



# Article Photothermal Radiometry Data Analysis by Using Machine Learning

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Abstract: Photothermal techniques are infrared remote sensing techniques that have been used for 7 biomedical applications as well as industrial non-destructive testing (NDT). Machine Learning is a 8 branch of artificial intelligence, which includes a set of algorithms for learning from past data and 9 analyzing new data without being explicitly programmed to do so. In this paper, we first review the 10 latest development of Machine Learning and its applications in photothermal techniques. Next, we 11 present our latest work on Machine Learning for data analysis in Opto-Thermal Transient Emission 12 Radiometry (OTTER), which is a type of photothermal techniques that has been extensively used in 13 skin hydration, skin hydration depth profiles, skin pigments, as well as topically applied substances 14 skin penetration measurements. We have investigated different algorithms such as Random Forest 15 Regression, Gradient Boosting Regression, Support Vector Machine (SVM) Regression, Partial Least 16 Squares Regression, as well as Deep Learning Neural Networks Regression. We first introduce the 17 theoretical background, then illustrate its applications with experimental results. 18

**Keywords:** photothermal techniques, skin hydration, machine learning, deep learning, regression, 19 classification; 20

# 1. Introduction

Photothermal techniques [1] are infrared remote sensing techniques that have been 23 used for biomedical applications as well as industrial non-destructive testing (NDT). They 24 can be dated back to the 1970s [2,3]. Photothermal techniques have since developed into 25 different approaches, such as photothermal radiometry [4-7], photothermal tomography 26 [8], photothermal imaging [9], photothermal radar [10], photothermal lens [11,12], photo-27 thermal cytometry [13] and so on. The main advantages of photothermal techniques lie in 28 their non-invasive, remote-sensing, most importantly spectroscopic nature, which make 29 photothermal techniques a potentially powerful tool in many industrial, agricultural, en-30 vironmental and biomedical applications. Pawlak has highlighted the advantages of spec-31 trally resolved photothermal radiometry measurements on semiconductor samples [14]. 32

Machine learning [15,16] is a branch of artificial intelligence, which includes a set of 33 algorithms for learning from the past data and analyzing the new data without being ex-34 plicitly programmed to do so. Machine Learning can be generally divided into Supervised 35 Learning, Un-supervised Learning, Semi-supervised Learning and Reinforcement Learn-36 ing. Machine Learning has also been used in photothermal techniques recently. Verdel et 37 al have developed a predictive model for the quantitative analysis of human skin using 38 photothermal radiometry and diffuse reflectance spectroscopy [17,18], as well as a hybrid 39 technique for characterization of human skin by combining Machine Learning and in-40 verse Monte Carlo approach [19], and they made their Machine Learning model publi-41 cally available through GitHub platform [20]. Ahmadi et al have developed a customized 42 deep unfolding neural network, called Photothermal-SR-Net, for enabling super resolu-43 tion (SR) imaging in photothermal radiometry [21]. Their model was based on an original 44

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**Copyright:** © 2022 by the authors. Submitted for possible open access publication under the terms and conditions of the Creative Commons Attribution (CC BY) license (https://creativecommons.org/license s/by/4.0/). deep unfolding neural network (USRNet) [22]. Jawa et al have used Machine Learning 45 and statistical methods for studying voids and photothermal effects of a semiconductor 46 rotational medium with thermal relaxation time [23]. Kovács et al [24] have investigated 47 Deep Learning approaches, based on U-net [25], for recovering initial temperature profiles 48 from thermographic images in non-destructive material testing. There are also several 49 studies using Deep Learning neural networks on infrared thermal images for machine 50 health monitoring [26,27], as well as for pavement defect detection and pavement condi-51 tion classification [28]. Qu et al have developed a low-cost thermal imaging with Machine 52 Learning for non-invasive diagnosis and therapeutic monitoring of pneumonia [29]. Gajj-53 ela et al have leveraged mid-infrared spectroscopic imaging and deep learning for tissue 54 subtype classification in ovarian cancer [30]. Li Voti et al have developed photothermal 55 depth profiling by Genetic Algorithms [31]. Xiao et al have conducted a review of the field 56 including photothermal depth profiling techniques [32,33]. 57

In this paper, we use Machine Learning for analyzing our own measurement data by 58 using Opto-thermal transient emission radiometry (OTTER), which is a type of photother-59 mal radiometry technique that has been used in skin hydration, hydration depth profiling, 60 skin pigments and trans-dermal drug delivery studies [32-39]. Compared with other tech-61 nologies, OTTER has the advantages of non-contact, non-destructive, quick to make a 62 measurement (a few seconds), and being spectroscopic in nature. It is also color blind, and 63 can work on any arbitrary sample surfaces. It has a unique depth profiling capability on 64 a sample surface (typically the top 20  $\mu$ m)[33], which makes it particularly suitable for 65 skin measurements. OTTER is information rich, however to analyze the signal and get the 66 information is often difficult. To solve this problem, we proposed using Machine Learning 67 for data analysis. Comparing conventional mathematical analysis, the main advantage of 68 Machine Learning is that it can study and learn to analyze the data automatically, without 69 the need of building complex mathematical models. We have investigated different algo-70 rithms such as Random Forest Regression, Gradient Boosting Regression, Support Vector 71 Machine (SVM) Regression, Partial Least Squares Regression, as well as Deep Learning 72 Neural Networks Regression. We first introduce the theoretical background, then illus-73 trate its applications with experimental results. 74

#### 2. Materials and Methods

This section describes the OTTER apparatus used, the machine learning algorithms 76 developed, the volunteer information and the measurement procedures. 77

#### 2.1. OTTER Apparatus

Figure 1 shows the schematic diagram of Opto-thermal transient emission radiome-79 try (OTTER). It uses a pulsed laser (Er:YAG laser, 2.94µm, a few milli joules per pulse) as 80 a heat source to heat the sample, an ellipsoidal mirror, and a fast infrared MCT (mercury 81 cadmium telluride, InfraRed Associates, Inc., USA) detector to measure the consequent 82 blackbody radiation increase of the sample [31,32]. The MCT detector used is the most 83 sensitive infrared detector on the market. It is liquid nitrogen cooled and has a wide sen-84 sitivity spectrum range (3-15µm), high bandwidth (10MHz), and a purposely designed 85 amplifier. A narrow band interference filter is also used in front of the MCT detector to 86 select different detection wavelengths. By analyzing the OTTER signals, we can get the 87 optical properties, thermal properties, and layered structure information from the sample. 88 The selection of detection wavelength is achieved by using narrow bandpass mid-infrared 89 interference filters. By selecting different detection wavelengths using different narrow 90 band interference filters, we can measure different properties of the sample, for example, 91 the water concentration information in skin (13.1  $\mu$ m) or solvent concentration infor-92 mation within skin (9.5  $\mu$ m). The OTTER detection depth is about 20 $\mu$ m. No other tech-93 niques can do depth-profiling in this range on in-vivo samples [32]. The OTTER skin 94

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measurements therefore should only be confined within Stratum Corneum, which is the outmost skin layer. 95

Figure 1. The schematic diagram of OTTER measurements [33].

For most OTTER measurements, it can be simplified as one dimensional semi-infinite 99 problem [31]. For a semi-infinite, optically homogenous material, the OTTER signal can 100 be generally expressed as [5-7], 101

$$S(t) = Ae^{t/\tau} erfc \sqrt{t/\tau}$$
(1)

Where A is the amplitude of the signal,  $\tau = 1/(\beta^2 D)$  is the signal decay lifetime,  $\beta$  is the sample's emission absorption coefficient, and D is the sample's thermal diffusivity. By fitting the OTTER signal using Eq.(1), we can get the best fit  $\beta$ , and from  $\beta$  we can get the water content H in the sample, i.e. skin, hair, or nail [32].

$$H = \frac{\beta_w - \beta}{\beta_w - \beta_d} \tag{2}$$

Where  $\beta_w$  is the emission absorption coefficient of water,  $\beta_d$  is the emission absorption coefficient of dry sample. By using segmented least square (SLS) fitting, we can also get the water content at different depth, details are available elsewhere [33-35]. 108

For a semi-infinite, optically non-homogenous material, the first assumption is that  $\beta$  is a linear function of depth [32], 110

$$\beta(z) = \beta_0 + w_\beta z \tag{3}$$

where  $\beta_0$  is the absorption coefficient of the surface of the skin, and  $w_\beta$  is the gradient 111 of the absorption coefficient. Then, the corresponding OTTER signal can be calculated as: 112

$$S(t) = A\left(\frac{2W\sqrt{t\tau}}{\sqrt{\pi}(2Wt+1)} + \frac{1}{\sqrt{2Wt+1}}e^{\frac{t/\tau}{2t/\tau+1}}erfc\left(\frac{\sqrt{t/\tau}}{\sqrt{2Wt+1}}\right)\right)$$
(4)

Where  $W = w_{\beta}D$  is the effective gradient, and  $\tau = 1/(\beta^2 D)$  is the signal decay lifetime. 113 By fitting the OTTER signal with Eq. (4) we can get the skin surface absorption coefficient 114  $\beta_0$  and the effective gradient W. 115

For most complex materials, where  $\beta$  is not a linear function of depth, we can use the enhanced segmented least squares (SLS) fitting algorithm [33], to get the skin hydration depth profiles in the following steps: 118

- 1. Load the OTTER signal
- 2. Find the starting point and end point of the signal

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3.	Fit the entire signal with Eq.(1) to get an average sample's emission absorption	121
	coefficient $\beta$ .	122
4.	Divide signal into 10 slices.	123
5.	Fit the first slice of the signal with Eq.(1) to get the first $\beta$ , then calculate the cor-	124
	responding detection depth z.	125
6.	Fit the first and the second slice of the signal with Eq.(1) to get the second $\beta$ , then	126
	calculate the corresponding detection depth z.	127

7. Repeat step 6 until all the slices are used.

With the above algorithm, we can then plot  $\beta$  against depth z to get a depth resolved130emission absorption coefficient. With Eq.(2) we can also interpret the plot as skin hydra-131tion levels at different depth (in micron meters), as shown in Figure 2.132

As the we can see, the skin water hydration levels depth profiles are not linear, to 134 simplify the problem, we fit the skin hydration depth profiles results in Figure 2 with 135 Eq(3), to get simplified linear distribution of skin water content, as shown in Figure 3. 136



Figure 2. The typical OTTER measurement signals (left) and the corresponding hydration depth139profiles (right) analyzed by using enhanced segmented least squares (SLS) fitting algorithm, of skin140site at arm low, arm high, face, finger back, finger front and forehead.141



Figure 3. The simplified linear skin hydration distribution by fitting the skin hydration profiles in143Figure 2 with Eq(3). The smooth curves are original profiles, the curves with squared markers are144fitted straight line profiles.145

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#### 2.2. Machine Learning Algorithms

From the history of Artificial Intelligence (AI) development [39], it can be roughly 148 divided into three stages, artificial neural networks (1950s - 1970s), Machine Learning 149 (1980s – 2010s) and Deep Learning (2010s – present). Generally speaking, Machine Learn-150 ing is considered as a subset of AI, and Deep Learning is considered as a subset of Machine 151 Learning. Machine Learning was originally developed in 1980s and consists a set of math-152 ematical algorithms that can automatically analyze the data without being specifically 153 programmed to do so. Machine Learning can be divided into Supervised Learning, Unsu-154 pervised Learning, Semi-supervised Learning and Reinforcement Learning [40]. In this 155 paper, we will mainly focus on Supervised Learning, for the purpose of Regression and 156 Classification. For Regression, we have investigated different algorithms such as Lasso 157 (least absolute shrinkage and selection operator) [41], ElasticNet [42], Decision Tree [43], 158 Support Vector Machine [44], Gradient Boosting [45], Linear Regression [46], Random 159 Forest [47], K Nearest Neighbors [48], Extreme Gradient Boosting [49], Partial Least 160 Squares(PLS) Regression [50], Voting Regression [51], Ridge regression with built-in 161 cross-validation (RidgeCV) [52], as well as Deep Learning Neural Networks [53,54], to 162 analyze the OTTER data. For Classification, we have investigated different Supervised 163 Learning algorithms for classifying OTTER data. 164

Lasso Regression and Ridge Regress can be viewed as improved versions of Linear regression [55]. For linear regression, the cost function RSS (Residual Sum of Squares) can be written as: 167

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$$RSS(W) = \sum_{i=1}^{N} (y_i - \hat{y})^2 = \sum_{i=1}^{N} (y_i - \sum_{j=1}^{M} (w_j x_{ij}))^2$$
(4)

Where  $y_i$  is the individual y values, N is total number of y values,  $w_j$  is the corresponding weight for the  $x_{ij}$ , M is the total number of x values. In order to minimize this 171 cost, we generally use an algorithm called "gradient descent" [56]. Gradient descent 172 means to calculate the partial differentiation of the above equation against weight  $w_j$ , and 173 adjust weight in each iteration until it reaches the optimum stage. However, when the 174 gradient is close to zero, the gradient descent algorithm will stop to work. This is commonly known as vanishing gradient [57].

Ridge Regression calculate the cost function RSS as the following, with sum of weight 177 squares: 178

$$RSS(W) = \sum_{i=1}^{N} (y_i - \hat{y})^2 = \sum_{i=1}^{N} (y_i - \sum_{j=1}^{M} (w_j x_{ij}))^2 + \lambda \sum_{j=1}^{M} (w_j)^2$$
(4)

The  $\lambda$  is the calculation parameter. When we do the partial differentiation of the above equation, it is equivalent reduce the effect of weight, and can help in the event vanishing gradient problem.

Lasso Regression calculate the cost function RSS as the following, with the sum absolute value of the magnitude of weights: 184

$$RSS(W) = \sum_{i=1}^{N} (y_i - \hat{y})^2 = \sum_{i=1}^{N} (y_i - \sum_{j=1}^{M} (w_j x_{ij}))^2 + \lambda \sum_{j=1}^{M} [w_j]^2$$
(4)

Ridge Regression includes all (or none) of the features in the model, hence has the advantage of coefficient shrinkage and reducing model complexity.

Lasso Regression also has several benefits, apart from shrinking coefficients, it also 189 performs feature selection. This is equivalent to exclude certain features from the model. 190

Elastic\_Net Regression uses the linear combination of the penalty functions of Ridge 191 Regression and Lasso Regression. By using this approach Elastic\_Net can help on overfitting and underfitting problems. 193

Decision Tree and Random Forest are very popular Machine Learning algorithms. 194 They are commonly used for classification. For Regression, the tree predicted outcome can be considered a real number, and it can contain different levels of depth, not enough layers of depth can result to underfit, and too many layers of depth can lead to overfit. 197

Support Vector Machine (SVM) is another popular Machine Learning algorithm, that 198 is commonly used in Classification. For Regression, Support Vector Regression (SVR)'s 199 goal is to find a function that approximates the relationship between the input variables 200 and an output variable, with minimum error. SVR can handle non-linear relationships 201 between the input variables and the target variable and makes it a powerful tool for analyzing complex problems. 203

Gradient boosting is a relatively new Machine Learning algorithm that is particularly 204 suitable for tabular datasets. Gradient boosting is a type of ensemble methods where you 205 create multiple weak models and in order to get better performance as a whole. It can find 206 any nonlinear relationship between your model target and features and has great usability. It can also effectively deal with missing values, outliers, and high cardinality categorical values on your features. There are different versions of gradient boosting trees such 209 as XGBoost or LightGBM. 210

Partial least squares regression (PLS regression) is a popular regression technique 211 that is commonly used in spectral data analysis. It first projects the input data into a new 212 space, then tries to fit the data by using a linear regression model in the new space. It is a 213 quick, efficient and optimal regression technique. PLS regression is recommended in cases 214 of regression where the number of explanatory variables is high, and likely multicollinearity among the variables [58,59]. 216

Voting Regressions [60] belongs to the family of Ensemble Learning [61], which combines the predictions from multiple individual regression models to improve the 218

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2.3. *Measurement Procedure* All the measurements were performed on healthy volunteer (male and female, age

25 - 55), under normal ambient laboratory conditions of 20-21°C and 40-50% RH. The volunteer was instructed avoid excess water intake and the measurements were perform in the morning. The volar forearm skin sites used were initially wiped clean with ETOH/H2O (95/5) solution. The volunteer was then acclimatized in the laboratory for 20 minutes prior to the experiments.

performance. Voting Regressor can use simple averaging or weighted averaging to decide

#### 3. Results and Discussions

the final outcome.

#### 3.1. Regression - Homogenous Model

All the OTTER measurements are done and analyzed using the steps described in 231 section 2.1. OTTER signals are analyzed by using Eq.(1) and the skin hydration are calculated by using Eq.(2). Figure 4 shows 97 OTTER skin measurement signals and the corresponding skin hydration levels in percentages calculated by using Eq.(1) and Eq.(2). These 234 OTTER signals are were measured from the volar forearm of healthy volunteers, 20-30 235 years old, understand the standard laboratory condition (21°C, 40%). 236



**Figure 4.** The OTTER skin measurement signals (a) and corresponding skin hydration levels in percentages (b). 238

We randomly divided the above set of 97 measurement data into 75% as training dataset, and 25% 240 as testing dataset and fed them into different Machine Learning algorithms models. Figure 5 shows 241 the different Machine Learning Regression results. The results show that Lasso, Elasticnet, and Sup-242 port Vector Machine Regressor (SVR) are almost completely not working in this case. Gradient 243 Boosting, Extreme Gradient Boosting, as well as Decision Tree, work fine for the training data, but 244 not very well for the testing data. Linear Regression gives the best results, followed by K Nearest 245 Neighbors, Partial Least Squares Regression(PLS) and Random Forest. Deep Learning Neural Net-246 work, see Figure 6 for the architecture, was also used. It works fine for the training data, but not 247 very well for the testing data. 248





Figure 5. The Regression results of different Machine Learning algorithms models, (A) Lasso, (B)251ElasticNet, (C) Decision Tree, (D) Support Vector Machine, (E) Gradient Boosting, (F) Linear Regression, (G) Random Forest, (H) K Nearest Neighbours, (I) Extreme Gradient Boosting, (J) Partial Least252Squares(PLS) Regression, (K) Voting Regression, (L) Deep Learning.254

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## Model: "sequential"

Layer (type)	Output Shape	Param #
dense (Dense)	(None, 256)	1048832
dense_1 (Dense)	(None, 256)	65792
dense_2 (Dense)	(None, 32)	8224
dense_3 (Dense)	(None, 11)	363
Total params: 1,123,211 Trainable params: 1,123,211 Non-trainable params: 0		

Figure 6. The Deep Learning model architecture.

#### 3.2. Regression - None-Homogenous Model

Figure 7 shows the same 97 OTTER skin measurement signals and the corresponding skin hydration278depth distributions analyzed by using enhanced segmented least squares (SLS) fitting algorithm,279then fitted with Eq(3).280

Figure 8 shows the different Machine Learning Regression results. As you can see, again, Linear281Regression gives the best result, it works well for both training data and testing data. RidgeCaV also282gives a very good result, followed by PLS regression and K Nearest Neighbor. Deep Learning Neural Networks with the same architecture shown in Figure 6 was also used, again, it does not work283very well.284



Figure 7. The OTTER skin measurement signals (a) and corresponding skin hydration [%] linear286distribution depth profiles (b).287



Figure 8. The Regression results of different Machine Learning algorithms models, (A) Random 289 Forest, (B) RidgeCV, (C) Partial Least Squares(PLS) Regression, (D) K Nearest Neighbours, (E) Lin-290 ear Regression, (F) Deep Learning Neural Networks..

3.3. Classification - Real OTTER Data

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Figure 9 shows 20 OTTER signals of 4 different healthy volunteers (male and female, aged 25 - 55294years old) on the volar forearm, each volunteer has 5 measurement signals and volunteers are classified as 1, 2, 3, and 4.295



**Figure 9.** The 20 OTTER signals of 4 different volunteers on the volar forearm **(A)** and the corresponding 3D presentation **(B)**.

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The 20 OTTER signals were then randomly divided into a 75% training dataset and 300 a 25% testing dataset. The training dataset was used to train Machine Learning models, 301 and trained Machine Learning models were then tested on the testing dataset. The follow-302 ing are classification results, as shown in Table 1. Accuracy means how many percentage 303 of data that a model predicted correctly. Logistic, Ada Boost, and Gradient Boost give the 304 best results, which achieved 100% accuracy for training data and 100% accuracy for testing 305 data. The Deep Learning Neural Networks model based on the architecture shown in Fig-306 ure 7, also performs well and reached 88.2% for training data and 83.3% for testing data. 307

**Table 1.** The classification accuracy results for Logistic, Naïve Bayes, SVC, Random Forest, Bagging308Classifier, Ada Boost Classifier and Gradient Boosting Classifier.309

Models	Accuracy (Training) [%]	Accuracy (Test) [%]
Logistic	100.0%	100.0%
Naive Bayes	100.0%	83.3%
SVC	82.4%	83.3%
Random Forest	100.0%	83.3%
Bagging	70.6%	66.7%
Ada Boost	100.0%	100.0%
Gradient Boost	100.0%	100.0%
Deep Learning	88.2%	83.3%
LDA	82.4%	83.3%

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Linear Discriminant Analysis (LDA) [62] and Principal Component Analysis (PCA) 312 [63] are two related Machine Learning Algorithms for dimensionality reduction before 313 later classification. LDA projects the data into a lower dimensioned space to separate the 314 data better into different classes and to reduce computational costs, whilst PCA aims to 315 project the data into new axis (called components), to maximize the variance. LDA first 316 calculates the mean and covariance matrix for each class in the data, then calculates the 317

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scatter matrix between classes and that of within each class. The goal is to find a projection 318 that can maximize the ratio of the scatter matrix between classes and that of within each 319 class. PCA first centers the data around its mean, then finds the eigenvectors and eigen-320 values of the covariance matrix, which are then used to project the data onto a lower-321 dimensional space. The eigenvectors specify the directions of maximum variance, and ei-322 genvalues specify the corresponding amount of variance. The number of principal com-323 ponents represents the amount of variance we want to retain. Typically, we choose the 324 number of principal components that is enough to explain a certain percentage of the total 325 variance in the data. 326

Figure 10 shows the LDA plot of the first two components of the 20 OTTER signals328of 4 different volunteers on the volar forearm. The results show that LDA can reasonably329separate the OTTER signal from different volunteers effectively, the classification results330show that LDA can reach 82.4% accuracy on training data and 83.3% accuracy on testing331data.332

Figure 11 shows the PCA plot of the first two components of the 20 OTTER signals of 4 different volunteers on the volar forearm. The results show that PCA can also reasonably separate the OTTER signal from different volunteers effectively. By applying Random Forest Classifier on PCA results, we can also achieve 100% accuracy was achieved on classifying training data and 100% accuracy on classifying testing data.

With SHAP (SHapley Additive exPlanations) [63] values we can also evaluate the 340 importance of each feature, and how it affects each final prediction. SHAP is originally a 341 game theoretic approach that measures each player's contribution to the final outcome, 342 and now has been widely using in Machine Learning to analyze the feature importance. 343 In Machine Learning, each feature is assigned an important value representing its contri-344 bution to the model's output. By plotting the features according to their importance val-345 ues, we can understand which are the most important features and which are the least 346 important features. SHAP values can be used to interpret any machine learning model, 347 such as Linear regression, Decision trees, Random forests, Gradient boosting models, and 348 Neural networks and so on. Figure 12 shows the important features for OTTER data clas-349 sification. As we are using OTTER signal data values as features, features 0, 1, 2, 3, 4 are 350 the first four data points of the OTTER signal. This means that for classification, the early 351 part of the signal is more important than the later part of the signal. 352

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Figure 10. The LDA plot of the first two components of the 20 OTTER signals of 4 different volun-356teers on the volar forearm.357



Figure 11. The PCA plot of the first two components of the 20 OTTER signals of 4 different volun-359teers on the volar forearm.360



Figure 12. The most important features according to SHAP values.

As for the future work, we can further improve the classification accuracy in two ways, fine tuning model hyper parameters [65] and using Voting Classifier [66].

For most Machine Learning models, they have many hyper-parameters, and choos-365 ing the correct values for the hyper-parameters can have a good impact for the prediction 366 accuracy. Take SVM (Support Vector Machine) for example, it can have the following hy-367 per-parameters, C: the regularization parameter, kernel: the kernel type ('linear', 'poly', 368 'rbf', 'sigmoid', 'precomputed', or a callable) to be used in the algorithm, degree: the de-369 gree of the polynomial kernel function ('poly') and ignored by all other kernels, the default 370 degree value is 3, gamma: the kernel coefficient for 'rbf', 'poly', and 'sigmoid'. If gamma 371 is 'auto', then 1/n features will be used instead. There can be several ways to find the best 372 hyper-parameter values. The simplest one is exhaustive grid search, i.e. search all possible 373 combinations. As you can see, this touch is comprehensive, but could be very time-con-374 suming. An alternative approach is randomized parameter optimization, in which you 375 first randomized the hyper-parameter values, then perform searching for the optimized 376 values. 377

A voting classifier is a machine learning model that improves the classification accuracy by using a collection of models and predicts the results based on the largest majority of votes. It averages each classifier's results into the voting classifier. There are two different types of voting classifiers: Hard Voting and Soft Voting. Hard Voting predicts output 381 with the highest majority of votes. Soft Voting averages the probabilities of the classes 382 determine which one will be the final prediction. 383

## 5. Conclusions

We have investigated a range of Machine Learning algorithms for analysing our 386 opto-thermal transient emission radiometry (OTTER) signals. For regression, we have in-387 vestigated the OTTER signals using both homogenous model and non-homogenous 388 model. For homogeneous model, the results show that Lasso, Elasticnet, and Support Vec-389 tor Machine Regressor (SVR) are not working at all. Linear Regression gives the best re-390 sults, followed by K Nearest Neighbors and Random Forest. For non-homogeneous 391 model, Linear Regression gives the best result, followed by RidgeCV, PLS regressor and 392 K Nearest Neighbors. In both cases, Deep Learning Neural Network model does not work 393 well. For classification, Logistic, Ada Boost, and Gradient Boost give the best results, 394 which achieved 100% accuracy for both training data and testing data. LDA and PCA can 395 effectively separately the OTTER signals from different volunteers. By applying Random 396 Forest Classifier on PCA results, we can also achieve 100% accuracy on classifying both 397 training data and testing data. With SHAP values we can understand the importance of 398 the different features. The results show that for classification, the early part of the OTTER 399

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signal is more important than the later part of the signal. For the future work, we can further improve the classification accuracy by using fine tuning model hyper parameters and Voting Classifier. 402

The main advantage of Machine Learning algorithms is that it can learn through 403 training data and once trained, it can automatically analyze any unseen data, without the 404 needing of complex mathematical models. The main disadvantage of Machine Learning 405 algorithms is that many works like a blackbox, more work is needed for explainable Machine Learning algorithms. 407

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