step; LS), the variable-height force plate at the site of the
109 second contact (drop-step; DS10) was visibly lowered by 10 cm and participants ran along the uneven
110 track. The order of the TL^+ and TL^- conditions was randomized for each participant while the order of the
111 running tracks was fixed. Practice trials were permitted to allow participants to become familiar with the
112 running velocity and with the desired trunk postures. Participants accomplished ten valid runs per

113 condition in which they fully struck each force plate with a single foot in such a manner that the second
 114 force plate was always hit by the right (dominant) foot. The selected kinematic and kinetic variables were
 115 analyzed for the right limb only.

116 *Data analysis and statistical analysis*

117 For data analysis, we chose all trials completed at a speed of $3.5 \text{ m}\cdot\text{s}^{-1}$ (33) and discarded trials that differed
 118 by more than 5% in speed from step to step (calculated from mean horizontal velocity of the L5 marker
 119 for each of the two force plates). Kinetic and kinematic data of all successful trials were analyzed using
 120 custom written Matlab code (Mathworks Inc., MA, USA). The raw coordinate data were filtered using a
 121 fourth-order low-pass, zero-lag Butterworth filter with 12 Hz cutoff frequency (3). Sagittal plane knee
 122 joint kinematics (range of motion and peak flexion angle) were determined as the motion of the distal
 123 segment relative to the proximal reference. We calculated net lower-limb joint moments by inverse
 124 dynamics using the ground-reaction-force, the center of pressure, a rigid linked segment model, and
 125 anthropomorphic data (7). A vertical ground reaction force threshold of 3% body weight was used to
 126 determine the instants of foot-touchdown and toe-off at each contact (3). The knee joint stiffness was
 127 calculated from the ratio of the change in net muscle moment to joint angular displacement between foot-
 128 touchdown and the instant of peak maximal flexion. Net joint moments and knee joint stiffness were
 129 normalized to the participant's body mass.

130 Kinetic data related to the PFJ were computed from knee flexion angle (θ_k) and net extension moment
 131 (M_k) during the stance phase of running using a previously described biomechanical model (4, 10). The
 132 effective lever arm (L_Q) of the quadriceps muscle was calculated as a function of θ_k by use of a nonlinear
 133 equation (38):

$$134 \quad L_Q = 0.00008(\theta_k)^3 - 0.013(\theta_k)^2 + 0.28(\theta_k) + 0.046 \quad (1)$$

135 The force of the quadriceps (F_Q) was calculated using the following formula:

$$136 F_Q = M_k / L_Q \quad (2)$$

137 The PFJ_{CF} was estimated as the product of the F_Q and a constant (C):

$$138 PFJ_{CF} = F_Q \cdot C \quad (3)$$

139 The constant (C) was determined using a nonlinear equation (39):

$$140 C(\theta_k) = (0.462 + 0.00147(\theta_k) - 0.0000384(\theta_k)^2) / (1 - 0.0162(\theta_k) + 0.000155(\theta_k)^2 - 0.000000698(\theta_k)^3)$$

$$141 (4)$$

142 PFJ_{stress} (MPa) was estimated by dividing the PFJ_{CF} by the PFJ contact area (PFJ_{CA}). The PFJ_{CA} was
143 determined as a function of θ_k (23):

$$144 PFJ_{CA} = 0.00002(\theta_k)^4 - 0.0033(\theta_k)^3 + 0.1099(\theta_k)^2 + 3.5273(\theta_k) + 81.058$$

145 Following the normality test by Shapiro-Wilk test, two-way repeated measurements ANOVAs with post
146 hoc Bonferroni adjustments were used to examine the main and interaction effects of *Step* (LS and DS10)
147 and *Posture* (TL^- , TL_0 and TL^+) on the primary outcome variables (PFJ_{CF} and PFJ_{stress}) and the secondary
148 outcome variables, including peak flexion angle, range of motion, peak extension moment and stiffness
149 at the knee and peak extension moments across the hip and ankle. Statistical significance level was set at
150 $p < 0.05$ and the data were analyzed in SPSS software (ver 21.0, IBM[®] Co., USA). Results were expressed
151 as mean \pm *SD* over all participants and variables.

152 RESULTS

153 The analysed data included 720 step cycles (12 participants \times 6 conditions \times 10 trails). We conducted
154 separate two-way repeated measurements ANOVA to compare variations in the mean trunk angles across
155 two steps. No *Step-by-Posture* effects nor *Step* main effect were detected for the mean trunk angle

156 ($F_{1.23,13.6} = 3.07, p = 0.09, d = 0.21; F_{1,11} = 0.91, p = 0.36, d = 0.07$, respectively); however, there was a
 157 significant *Posture* main effect ($F_{1.16,12.8} = 96.5, p < 0.001, d = 0.89$). Post hoc comparisons revealed that
 158 the mean trunk angle was significantly higher and lower during the TL^+ and TL^- conditions, when
 159 compared with the TL_0 (Figure 1).

160 Figure 2 presents PFJ_{stress} , PFJ_{CF} and knee kinematics during the stance phase of the running cycle in the
 161 three *Posture* conditions across the LS and DS10. No *Step-by-Posture* effects were detected for PFJ_{stress}
 162 ($F_{2,22} = 1.02, p = 0.37, d = 0.08$) and PFJ_{CF} ($F_{2,22} = 1.11, p = 0.34, d = 0.09$). There was a significant
 163 *Posture* main effect on PFJ_{stress} ($F_{1.35,14.8} = 43.9, p < 0.001, d = 0.81$) and PFJ_{CF} ($F_{1.35,14.8} = 43.5, p < 0.001, d =$
 164 0.79). Post hoc comparisons revealed that PFJ_{stress} and PFJ_{CF} were significantly higher during TL^- running
 165 ($p = 0.001$ and $p < 0.001$, respectively), while significantly lower during TL^+ running ($p = 0.003$ for both
 166 variables), compared with TL_0 running (Figure 3). No significant changes were observed for the PFJ_{CA}
 167 across running conditions ($F_{2,22} = 1.22, p = 0.31, d = 0.11$). Significant *Step-by-Posture* effects were found
 168 for peak knee flexion angle ($F_{2,22} = 4.38, p = 0.02, d = 0.28$) and stiffness ($F_{2,22} = 3.46, p = 0.04, d = 0.24$).
 169 Post hoc comparison revealed a decreased peak knee flexion angle ($p < 0.001$) and increased joint stiffness
 170 ($p = 0.005$) in the DS10 versus the LS during TL^- running (Figure 4).

171 Figure 5 presents the lower-limb joint moments during the stance phase of the running cycle in the three
 172 *Posture* conditions across the LS and DS10. No *Step-by-Posture* effects were detected for hip ($F_{1.84,20.2} =$
 173 $0.06, p = 0.92, d = 0.006$), knee ($F_{2,22} = 1.78, p = 0.19, d = 0.14$) and ankle ($F_{2,22} = 0.13, p = 0.87, d =$
 174 0.01) peak extension moments. There was a significant main effect of *Step* ($F_{1,11} = 13.7, p = 0.003, d =$
 175 0.55) and *Posture* ($F_{2,22} = 63.5, p < 0.001, d = 0.85$) on hip extension moment. Post hoc comparisons
 176 revealed a higher hip extension moment in DS10 versus LS ($p = 0.003$); and significantly lower and higher
 177 moments during TL^- and TL^+ running conditions, respectively, compared with TL_0 running ($p < 0.001$;
 178 Figure 3). There was a significant *Posture* main effect on knee extension moment ($F_{2,22} = 52.7, p < 0.001,$

179 $d = 0.82$). Post hoc comparisons revealed significantly higher and lower values during TL^- and TL^+ running
180 conditions, respectively, compared with TL_0 running ($p < 0.001$; Figure 3). There was a significant *Step*
181 main effect on peak ankle extension moment ($F_{1,11} = 58.8$, $p < 0.001$, $d = 0.86$). Post hoc comparisons
182 revealed a significantly increased peak ankle extension moment in the DS10 ($p < 0.001$; Figure 3).

183 DISCUSSION

184 In this study, we primarily examined the influence of simultaneous changes to the sagittal trunk posture
185 and surface height on PFJ kinetics (contact force and stress) during running. Our hypothesis that running
186 with forward and backward trunk-leaning would decrease and increase PFJ kinetics, respectively, **was**
187 **confirmed. The kinetics of PFJ, along with that of local and proximal joints were influenced by altering**
188 **the trunk-lean angle independent of the running surface change.** Specifically, while the ankle moment
189 remained relatively unchanged across the running postures, forward trunk-leaning resulted in lower peak
190 PFJ kinetics and knee extension moment but a higher hip extension moment. The opposite effects occurred
191 with backward trunk-leaning. Step-wise, the accommodation of the drop-step was associated with
192 significantly higher knee range of motion and peak extension moments across the hip and ankle.

193 PFJ kinetics did not change in response to an expected 10 cm drop-step while adopting various running
194 postures. This finding is not in accordance with the existing literature, which suggests a 37% increase in
195 PFJ_{CF} during decline running (15). This dissimilarity in findings can be attributed to compensatory
196 biomechanical adjustments local, proximal, and distal to the knee joint. Locally, the knee range of motion
197 and peak extension moment under altered running postures were not influenced by surface height changes.
198 However, the peak knee flexion angle decreased, and the joint stiffness increased when stepping down
199 during backward trunk-leaning only. Moreover, no step-related changes in the peak extension moments
200 proximal or distal to the knee joint were observed for altered running postures. The lower-limb kinematic

201 and kinetic adjustments during uneven running is influenced by the expectedness (9) and the magnitude
202 (21) of ground surface changes. The lack of interaction between changes to the running posture and surface
203 height in our study may be due to the use of feed-forward control strategies facilitated by visual awareness
204 of mechanical perturbation to runners' locomotion, leading to some anticipatory postural adjustments (8,
205 22, 25). Accommodating unexpected or larger downward steps is more likely to elicit altered, feedback
206 based, coping strategies and thus a different contribution of the lower-limb joints to the PFJ's mechanics.

207 Stability of trunk in response to external perturbations such as ground surface changes is becoming more
208 challenging, since it requires the motor control mechanism to govern the additional change within the
209 system's energy (36). Due to a delayed onset of ground contact (22), downward steps are associated with
210 increases in vertical kinetic energy. Restraining an increased center of mass's energy gained in the drop-
211 steps results in a higher mechanical loading of the lower-limb tri-articulate musculoskeletal system. In the
212 current study, the peak hip and ankle extension moments in the drop-step increased by ~35% and ~28%
213 (*Step* main effect), respectively, while peak knee extension moment remained unchanged. This indicates
214 that the step-down enforces high hip moments to control the forward momentum of the trunk. The
215 increased ankle joint moment can be explained by the fact that the distal joints are the direct point of
216 contact with the ground and are the first to negotiate mechanical changes in the ground surface. Therefore,
217 ankle is highly load-sensitive, owing to higher, swift proprioceptive feedback gain and responsiveness to
218 intrinsic mechanical effects (5). This presumably enables rapid, necessary adjustments for the stability of
219 unsteady or perturbed running. Consequently, the accommodation of small, expected drops during running
220 appears to increase the mechanical stress on proximal and distal joints to the PFJ, independent of trunk
221 posture.

222 Modification of sagittal trunk lean during running was found to significantly influence the PFJ kinetics,
223 regardless of surface height change. The peak PFJ_{stress} was decreased by 8.8% and increased by 23% when

224 leaning the trunk $\sim 15^\circ$ forward and backward, respectively, from the self-selected posture. These findings
225 accord with those of a previous study (33), despite the utilization of a narrower range of trunk-leaning
226 angles. In comparison, Teng and Powers (33) reported a 6.0% decrease and a 7.4% increase in peak
227 PFJ_{stress} by forward and backward trunk-leaning, respectively, during even running. Moreover, given the
228 PFJ_{CA} remained substantially unchanged across the running postures in our study, the variations in the
229 PFJ_{stress} can be attributed to the changes in the PFJ_{CF} . Compared with the self-selected condition, the
230 PFJ_{CF} decreased by 9.8% and increased by 21% with forward and backward trunk-leaning, respectively.
231 The same trend for the peak knee extension moment, namely a 12% decrease by forward trunk-leaning
232 and a 16% increase by backward trunk-leaning. In addition to the previous within-session analyses of the
233 association between the trunk posture and PFJ kinetics, Teng, et al. (32) recently reported $\sim 17\%$ reductions
234 in peak PFJ_{stress} and knee extension moment following a four-week trunk modification program (i.e., 10°
235 increase in trunk flexion angle) for running.

236 We also observed kinetic changes proximal to the PFJ. Compared to the self-selected running posture, the
237 hip moment was systematically higher and lower throughout the stance phase of both analyzed steps when
238 leaning the trunk forward and backward, respectively (Figure 5). The finding that a forward-leaning trunk
239 during running shifts mechanical demand from knee extensors to hip extensors has been mirrored in the
240 previous studies (2, 10, 32, 33). Given the hip's contribution to sagittal plane total work is small (less than
241 15%) during the stance phase of running (17), a distal-to-proximal load shift by a forward trunk lean
242 during running can be an effective strategy to decrease PFJ_{stress} . Moreover, runners with a stronger hip
243 extensor muscles tend to adopt a more flexed trunk posture during running (35). This is shown to be
244 associated with a lower load on the knee extensors. However, the causal relations between the trunk
245 posture, hip-extensor strength and lower-limb biomechanics during running have not yet been determined.
246 Thus, in the absence of the musculature dysfunction of the lower-limb or lower back, forward trunk-

247 leaning might be used to train the hip extensors without weights or other interventions (26). However, this
248 reasoning needs further investigations.

249 Different modifications to the running pattern have been proposed to mitigate running-related PFP. A
250 recent study reported a greater reduction in peak PFJ_{stress} when switching to a forefoot strike (~23%), or
251 when increasing the step-rate (~12%), compared with forward trunk-leaning (~5%) during treadmill
252 running (10). However, these modifications were associated with greater mechanical demands on the
253 ankle and foot joints (10, 19, 27) without taking into consideration the impact of environmental demands.
254 This is significant since most running is performed outdoors and is essentially challenged by uneven
255 terrain. Rather, forward trunk-leaning during running enforces a distal-to-proximal shift in lower-limb
256 mechanical demands (2, 32-34). Hence, one can argue that such postural modification may be a safer
257 strategy for running-related PFP management.

258 When interpreting the findings of this study, several limitations need to be acknowledged. First, the
259 runway utilized in the present study cannot resemble running outdoors, which represents a wide range of
260 (un)expected and/or varied magnitudes of surface perturbations that may elicit altered PFJ kinetics.
261 Second, given that individuals with low back pain or musculoskeletal problems were excluded from this
262 study, the future studies should address the impact of an altered trunk posture in runners on lumbar
263 moments in prospective and/or longitudinal experiments. Third, only healthy runners at a fixed running
264 velocity were examined. Therefore, the generalization of the results to the symptomatic runners and/or to
265 the conditions under different running velocities should be taken with caution. Fourth, our analysis was
266 limited to the mechanical behavior of perturbed limb (right) during the stance phase. Therefore, future
267 investigations that involve the contribution of the contralateral limb would provide a more detailed profile
268 of the lower-limb joint mechanics under perturbation. Fifth, the computation of PFJ kinetic used in this
269 study did not consider many relevant parameters, including kinematics and kinetics in the frontal and

270 transverse planes, individual lever arm lengths and their changes due to the applied force on the tendon or
271 the effect of agonist and antagonistic muscles force generation on the patella tendon forces. Sixth, we did
272 not control the runners' foot strike pattern when accommodating the drop-step. Therefore, our findings do
273 not exclude the possibility of foot strike effects on patellofemoral loading. For a more comprehensive
274 understanding of PFJ kinetics during functional activities, future methods should account for above-
275 mentioned parameters.

276 In conclusion, leaning the trunk by nearly $\pm 15^\circ$ potentially impacts the kinetic pattern of lower-limb joints.
277 The peak patellofemoral joint contact force and stress are significantly influenced by changes to the
278 running posture, through a distal-to-proximal joint load redistribution, but not by expected small changes
279 to the running surface height. In line with the reported efficacy of forward trunk-leaning in mitigating PFJ
280 stress while even (32, 33) or decline (15) running, this acute intervention appears effective during uneven
281 running.

282 **PRACTICAL APPLICATIONS**

283 Given patellofemoral pain is the most frequently reported running-related symptom, increased knowledge
284 of interventions aimed at reducing patellofemoral pain in runners is crucial for runners, coaches, and health
285 professionals. Modification of sagittal trunk orientation has the potential to re-organize lower-limb joint
286 mechanical. The findings of the present study suggest that leaning the trunk forward helps reducing the
287 patellofemoral joint contact force and stress, irrespective of small changes to the running surface. Given
288 most of running is performed outdoors, where changes in ground level surface are frequently encountered,
289 adopting such running technique may help runners to reduce their PFJ loading. Secondly, running gait
290 retraining protocols with a focus on using feed-forward control strategies (anticipatory postural
291 adjustments) could improve compensatory mechanisms (e.g., a distal-to-proximal shift in lower-limb
292 mechanical demands) for protecting the patellofemoral joint in response to running surface perturbation.

293 Finally, in absence of musculature dysfunction of the lower limb or lower back region, runners may
294 consider a forward trunk-leaning technique as a supplementary training modality to strengthen the hip
295 extensors without weights or other interventions.

296 **ACKNOWLEDGMENTS**

297 The authors declare no conflicts of interest.

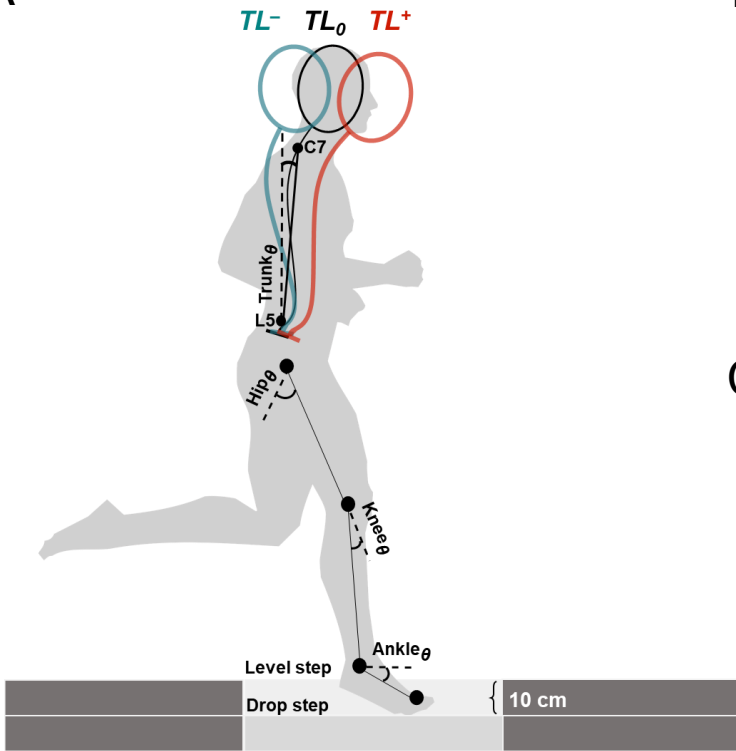
298 REFERENCES

- 299 1. AminiAghdam S, Blickhan R, Karamanidis K. The influence of sagittal trunk lean on uneven running
300 mechanics. *Journal of Experimental Biology* 224, 2021.
- 301 2. AminiAghdam S, Karamanidis K, Rode C. Uneven running: how does trunk-leaning affect the lower-limb
302 joint mechanics and energetics? *European Journal of Sport Science*: 1-20, 2021.
- 303 3. Aminiaghdam S, Rode C, Müller R, Blickhan R. Increasing trunk flexion transforms human leg function into
304 that of birds despite different leg morphology. *Journal of Experimental Biology* 220: 478-486, 2017.
- 305 4. Brechter H, Powers CM. Patellofemoral stress during walking in persons with and without patellofemoral
306 pain. *Medicine and science in sports and exercise* 34: 1582-1593, 2002.
- 307 5. Daley MA, Felix G, Biewener AA. Running stability is enhanced by a proximo-distal gradient in joint
308 neuromechanical control. *Journal of Experimental Biology* 210: 383-394, 2007.
- 309 6. Davis IS, Powers C. Patellofemoral pain syndrome: proximal, distal, and local factors—international
310 research retreat, April 30–may 2, 2009, Baltimore, Maryland. *Journal of orthopaedic & sports physical*
311 *therapy* 40: A1-A48, 2010.
- 312 7. De Leva P. Adjustments to Zatsiorsky-Seluyanov's segment inertia parameters. *J Biomech* 29: 1223-1230,
313 1996.
- 314 8. Dhawale N, Mandre S, Venkadesan M. Dynamics and stability of running on rough terrains. *Royal Society*
315 *open science* 6: 181729, 2019.
- 316 9. Dick TJ, Punith LK, Sawicki GS. Humans falling in holes: adaptations in lower-limb joint mechanics in
317 response to a rapid change in substrate height during human hopping. *Journal of the Royal Society*
318 *Interface* 16: 20190292, 2019.
- 319 10. dos Santos AF, Nakagawa TH, Serrão FV, Ferber R. Patellofemoral joint stress measured across three
320 different running techniques. *Gait & posture* 68: 37-43, 2019.
- 321 11. Goss DL, Gross MT. A comparison of negative joint work and vertical ground reaction force loading rates
322 in Chi runners and rearfoot-striking runners. *Journal of orthopaedic & sports physical therapy* 43: 685-692,
323 2013.
- 324 12. Grimmer S, Ernst M, Günther M, Blickhan R. Running on uneven ground: leg adjustment to vertical steps
325 and self-stability. *Journal of Experimental Biology* 211: 2989-3000, 2008.
- 326 13. Hanley B, Mohan AK. Changes in gait during constant pace treadmill running. *The Journal of Strength &*
327 *Conditioning Research* 28: 1219-1225, 2014.
- 328 14. Heiderscheit BC, Chumanov ES, Michalski MP, Wille CM, Ryan MB. Effects of step rate manipulation on
329 joint mechanics during running. *Medicine and science in sports and exercise* 43: 296, 2011.
- 330 15. Ho K-Y, French T, Klein B, Lee Y. Patellofemoral joint stress during incline and decline running. *Physical*
331 *Therapy in Sport* 34: 136-140, 2018.
- 332 16. Hulteen RM, Smith JJ, Morgan PJ, et al. Global participation in sport and leisure-time physical activities: A
333 systematic review and meta-analysis. *Preventive medicine* 95: 14-25, 2017.
- 334 17. Jin L, Hahn ME. Modulation of lower extremity joint stiffness, work and power at different walking and
335 running speeds. *Human movement science* 58: 1-9, 2018.
- 336 18. Kluger D, Major MJ, Fatone S, Gard SA. The effect of trunk flexion on lower-limb kinetics of able-bodied
337 gait. *Human movement science* 33: 395-403, 2014.
- 338 19. Kulmala J-P, Avela J, Pasanen K, Parkkari J. Forefoot strikers exhibit lower running-induced knee loading
339 than rearfoot strikers. *Medicine & Science in Sports & Exercise* 45: 2306-2313, 2013.
- 340 20. Marigold DS, Patla AE. Adapting locomotion to different surface compliances: neuromuscular responses
341 and changes in movement dynamics. *Journal of neurophysiology* 94: 1733-1750, 2005.
- 342 21. Müller R, Ernst M, Blickhan R. Leg adjustments during running across visible and camouflaged incidental
343 changes in ground level. *Journal of experimental biology* 215: 3072-3079, 2012.

- 344 22. Müller R, Häufle DFB, Blickhan R. Preparing the leg for ground contact in running: the contribution of feed-
345 forward and visual feedback. *J Exp Biol* 218: 451-457, 2015.
- 346 23. Powers CM, Lilley JC, Lee TQ. The effects of axial and multi-plane loading of the extensor mechanism on
347 the patellofemoral joint. *Clinical Biomechanics* 13: 616-624, 1998.
- 348 24. Powers CM, Witvrouw E, Davis IS, Crossley KM. Evidence-based framework for a pathomechanical model
349 of patellofemoral pain: 2017 patellofemoral pain consensus statement from the 4th International
350 Patellofemoral Pain Research Retreat, Manchester, UK: part 3. *Br J Sports Med* 51: 1713-1723, 2017.
- 351 25. Qiao M, Jindrich DL. Task-level strategies for human sagittal-plane running maneuvers are consistent with
352 robotic control policies. *PLoS One* 7: e51888, 2012.
- 353 26. Reiman MP, Bolgla LA, Loudon JK. A literature review of studies evaluating gluteus maximus and gluteus
354 medius activation during rehabilitation exercises. *Physiotherapy theory and practice* 28: 257-268, 2012.
- 355 27. Rice H, Patel M. Manipulation of foot strike and footwear increases Achilles tendon loading during running.
356 *The American journal of sports medicine* 45: 2411-2417, 2017.
- 357 28. Sanno M, Willwacher S, Epro G, Brüggemann G-P. Positive work contribution shifts from distal to proximal
358 joints during a prolonged run. *Medicine and science in sports and exercise* 50: 2507-2517, 2018.
- 359 29. Strava. 2019 Year In Sport Data Report. San Francisco: Social Network for Athletes., 2019.
- 360 30. Taunton J, Ryan M, Clement D, et al. A prospective study of running injuries: the Vancouver Sun Run “In
361 Training” clinics. *British journal of sports medicine* 37: 239-244, 2003.
- 362 31. Taunton JE, Ryan MB, Clement D, et al. A retrospective case-control analysis of 2002 running injuries.
363 *British journal of sports medicine* 36: 95-101, 2002.
- 364 32. Teng H-L, Dilauro A, Weeks C, et al. Short-term effects of a Trunk Modification Program on patellofemoral
365 joint stress in asymptomatic runners. *Physical Therapy in Sport*, 2020.
- 366 33. Teng H-L, Powers CM. Sagittal plane trunk posture influences patellofemoral joint stress during running.
367 *Journal of orthopaedic & sports physical therapy* 44: 785-792, 2014.
- 368 34. Teng H-L, Powers CM. Influence of trunk posture on lower extremity energetics during running. *Medicine
369 and Science in Sports and Exercise* 47: 625-630, 2015.
- 370 35. Teng H-L, Powers CM. Hip-extensor strength, trunk posture, and use of the knee-extensor muscles during
371 running. *Journal of athletic training* 51: 519-524, 2016.
- 372 36. Tokur DS. Responses to External Perturbations in Selected Human Motor Tasks-A Systematic Review and
373 Analysis. 2019.
- 374 37. van der Worp H, Vrielink JW, Bredeweg SW. Do runners who suffer injuries have higher vertical ground
375 reaction forces than those who remain injury-free? A systematic review and meta-analysis. *British journal
376 of sports medicine* 50: 450-457, 2016.
- 377 38. Van Eijden T, Kouwenhoven E, Verburg J, Weijs W. A mathematical model of the patellofemoral joint.
378 *Journal of biomechanics* 19: 219-229, 1986.
- 379 39. Van Eijden T, Weijs W, Kouwenhoven E, Verburg J. Forces acting on the patella during maximal voluntary
380 contraction of the quadriceps femoris muscle at different knee flexion/extension angles. *Cells Tissues
381 Organs* 129: 310-314, 1987.
- 382 40. Van Gent R, Siem D, van Middelkoop M, et al. Incidence and determinants of lower extremity running
383 injuries in long distance runners: a systematic review. *British journal of sports medicine* 41: 469-480, 2007.
- 384 41. Williams III DB, Green DH, Wurzinger B. Changes in lower extremity movement and power absorption
385 during forefoot striking and barefoot running. *International journal of sports physical therapy* 7: 525, 2012.
- 386 42. Willson J, Ratcliff O, Meardon S, Willy R. Influence of step length and landing pattern on patellofemoral
387 joint kinetics during running. *Scandinavian journal of medicine & science in sports* 25: 736-743, 2015.
- 388 43. Willson JD, Sharpee R, Meardon SA, Kernozek TW. Effects of step length on patellofemoral joint stress in
389 female runners with and without patellofemoral pain. *Clinical biomechanics* 29: 243-247, 2014.

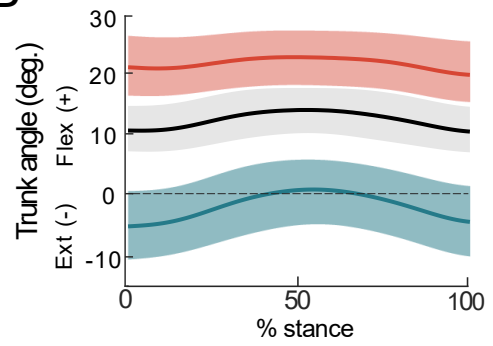
- 390 44. Witvrouw E, Callaghan MJ, Stefanik JJ, et al. Patellofemoral pain: consensus statement from the 3rd
 391 International Patellofemoral Pain Research Retreat held in Vancouver, September 2013. *Br J Sports Med*
 392 48: 411-414, 2014.
- 393 45. Wu G, Siegler S, Allard P, et al. ISB recommendation on definitions of joint coordinate system of various
 394 joints for the reporting of human joint motion—part I: ankle, hip, and spine. *Journal of biomechanics* 35:
 395 543-548, 2002.

A

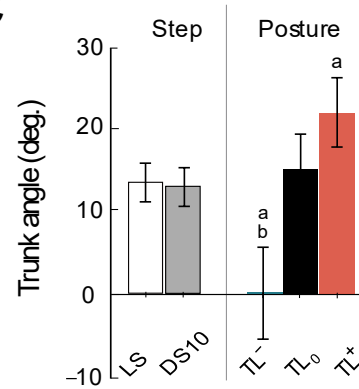


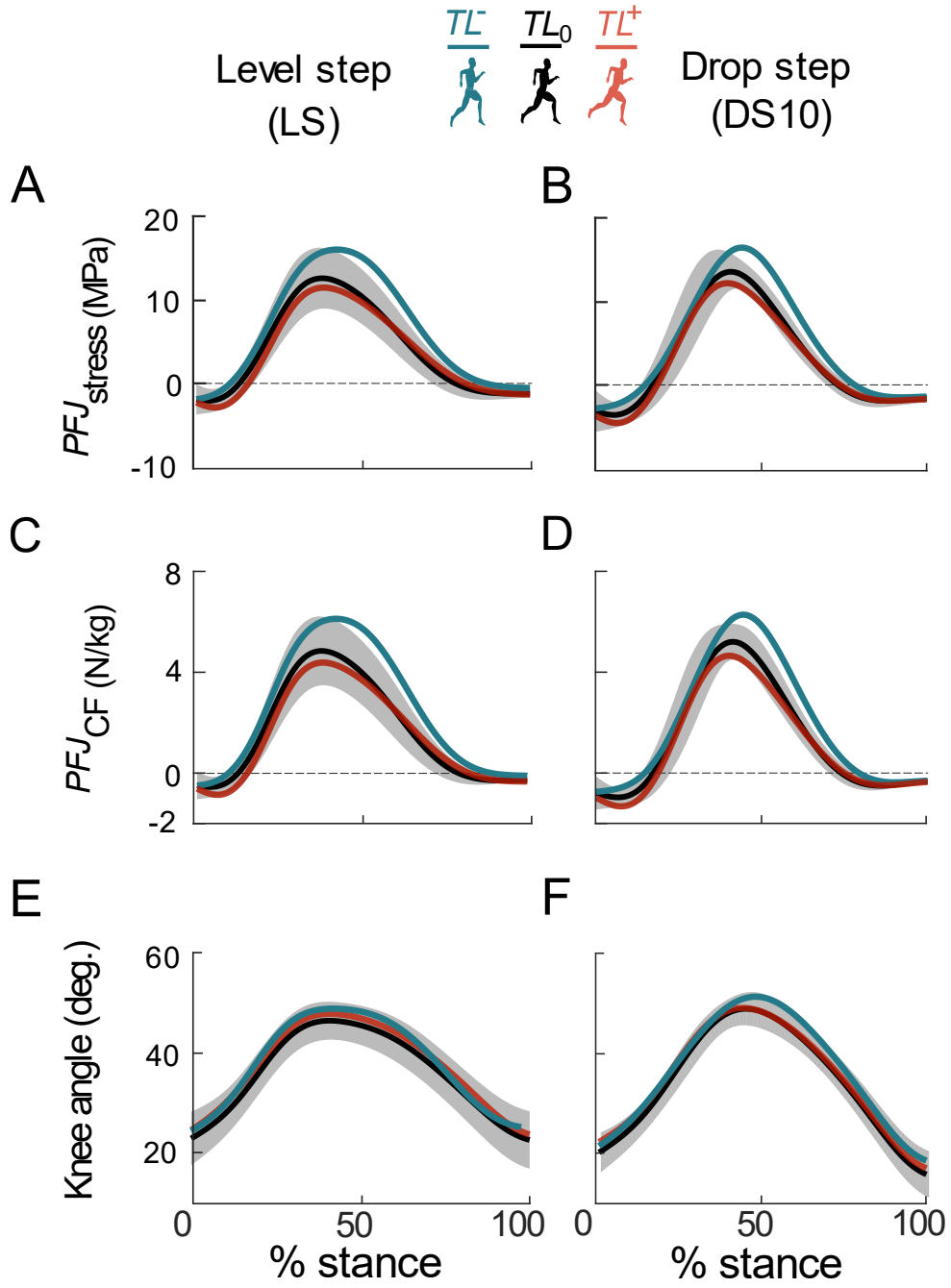
396

B



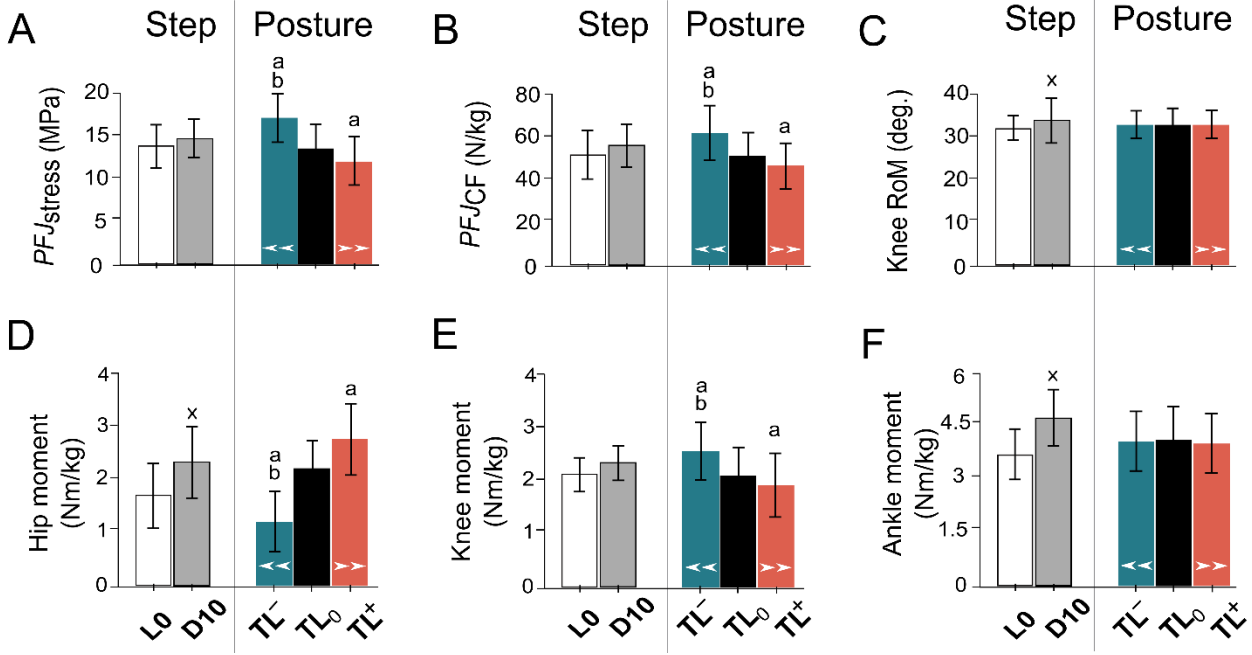
C



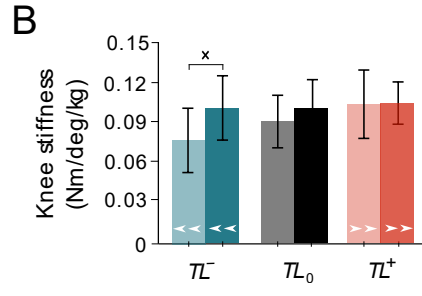
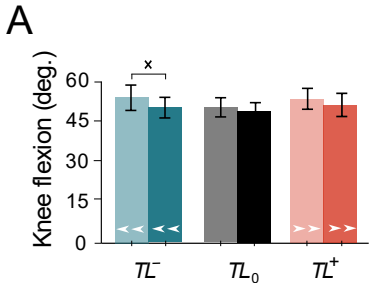


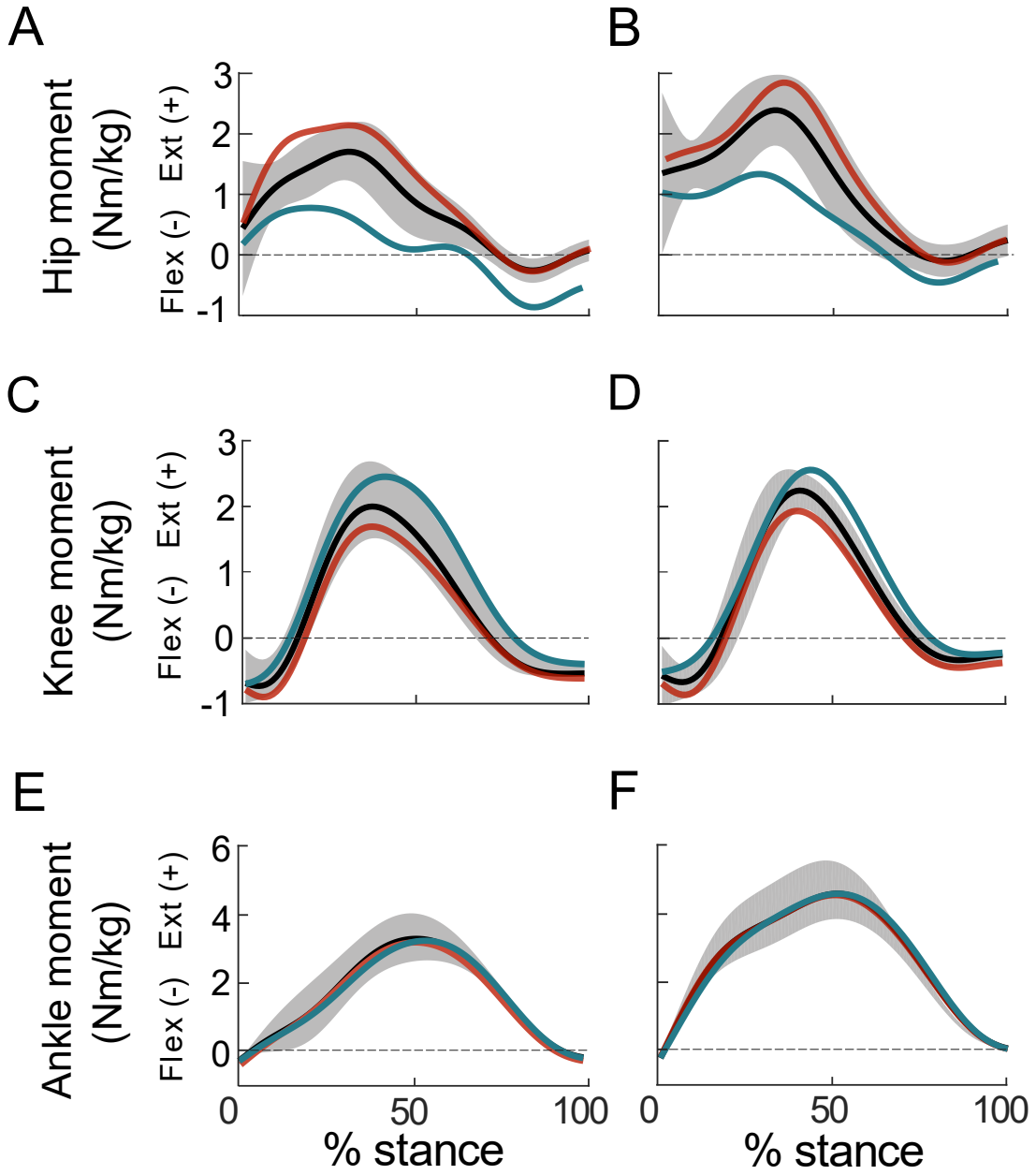
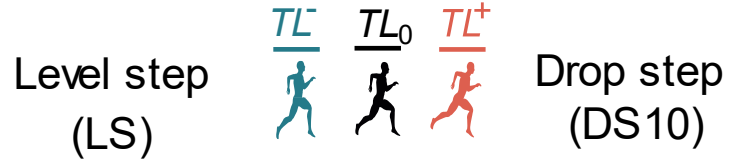
397

398



400





401

402 Figure 1. Schematic illustration of uneven running and trunk kinematics. (A) The schematic representation
 403 of a runner with three different trunk-leaning conditions while running across the level step (LS) and drop-
 404 step (DS10: 10 cm drop of the second force plate). (B) The ensemble-averaged trunk kinematics across
 405 the level step stance phase (shaded area: ± 1 *SD*). (C) Main effects of *Step* and *Posture* on the mean trunk
 406 kinematics ('a' and 'b': significant differences from TL_0 and TL^+ ; error bars: *SD*). Abbreviation: TL^- ,
 407 backward-leaning trunk; TL_0 , self-selected trunk-leaning; TL^+ , forward-leaning trunk; θ , angle; L5, fifth
 408 lumbar spine vertebrae; C7, seventh cervical spinous process.

409 Figure 2. PFJ kinetics and knee range of motion. Shown are ensemble-averaged patellofemoral joint stress
 410 (PFJ_{stress} , top row), patellofemoral joint contact force (PFJ_{CF} , middle row) and knee joint angle (bottom
 411 row) across the level step (LS; left) and the drop-step (DS10; right) during the stance phase of three
 412 running conditions: backward-leaning trunk (TL^- , green), self-selected trunk-leaning (TL_0 , black) and
 413 forward-leaning trunk (TL^+ , red). The shaded area represents ± 1 *SD* for the self-selected condition.

414 Figure 3. Main effects of *Step* and *Posture*. Shown are the main effects (mean \pm *SD*) on variables that two-
 415 way repeated measures ANOVAs did not reveal *Step-by-Posture* interaction. Significant bilateral
 416 differences (LS and DS10) are indicated by '×'. Accordingly, significant differences from TL_0 and TL^+
 417 are indicated by 'a' and 'b', respectively ($p < 0.05$). Error bars denote *SD*. Abbreviation: TL^- , backward-
 418 leaning trunk; TL_0 , self-selected trunk-leaning; TL^+ , forward-leaning trunk; *PFJ*, patellofemoral joint; *CF*,
 419 contact force; RoM, range of motion; LS, level step; DS10, drop-step.

420 Figure 4. *Step-by-Posture* interaction. (A) Peak knee flexion angle (B) knee joint stiffness. The bar graphs
 421 in light colours represent level step (LS), while those in dark colours represent drop-step (DS10). '×'
 422 indicates a significant difference between LS and DS10 ($p < 0.05$). Error bars denote *SD*. Abbreviation:
 423 TL^- , backward-leaning trunk; TL_0 , self-selected trunk-leaning; TL^+ , forward-leaning trunk.

424 Figure 5. Lower-limb joint moments. Shown are ensemble-averaged hip (top row), knee (middle row) and
425 ankle (bottom row) moments across the level step (LS; left) and the drop-step (DS10; right) during the
426 stance phase of three running conditions: backward-leaning trunk (TL^- , green), self-selected trunk-leaning
427 (TL_0 , black) and forward-leaning trunk (TL^+ , red). The shaded area represents ± 1 *SD* for the self-selected
428 condition.