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#### Energy and Buildings xxx (2013) xxx-xxx



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### Graphical Abstract





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Highlights

#### Specific energy consumption values for various refrigerated food cold stores

Energy and Buildings xxx (2013) xxx-xxx

J.A. Evans\*, A.M. Foster, J.-M. Huet, L. Reinholdt, K. Fikiin, C. Zilio, M. Houska, A. Landfeld, C. Bond, M. Scheurs, T.W.M. van Sambeeck

- Energy consumption of cold stores was compared and benchmarked.
- The work consists of the greatest number of data sets collected and published to date.
- A strong relationship between volume and energy was established.
- A mathematical model was developed to predict energy use.
- The model was used to identify factors affecting energy consumption.

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### **Energy and Buildings**



journal homepage: www.elsevier.com/locate/enbuild

# Specific energy consumption values for various refrigerated food cold stores

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#### ABSTRACT

Two benchmarking surveys were created to collect data on the performance of chilled, frozen and mixed (chilled and frozen stores operated from a single refrigeration system) food cold stores with the aim of identifying the major factors influencing energy consumption. The volume of the cold store was found to have the greatest relationship with energy use with none of the other factors collected having any significant impact on energy use. For chilled cold stores, 93% of the variation in energy was related to store volume. For frozen stores, 56% and for mixed stores, 67% of the variation in energy consumption was related to store volume. The results also demonstrated the large variability in performance of cold stores. This was investigated using a mathematical model to predict energy use under typical cold store construction, usage and efficiency scenarios. The model demonstrated that store shape factor (which had a major impact on surface area of the stores), usage and to a lesser degree ambient temperature all had an impact on energy consumption. The work provides an initial basis to compare energy performance of cold stores.

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#### 1. Introduction

Refrigeration is one of the most energy-intensive technologies used in the food supply chain and poses a number of sustainability-related challenges. It accounts for about 35% of

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electricity consumption in the food industry [1], worldwide this equates to a consumption of about 1300 TWh year<sup>-1</sup> [1].

Energy issues are among the main concerns in Europe today. The main challenge is to meet the binding target set by the Heads of States and Governments of the 27 EU Member States in March 2007 to increase energy efficiency by 20% and to increase the use of renewable energies by 20%, by 2020 [2].

All chilled and frozen food and temperature controlled phar-41 maceutical products are stored in a cold store at least once during 42 their journey from production to the consumer. Chilled stores gen-43 erally maintain products at temperatures between -1 and  $10^{\circ}$ C 44 whereas frozen stores generally maintain product at below -18 °C. 45 The cold store market is extremely diverse consisting of small stores 46 of 10–20 m<sup>3</sup> up to large warehouses of hundreds of thousands of 47 cubic metres. All cold stores have the function of storing a product 48 at the correct temperature and to prevent quality loss as economi-49 cally as possible. In Europe there are approximately 1.7 million cold 50 stores totalling 60–70 million m<sup>3</sup> of storage volume. Of these, 67% 51 are small stores with a volume of less than  $400 \text{ m}^3$  [3]. 52

J.A. Evans et al. / Energy and Buildings xxx (2013) xxx-xxx

Cold storage rooms consume considerable amounts of energy. Previous unpublished work by the authors has shown that within cold storage facilities, 60-70% of the electrical energy may be used for refrigeration. Therefore, cold store users have considerable incentive to reduce energy consumption. There are few published surveys comparing the performance of more than a few cold stores. In addition surveys rarely differentiate between type of store, storage temperature, location, room size or room function. In 2002 the IIR estimated that the SEC (Specific Energy Consumption) of cold stores was between 30 and  $50 \text{ kWh} \text{ m}^{-3} \text{ year}^{-1}$  [4]. The minimum value from this study was similar to values from a study carried out in the Netherlands by Bosma [5] which found energy consumption of cold stores to be 35 kWh m<sup>-3</sup> year<sup>-1</sup>. In the UK ETSU (Energy Technology Savings Unit) [6] also found that stores consumed at minimum  $34 \, \text{kWh} \, \text{m}^{-3} \, \text{year}^{-1}$  but that consumption could also be up to  $124 \text{ kWh m}^{-3}$  year<sup>-1</sup>. Other studies in the USA by Elleson and Freund [7] and Singh [8] found SECs of between 19 and 88, and 15 and 132 kWh m<sup>-3</sup> year<sup>-1</sup> respectively. In one of the most comprehensive recent surveys carried out in New Zealand by Werner et al. [9] the performance of 34 cold stores was compared. The SECs recorded varied from 26 to 379 kWh m<sup>-3</sup> year<sup>-1</sup> demonstrating that there was a large variation in energy consumed by cold stores. Savings of between 15 and 26% were found to be achievable by applying best practice technologies. This large range in performance was also found by Carlsson-Kanyama and Faist [10] who report data from BELF [11] for energy use for freezers per litre net volume per day to be 1.0 kJ (equivalent to  $101 \text{ kWh} \text{ m}^{-3} \text{ year}^{-1}$ ) when food was stored in rooms of 10,000 m<sup>3</sup> whereas in rooms of 10 m<sup>3</sup> the energy was 15 kJ (equivalent to 1520 kWh m<sup>-3</sup> year<sup>-1</sup>). In both surveys a factor difference of 15 was apparent.

Limited information has been published on throughputs and storage and often information is difficult to compare due to the metrics used by the authors. Carlsson-Kanyama and Faist [10] report energy used for long-term cold storage of apples may vary between 0.9–1.7 kJ electricity per kg per day. Swian [12] reported figures for potato storage collected over a 3 (a) period from 8 stores as being between 0.1 and 0.29 kWh tonne day<sup>-1</sup>. On average the energy ranged from 0.12 to 0.15 kWh tonne<sup>-1</sup> dav<sup>-1</sup> within each of the 3 years where monitoring took place. The results showed a massive difference in energy consumption between the best and worse stores. It should be noted that the data included all energy used and that in cold weather potato farmers need to heat stores to maintain the potatoes at the usual storage temperatures of 3 °C. In addition there was no information presented on store temperatures and so the stores that appear most efficient may be those that stored the potatoes at a higher temperature.

Previous detailed audits carried out on a small number of cold stores has confirmed that energy consumption can vary considerably and that this was due to a variety of factors [13,14]. These surveys also demonstrated that energy savings of 30-40% were achievable by optimising usage of the stores, repairing current equipment and by retrofitting of energy efficient equipment.

The performance of a large number of cold stores has never been compared in detail and there is little information to compare performance of stores Worldwide. With government targets to reduce energy and emissions of greenhouse gasses (GHG), the need to benchmark and understand potential energy and GHG reductions is of great interest to end users. To enable end users to improve the performance of their cold stores a project called 'Improving Cold storage Equipment in Europe' (ICE-E) was developed with 8 partners from across Europe. The initial aim of the project was to collect data to benchmark the performance of cold stores in Europe.

As part of the ICE-E project, two internet based surveys were developed and data collected to determine energy usage in different cold store types, sizes and configurations. In addition a 110 mathematical model was developed to predict energy used in cold 120 stores. Results from these surveys and the predictions made by 121 the model are presented in this paper and the data analysed to 122 determine whether there were any common factors that affected 123 performance of the cold stores. 124

#### 2. Materials and methods

2.1.	Detailed survey tool	120
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#### 2.1.1. Development of survey tool

The survey was developed using a NET web application. Devel-128 opment was carried out in Microsoft Visual Studio using c# (c sharp) 129 which used .NET Framework 4.0. The data was saved in a Microsoft 130 SQL database. The survey was available in a number of languages 131 (Bulgarian, Czech, Danish, Dutch, English, French, Italian and Span-132 ish). The survey was initially tested on a selected number of cold 133 store operators to ensure the questions were appropriate and rel-134 evant. Improvements were then made based on their comments. 135

The survey allowed participants to initially register their details 136 and then to enter data on as many refrigeration systems as they 137 wished. It collected information per single refrigeration system that 138 might supply one of several cold stores. The survey was designed 139 to be simple to complete with the aim that it should take a cold 140 store operator less than 20 min to complete the survey. The final 141 survey document consisted of 5 pages collecting basic information, 142 information on the refrigeration system, the food stored, the facility 143 and the refrigeration equipment at the facility. During the initial 144 registration process, cold store operators could ensure that data 145 was anonymous. 146

#### 2.1.2. Data collected and benchmark analysis of survey tool

The survey parameters collected are shown in Appendix 1. In 148 all cases the users were asked to rate the accuracy of the data they 149 submitted. The collected data was retained on a server where users 150 could return to update information or add further data.

Once users had input data they could then compare the per-152 formance of their store through an automatic benchmark analysis. 153 This enabled them to compare the energy used by their cold store 154 system with systems of a similar size and product throughput. In 155 addition users could compare the set point temperatures, food type, 156 room function and refrigerant type with others in the survey. In all 157 comparisons the user had the ability to define the range over which 158 comparisons were carried out. 159

#### 2.2. Express survey tool

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In response to some end users requesting a simpler and more 161 rapid means to benchmark their stores an 'Express Survey' was 162 developed. This required only 5 min to complete. 163

#### 2.2.1. Development of survey tool

The tool was part of the ICE-E web site and written in HyperText Markup Language (HTML) using a web form to collect the data. As in the detailed survey all data collected was anonymous.

#### 2.2.2. Data collected and benchmark analysis of survey tool

A limited data set of 5 parameters was collected (set temper-169 ature, area and volume of the store, food throughput and energy 170 usage per year) which reflected what were considered to be the 171 most important factors affecting energy use in cold stores. In all 172 cases blast freezing of product was excluded from the data col-173 lected. 174

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#### J.A. Evans et al. / Energy and Buildings xxx (2013) xxx-xxx

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Once data was submitted the information was input manually into the main benchmark survey and information sent directly to the cold store operator.

For both surveys the data collected was checked and unreliable data excluded. Where possible any unreliable data was cross checked with the cold store operator and any anomalies corrected.

#### 2.2.3. Mathematical model of cold store energy performance

A mathematical model of cold store energy use was developed to predict energy used by cold stores. This was used to compare theoretical energy used by cold stores with the actual energy usage collected in the survey.

The model was steady state, therefore all heat loads were aver-186 187 aged over one day. The cold store was modelled as a fully sealed rectangular box with one entry door. The cold store had enough 189 thermal mass such that door openings did not change the temperature in the cold store. The temperature of the ambient air 190 outside the cold store was not changed by the door openings. There was only one layer of insulation on the walls, roof and floor. Any 192 metal cladding was ignored as the resistance to heat transfer from this was considered negligible. The luminous flux from the lights 194 was divided by the area of the floor and walls to give a uniform 195 luminance. The thermal mass of the forklift trucks were ignored. 196 Therefore if they moved from a warm environment into the store, they did not give up this heat to the store. Energy from fork lift 198 trucks did not include charging the batteries. Any product which 100 changed temperature when loaded into the store did not have a 200 latent load (e.g. freezing and thawing), only a sensible load.

Data was input via a spread sheet. The inputs included;

- Information about each wall (including ceiling and floor) of the 203 cold store, e.g. face area, whether it was in the sun, outside ambi-204 ent or internal and the type and thickness of the insulation. 205
- The size of the door, its opening schedule, whether it was pro-206 tected (e.g. by strip or curtains), amount of traffic through the 207 door and the outside conditions. 208
  - The refrigeration system, refrigerant, type of condenser, condenser ambient, efficiency of compressor and number of compression stages.
  - Heat loads inside the store from forklifts, lights, personnel, product, defrosts and evaporator fans.
- · Electrical loads from lights, defrosts, evaporator fans and con-214 215 denser fans.

Full details of the model are contained in Appendix 2.

To better understand the variations in the survey data, 3 usage 217 scenarios were modelled over a range of store volumes between 10 218 and 350,000 m<sup>3</sup>. Store volume was modelled as a cold store of 5 m 219 height with store width and depth equal in all cases. A further set 220 of predictions were made at each store volume for the stores with 221 the minimum and maximum practical surface area (an assump-222 tion was made that the store height could not be less than 2 m). For 223 each scenario a chilled store at 2 °C and a frozen store at -23 °C were 224 modelled at the minimum and maximum average annual tempera-225 tures in Europe (4.6 °C and 20.6 °C based on data from weatherbase 226 [16]). The 3 scenarios were: 227

- 1. A base-line store where all heat loads except those that were 228 essential to the operation of the store were removed. 229
- 2. A typical store with average use with a high efficiency refriger-230 ation system. 231
- 3. A typical store with high usage with a low efficiency refrigeration 232 system. 233

Parameters for each scenario were selected based on informa-234 tion from Evans et al. [17]. Full details of the assumptions made for 235 each of the 3 scenarios are listed in Table 1. 236

#### 3. Results

#### 3.1. Data collected

Data from 329 cold stores was collected. One data point was 239 the mean of 331 cold stores in the UK (i.e. the total data collection 240 encompassed 659 stores). This point was excluded from the anal-241 ysis as data was not available on the data variance. Therefore, the 242 data point could not be included at an equal weighting to the other 243 data sets and so was used for purely comparative purposes in the 244 analysis. Thirty-four data sets were removed as they were consid-245 ered unreliable (due to store dimensions being obviously incorrect 246 or product temperatures, throughputs or store temperatures being 247 inconsistent) leaving 294 data sets with the minimum 5 critical 248 parameters recorded (temperature of the store, area and volume of 249 the store, food throughput and energy usage per year). 250

The data collected covered 21 different countries (Belgium, Bul-251 garia, China, Czech Republic, Denmark, France, Germany, Greece, 252 Ireland, Italy, Mexico, Netherlands, New Zealand, Portugal, Roma-253 nia, Serbia, Spain, Sweden, Switzerland, United Kingdom, USA). 254 Seventy percent of the 295 data sets originated from EU countries. 255

#### **3.2**. Cold store type

Cold store function was divided into chilled, frozen or mixed 257 stores (those with both chilled and frozen rooms operating from 258 a common refrigeration system). Analysis of variance (ANOVA) 259 showed a highly significant difference (P < 0.05) between the SEC of 260 all store types. Differences between chilled and frozen and chilled 261 and mixed were greater (P < 0.01) than between frozen and mixed 262 stores (**P**<0.05). 263

#### 3.3. Country

Large variations in SEC were shown between countries. How-265 ever, this was most likely due to the limited number of data sets for 266 some countries. Analysing the data from countries, where a greater 267 number of data sets were available, did not show any correlation 268 between location and ambient temperature at the location or any 269 factor such as differences in design of the cold stores. Due to the 270 large variability in SEC it was not possible to analyse data from 271 each country separately. Therefore, all further analysis was carried 272 out on data divided into chilled, frozen and mixed stores. 273

#### 3.4. Impact of store location and ambient temperature

An analysis of ambient temperature at each store location 275 was carried out. Data on ambient temperature was taken from 276 meteorological data for each store location and the mean annual 277 temperature for the year in which the energy data was collected 278 was correlated with energy usage. Correlations between ambient 279 temperature and SEC for chilled, frozen and mixed stores were low 280 (less than 0.17), indicating that mean ambient temperature may 281 have had little impact on energy usage.

#### 3.5. Relationship between energy use and store size

The relationship between store energy consumption and size 284 was investigated using multiple regression. As part of this analysis 285 the data was found to be near to a normal distribution. 286

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### Table 1 Assumptions used in model.

Assumptions used in model.

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#### J.A. Evans et al. / Energy and Buildings xxx (2013) xxx-xxx

	Scenario 1		Scenario 2		Scenario 3	
	Chilled	Frozen	Chilled	Frozen	Chilled	Frozen
Cold store shading Cold store colour Insulation Air movement around store	Shaded Light 100 mm Still air	150 mm	Not shaded Dark 100 mm Windy	150 mm	Not shaded Dark 100 mm Windy	150 mm
Condenser Compression/expansion stages	None R717 (ammonia) Evaporative 2 Compressor/1 expansion stage		None R717 (ammonia) Evaporative 2 Compressor/1 expansion stage		None R717 (ammonia) Air 2 Compressor/1 expansion stage	
Isentropic efficiency of compressor	High (0.7)		High (0.7)		Low (0.5)	
Defrost Product heat load	<mark>O</mark> ff-cycle None	Electric	Off-cycle Food loaded at 1 °C temperature, loadir product weight loss 25% of total mass loaded each day	Electric above store $g = 250 \text{ kg m}^{-3}$ , s = zero 10% of total mass loaded each day	Off-cycle Food loaded at 2°C temperature, loadir product weight loss 25% of total mass loaded each day	Electric above store $g = 500 \text{ kg m}^{-3}$ , z = zero 10% of total mass loaded each day
Fork lift heat load	None		1 per 40,000 m <sup>3</sup> , siz	e = medium, 4 h per day	1 per 30,000 m <sup>3</sup> , siz	ze = medium, 4 h per day
People heat load	None		2 persons per forkli	ft truck, 24 hours	2 persons per forkli	ft truck, 24 hours
Lighting heat load	None		Fluorescent lights, s	50 lumens.W <sup>-1</sup> , 500	Fluorescent lights, 5	50 lumens.W <sup>-1</sup> , 500 hours per day
<mark>In</mark> filtration heat load	None		And the second s	width 2 m Jom <sup>3</sup> store volume tore volume/10,000, = 25 sec, volume of opening = medium, protection on door pe200 door openings	Door height 2.5 m, v minimum, if > 50,00 door width = store v door opening time = traffic during door of door seal = good, no pe@00 door openings	width 2 m yol m <sup>3</sup> store volume volume/10,000, = 25 sec, volume of opening = medium, performed on the opening store performed on the opening store data
Evaporator/condenser	Created from corre	lation from Evans	Same as for scenari	0 1	Same as for scenari	o 1

#### **3.5.1**. Chilled stores

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One hundred and twenty-six chilled stores were included in the analysis. These ranged in volume from 57 to 225,000 m<sup>3</sup>. Regression demonstrated that 93% of the variation in annual energy consumption was related to store volume (Fig<sub>A</sub> 1). Multiple regression demonstrated that food type and food throughput had some impact on annual energy but that these factors only increased the  $R^2$  value to 95% and therefore their impact was very low. All other factors collected (including store temperature, store insulation type and thickness, store location and ambient conditions around the store, type of refrigerant and effect of door protection) had no influence on annual energy consumption.

Applying non linear relationships to the data did not improve the regression  $\mathbb{R}^2$  value. This indicates that SEC remained relatively constant across the range of cold store volumes examined.

#### **3.5.2**. Frozen stores

One hundred and thirty-two frozen stores were included in the analysis. These ranged in volume from 100 to 291,280 m<sup>3</sup>. Store

#### Table 2

Range in SEC values for cold stores examined.

volume accounted for 56% of the variability in annual energy consumption of frozen stores when a linear regression was applied. Applying a non linear power function to the data improved the regression  $\mathbb{R}^2$  value to 66% (Fig<sub>A</sub> 2). This showed that for frozen stores SEC reduced as the store size increased.

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As with chilled stores none of the factors recorded had anything above a very minimal impact on annual energy consumption. Therefore, approximately 34% of the variability in annual energy consumption was related to a factor that was not collected in the survey.

#### 3.5.3. Mixed stores

Thirty-six mixed stores were included in the analysis. These ranged in volume from 9100 to  $180,000 \text{ m}^3$ . A number of factors had an impact on mixed store annual energy consumption. As a linear regression, store volume accounted for 67% of the variability, however, if a power function (non linear regression) was applied this increased to 76%. (Fig, 3). In addition throughput, thickness of the store insulation (wall, ceiling and floor) and insulation age also

		Chilled (kWh m <sup>-3</sup> year <sup>-1</sup> )	Frozen (kWh m <sup>-3</sup> year <sup>-1</sup> )	Mixed (kWh m <sup>-3</sup> year <sup>-1</sup> )
All data	Mean	56.1	73.5	61.2
	Minimum	4.4	6.0	15.7
	Maximum	250.4	240.4	115.8
10% upper and 10% lower values removed	Mean	52.2	66.7	52.0
10% upper and 10% lower values removed	Minimum	20.7	27.0	29.9
	Maximum	95.3	134.6	107.0
20% upper and 20% lower values removed	Mean	51.5	63.7	55.9
	Minimum	29.6	37.5	37.4
	Maximum	78.0	100.0	87.3

J.A. Evans et al. / Energy and Buildings xxx (2013) xxx-xxx



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Fig, 1. Relationship between store volume and total energy use per year (kWh/year) for chilled stores.

appeared to have a minor impact on annual energy consumption. However, for these data sets the number of replicates was low and so their impact needs further investigation.

Mixed stores appeared to have a similar volume relationship with annual energy consumption as frozen stores and therefore the store SEC reduced for larger stores.

#### **3.6**. All stores

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The SEC for the cold stores examined varied considerably. Data from all stores and for all stores with the 10% and 20% upper and lower values removed are shown in Table 2.

It is interesting to note that mixed and frozen stores had a relatively similar relationship between volume and annual energy (although statistically the regression lines were significantly different at  $\rho < 0.01$ ). At volumes below 22,000 m<sup>3</sup> chilled store used less energy than frozen or mixed stores but at volumes above 22,000 m<sup>3</sup> chilled stores used more energy than frozen or mixed stores. The stores below 22,000 m<sup>3</sup> were dominated by a cluster of smaller chilled stores that had low energy consumption (several of them were produce stores where there was often intermittent usage). It341would be expected that chilled stores would use less energy than342frozen stores across the whole range of volumes. However, it may343be reasonable to expect that chilled stores have greater usage and344greater product heat loads than frozen stores which tend to be used345for long term storage of food.346

#### *3.6.1. Mathematical model of cold store energy performance*

Results from the 3 modelling scenarios are presented in Fig. 4 348 (chilled stores) and Fig, 5 (frozen stores). The dashed area out-349 lined in each figure represents the range in energy consumption 350 for stores with varied shape factors predicted by the model. Shape 351 factor is related to store surface area and was found to have a large 352 impact on energy consumption and was responsible for increas-353 ing energy use from the most efficient shape (lowest surface area 354 to volume ratio, in this case a cube) to the least (a flat plane) by 13 355 times in a chiller and 10 times in a freezer. The differences between 356 chillers and freezers were due to insulation thickness (most com-357 monly 100 mm in a chiller and 150 mm in a freezer). 358

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J.A. Evans et al. / Energy and Buildings xxx (2013) xxx-xxx



(For colour reproduction as shown above, black and white below) 331 cold stores (mixed chilled and frozen) Frozer



Fig, 2. Relationship between store volume and total energy use per year (kWh/year) for frozen stores (non linear regression).

Ambient conditions around the store had greater influence on frozen stores than chilled stores (due to the greater temperature difference between ambient and store temperature for freezers). Ambient temperature had a greater impact on energy use when usage of the store was high (due to door openings).

If the predications made by the model (including all scenarios and range due to ambient temperature and shape factor) were compared to the data collected in the survey the model predictions covered 83% of frozen stores and 94% of chilled stores. Assuming some inaccuracies in the model  $(\pm 10\%)$  a further 2% of chilled stores and 6% of frozen stores would be included within the predicted ranges. The stores that were outside of this predicted range were stores with small volumes (less than 8270 m<sup>3</sup> for chilled stores and less than 30.000 m<sup>3</sup> for frozen stores).

SEC decreased as store volume increased but the reduction in SEC was most apparent at low store volumes. The SEC changed by less than 0.5 kWh m<sup>-3</sup> year<sup>-1</sup> per 10,000 m<sup>3</sup> increase in store volume for stores with volumes of greater than 10,000 m<sup>3</sup> for chillers

and 20,000 m<sup>3</sup> for freezers. Due the minimal change in SEC above 377 certain store volumes the relationship between energy and volume predicted by the model approached a linear relationship when considering store sizes of up to 350,000 m<sup>3</sup>. 380

#### **3.6.2**. Use of the model to assess the efficiency of cold stores

Using the knowledge gained from the model, the energy used by 382 the survey cold stores could be compared to the modelled energy 383 usage. As the total store surface area was found to be a factor in 384 the energy usage, the energy used across a range of total store 385 surface areas, usage scenarios and ambient temperatures was pre-386 dicted by the model. Total surface area was obtainable for the cold 387 stores modelled but had to be estimated for the survey data. The 388 floor and ceiling surface area was recorded in the survey (ceiling 389 area was assumed to be equal to floor area). The area of each wall 390 was estimated by multiplying store height (obtained by dividing 391 the store volume by the area) by store depth/width (calculated 392 by taking the square root of the store area). For each cold store 393

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J.A. Evans et al. / Energy and Buildings xxx (2013) xxx-xxx



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Fig, 3. Relationship between store volume and total energy use (kWh/year) for mixed stores (non linear regression).

survey data point, the average annual ambient temperature for
 the cold store location was extracted from a weather database
 (http://www.weatherbase.com/).

The impact of total surface area on the energy consumed for each 397 modelled scenario is shown in Fig, 6 (for chilled stores) and Fig. 7 (for frozen stores). This can then be used to estimate the energy 399 that a cold store should use in a particular ambient location and 400 with a particular usage. The modelled results were compared to 401 the survey data for ambient temperature surrounding the store of  $12.6 \pm 4$  °C and are presented in Figs. 6 and 7. The results show that even though full details of usage for the survey population are not known, that some stores consume considerably more and some less energy than is predicted. By using this methodology the divergence 406 between the energy actually used by a cold store and the energy it 407 408 should use can be identified. This could be used to provide a metric of energy use per year per square metre that can be used to assess 409 operation of cold stores.

#### 4. Discussion

The data collected showed that there was large vari-411 ability in the energy used by cold stores. The SEC varied 412 between 4 and 250 kWh  $m^{-3}\,year^{-1}$  for chillers, between 6 and 240 kWh  $m^{-3}\,year^{-1}$  for freezers and between 23 and 413 414  $157 \text{ kWh m}^{-3} \text{ year}^{-1}$  for mixed stores. The minimum SEC val-415 ues for chilled and frozen stores were lower than have been 416 reported previously by most authors [4-9] but were not dis-417 similar to those reported by Carlsson-Kanyama and Faist [10]. 418 However, the maximum SEC values were greater than reported 419 by the IIR [4], Bosma [5], ETSU [6], Elleson and Freund [7] and 420 Singh [8] but less than those reported by Werner et al. [9]. Exclud-421 ing the upper and lower 10% values gave minimum SECs that 422 were more similar to those previously reported. However, when 423 the data are compared, the results confirm the large range in 424 SECs for cold stores where for chilled and frozen stores the least 425

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#### J.A. Evans et al. / Energy and Buildings xxx (2013) xxx-xxx



Fig, 4. Predictions from model (scenarios 1, 2 and 3) and range in energy consumption (dashed lines) superimposed onto survey data for chilled stores.

efficient stores used A-5 times more energy than the most efficient stores. This indicates that considerable energy savings are possible.

Much of this variation can be explained by shape factor of the store, usage and to a lesser extent ambient conditions surrounding the store. When using a mathematical model to understand differences in energy use a large proportion of the survey data could be explained by these factors. When survey data was outside of the model predictions the store sizes tended to be small. This would indicate that use of these stores varied from the scenarios modelled or that a factor of their design affected their efficiency. As most of these stores used more energy than the model predicted it would seem likely that high usage and inefficiency contributed to the high energy usage reported.

The performance of all stores (chilled, frozen and mixed) was statistically different. However, there was more relationship

between the performance of frozen and mixed stores than there 442 was between chilled and frozen or chilled and mixed stores. The 443 energy used by chilled stores was less than frozen or mixed stores 444 at volumes below 22,000 m<sup>3</sup> but was higher above this value. This 445 might indicate that large frozen stores tend to be long term stores 446 with less usage and that larger chilled stores have high usage (e.g. 447 large regional distribution centres where food is moved in and out 448 of the store many times per day). 449

It would be expected that larger stores would be more efficient 450 and have a lower SEC than smaller stores. This was found to be 451 the case by Werner et al. [9]. In this work the indications were 452 that this was only the case for frozen and mixed stores. For chilled 453 stores the relationship between volume and store size was linear. 454 The model demonstrated that SEC did vary with store volume but 455 that it was most apparent at low store volumes and that at store 456 volumes above 10,000 m<sup>3</sup> for chillers and 20,000 m<sup>3</sup> for freezers 457



Fig, 5. Predictions from model (scenarios 1, 2 and 3) and range in energy consumption (dashed lines) superimposed onto survey data for frozen stores.

J.A. Evans et al. / Energy and Buildings xxx (2013) xxx-xxx



Fig, 6. Modelled impact of store total surface area (for scenarios 1, 2 and 3 at range of ambient temperatures) on annual energy consumption compared to survey data for chilled stores.



Fig, 7. Modelled impact of store total surface area (for scenarios 1, 2 and 3 at range of ambient temperatures) on annual energy consumption compared to survey data for frozen stores.

the rate of change in the SEC was less than 0.5 kWh m<sup>-3</sup> year, 1 per 10,000 m<sup>3</sup> increase in store volume.

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The analysis demonstrated a surprising lack of relationships between the factors recorded (apart from volume) and annual energy consumption. There was for example no relationship for any store types with temperature of the store even though the range in temperatures recorded were relatively wide ranging (13 °C for chilled and 5 °C for frozen) and there was an extensive data set. In other instances the lack of any relationship may have been due to the restricted data sets available. It would therefore be useful to collect further data on the factors that were indicated to be important by the regression analysis and the mathematical model.

#### **5**. Conclusions

Survey data demonstrated differences between chilled, frozen 472 and mixed usage cold stores. Store volume was the dominant factor 473 that was related to energy used by the cold stores. The impact of 474 cold store construction or usage had little impact on improving the 475 relationship between store volume and energy consumption. This 476 may have been due to a range of factors influencing energy con-477 sumption which themselves had a high correlation with volume. 478 The mathematical model provided a better understanding of the 479 variations in cold store energy consumption and helped to explain 480 how usage, store shape factor and ambient conditions surrounding 481 the store contributed to the range in efficiencies in the survey data. 482

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J.A. Evans et al. / Energy and Buildings xxx (2013) xxx-xxx

The model was shown to be a useful tool to estimate energy use

 $_{\rm 484}$  of a cold store and provided a mechanism to generate metrics that

can be used to assess efficiency of a cold store.

### 486 Q2 Uncited reference

### [15].

#### Acknowledgements

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#### Appendix **1**. Information collected in survey

Units Survey page heading **Basic information:** Total electricity usage for the system in the year reported? kWh Does the electricity energy figure of the system submitted include? <mark>Yes/</mark>No/Don't know . Compressor 1. Comp 2. Lights Yes/No/Don't know 3. Fans Yes/No/Don't know 4. Pumps Yes/No/Don't know 5. Fork/lift charging Yes/No/Don't know Yes/No/Don't know 6. Blast freezing 7. Floor heating Yes/No/Don't know If figure supplied includes blast freezing what is energy use kWh EXCLUDING blast freezing? What is the total volume of the room(s) supplied by the system? m<sup>3</sup> Tonne Chilled/frozen/mixed storage/blast freezing/loading What was the throughput in the year reported? What is the main function of the room(s)? Mixed foods/Meat/Fish/Fruit/Vegetables/Dairy/Cereal products What is stored in the room(s)? °C What is the chilled set point temperature of room(s)? What is the frozen set point temperature of room(s)? °C Yes/No/Don't know Do you plans to invest in energy saving equipment? **Refrigeration system:** Primary refrigerant Don't know/R22/CO2/Ammonia/Other Refrigerant quantity/charge kg Amount of refrigerant added to primary system in the year reported kg Secondary refrigerant Don't know/R22/CO2/Ammonia/Other Refrigerant quantity/charge kg Amount of refrigerant added to primary system in the year reported kg Food stored: Average intake temperature for chilled products ۶C °C (for mixed system fill both) Average intake temperature for frozen products Yes/No/Don't know Does the room have controlled atmosphere? Does the room have humidity control? Yes/No/Don't know How is the food stored in the area? Don't know/Pallets/Bins/Dolavs or containers/Placed on shelves Kg How much food can be stored in the storage area How many pallets/containers can be stored in the storage area Number What is the number of pallets/containers INTAKE in the year reported Number What is the number of pallets/containers RELEASE in the year reported What is the average size and weight of one pallet/container Number Width/Height/Depth all in m/kg Facility: How many separate rooms does the system supply? Number What is the total floor area supplied by the system? m<sup>2</sup> How much of the floor area is used for: Chilled storage • Frozen storage m<sup>2</sup> m<sup>2</sup> • Blast freezing storage m<sup>2</sup> How many doors (total) are there on the room(s)? Number How many times on average will each door be opened per day? Do the doors have any protection? Number Is product automatically or manually loading into the room? Don't know/No protection/Strip curtain/Air curtain or Air Where are the room (s) positioned? lock/Automatic doors What is the age of insulation? Don't know/Manual (hand or fork lift)/Automatic (robot crane) Don't know/Inside a building/Outside What is the thickness of the: Don't know/ < 5 years/5-10 years/10-20 years/ > 20 years Real wall insulation Ceiling insulation mm • Thickness of the floor mm mm

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#### J.A. Evans et al. / Energy and Buildings xxx (2013) xxx-xxx

Survey page heading	Units
Refrigeration equipment:	
Type of refrigeration cycle?	Don't know/Single stage/Multi stage/Cascade/Absorption cycle/Air
Type of refrigeration system?	cvcle
	Don't know/Dry evaporator with thermostatic
Type of compressors?	valve/Flooded-pumped/Flooded-natural circulation
Do you have economised compressors?	Don't know/Reciprocating/Screw or Scroll/Rolling piston/Centrifugal
What is the compressor control system?	Yes/No/Don't know
How are compressors controlled?	Don't know/VSD/Unloading/Other
•	Don't know/Suction pressure/Room air temperature/Other
Type of condensers?	Don't know/Air cooled/Evaporative/Water cooled/Cooling tower/Other
Defrost type?	Don't know/Hot gas/Electric/Passive/Other
Do you use any heat from the refrigeration plant?	
• If yes, what for	Yes/No/Don't know
What is the year of installation of the system?	Water heating/Floor heating/Other heating
	<mark>Ye</mark> ar

#### Appendix 2. Cold store model 495

#### Nomenclature

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497	А	surface area (m <sup>2</sup> )
498	e	efficacy of the lamps (lm W <sup>-1</sup> )
499	СОР	coefficient of performance of the compressor
500	E	effectiveness of door protection or blockage
501	F	density factor
502	g	acceleration due to gravity $(9.81 \text{ m s}^{-2})$
503	h	heat transfer coefficient (W m <sup>-2</sup> K <sup>-1</sup> )
504	k	thermal conductivity (W m <sup>-1</sup> K <sup>-1</sup> )
505	1	latent heat of fusion for water (J kg <sup>-1</sup> )
506	L	height of cold store door (m)
507	LF	luminous flux $(lm m^{-2})$
508	m	mass flow rate (kg s <sup>-1</sup> )
509	n	stage coefficient
510	N	number
511	Μ	weight loss from product and packaging (kg day-1)
512	Р	electrical power
513	q	heat flow (W)
514	r	respiration
515	t	duration
516	Т	temperature (°C)
517	U	overall heat transfer coefficient (W m <sup>-2</sup> K)
518	Х	fractional vaporisation of refrigerant in evaporator on
519		expansion from liquid to saturation at discharge
520	Х	concentration of water in air
521	Greek	
522	α	empirical constant for different refrigerants
523	$\Delta$	thickness (m)
524	ρ	density $(kg m^{-3})$
525	μ	efficiency
	Cubanin	ta
526	Subscrip	ls sin through door
527	au	
528	C	condensing
529	2011p	compressor
530	() de	door anoning
531	00	door opening
532	us de24	uoor seals
533	u024	door openings per 24 n
534	<sup>µe</sup>	avaporating
535	e f	floor
536	เ ศ	fork lifts
537	11 fu	fusion
538	iu i	insido
539	1	IIISIUC

L	lights	540
m	motor	541
D	outside	542
ot	other	543
pe	personnel	544
pr	product	54
Г	total	540
v	vapour	547
w	wall	548

#### Model

lighte

The model was steady state, therefore all heat loads were aver-550 aged over one day. The shape of the cold store was a rectangular box. 551 There was only 1 door and the cold store was otherwise fully sealed. 552 The cold store had enough thermal mass such that door openings 553 did not change the temperature in the cold store. The temperature 554 of the ambient air outside the cold store was not changed by the door openings. There was only one layer of insulation on the walls, roof and floor. Any metal cladding was ignored as the resistance to heat transfer from this was considered negligible. The luminous flux from the lights was divided by the area of the floor and walls to give a uniform luminance. The thermal mass of the trucks was ignored. Therefore if they move from a warm environment into the store, they do not give up this heat to the store. Energy from fork lift trucks did not include charging the batteries. Any product which changed temperature when loaded into the store did not have a latent load (e.g. freezing and thawing) only a sensible load. Respiration was included for all vegetable and fruit product above 0°C.

Data was input via a spread sheet. The inputs included;

- Information about each wall (including ceiling and floor) of the cold store, e.g. face area, whether it was in the sun, outside ambient or internal and the type and thickness of the insulation.
- The size of the door, its opening schedule, whether it was protected (e.g. by strip or curtains), amount of traffic through the door and the outside conditions.
- The refrigeration system, refrigerant, type of condenser, condenser ambient, efficiency of compressor and number of stages.
- Heat loads inside the store from forklifts, lights, personnel, product, defrosts and evaporator fans.
- · Electrical loads from lights, defrosts, evaporator fans and condenser fans,

#### Heat loads

The total heat load,  $q_T$ , on the cold store was given by Eq. (1):

 $q_T = q_w + q_{do} + q_{de} + q_l + q_{fl} + q_{pe} + q_{pr} + q_m + q_{ot} + q_f$ 

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#### J.A. Evans et al. / Energy and Buildings xxx (2013) xxx–xxx

The heat load through the cold store wall was calculated using  $Eq_{\lambda}$  (2):

$$q_{\rm w} = U \cdot A_{\rm w} \cdot (T_0 - T_i) \tag{2}$$

The overall heat transfer coefficient, U was calculated from Eq. (3). A surface heat transfer coefficient of  $9.3 \text{ W} \text{ m}^2 \text{ K}^{-1}$  was used for  $h_i$ and  $h_0$ . If the weather was selected as windy,  $h_0$  was increased to  $34 \text{ W} \text{ m}^2 \text{ K}^1$ .

$$\frac{1}{U} = \frac{1}{h_i} + \frac{1}{h_o} + \frac{A_w}{k_w}$$
(3)

The heat load through the door opening,  $g_{d}$ , was calculated using the sensible and latent heat exchange caused by mass flow of air during door opening and through the seals when the door was closed (Eq<sub>A</sub> (4)). The latent heat of fusion,  $J_{fu} = 0$  when the evaporating temperature was >0 °C.

$$q_{do} = \left(m_{do} + m_{ds}\right) \cdot \left[c_p(To - Ti) + (Xo - Xi) \cdot (l_{fu} + l_{\nu})\right]$$
(4)

**Q3** The mass flow through an open door was calculated using the Gosney and Olama model (Eq. (5)) [21]. An effectiveness value was used to reduce the infiltration for door protection devices and traffic obstructing the opening as detailed by Chen et al. [19]. The mass flow through the seals was a function of the condition and length of the door seal.

$$m_{do} = (1 - E) \cdot 0.221 \cdot A_d \rho_i \left(1 - \frac{\rho_0}{\rho_i}\right)^{0.5} (g \cdot L)^{0.5} \cdot F$$
(5)

<sup>605</sup> The density factor was calculated according to  $Eq_{\lambda}(6)$ .

$$F = \left(\frac{2}{1 + \left(\frac{\rho_i}{\rho_o}\right)^{0.333}}\right)^{1.5}$$
(6)

[607] [The heat load due to people was calculated from ASHRAE [17,18] (Eq. (7)).

$$q = 273 - 6 \cdot T_i \tag{7}$$

The heat load from forklifts trucks was calculated from Eq<sub>A</sub>(8). The
 model provided values for small, medium or large trucks, electri cally or internal combustion powered.

$$q_{fl} = N_{fl \land} P_{fl} \cdot t_{fl}$$
(8)

The product load was calculated based on flow of product into the store and the sensible heat it added or removed (Eq. (9))

$$q_{pr} = m.c.(T_{p} - T_i) + q_r \tag{9}$$

The heat load of the condenser and evaporator fan motors,  $g_m$  was given in Eq. (10). Where the electric motor were mounted outside of the cold store,  $\mu_m = 1$ .

$$q_m = \frac{N_m P}{\mu_m} \tag{10}$$

The heat load from the defrost was given by  $Eq_{A}(11)$ :

$$q_{de} = \left(\frac{1}{\mu_{de}} - 1\right) \cdot \left(\frac{m_{ad} \cdot \left(X_o - X_i\right) \cdot l \cdot t \cdot N_{do24}\left(M.l\right)}{24 \cdot 3600}\right) \tag{11}$$

Electrical power

The total electrical power was the sum of all the electrical loads  $(Eq_{\lambda}(12))$  624

$$E_T = E_{comp} + E_{cond} + E_{evap} + E_{def} + E_f + E_o \tag{12}$$

An electrical energy of the compressor,  $\underline{F}_{comp}$ , was derived from the total heat load (Eq<sub>A</sub>(1)) using a calculated coefficient of performance (COP) (Eq<sub>A</sub>(13)). The COP of the refrigeration system was calculated using the formula given in Cleland et al. [20] (Eq. (14)) 630

$$E_{comp} = q_T.COP \tag{13}$$

$$COP = \frac{(T_c - T_e)}{(273 + T_e)(1 - \alpha . x)^n \mu_{comp}}$$
(14) 632

The electrical power of the condenser and evaporator fan motors,  $E_m$  was the same as the heat load given by (Eq. (10)).

For electric defrosts, the electrical power of the defrost heater was given by Eq. (15). If the defrost was hot gas or natural  $F_{de} = 0$ .

$$E_{de} = \frac{1}{\mu_{de}} \frac{\{[m_{ad.}(X_o - X_i).l.t.N_{do24}] + (m_{wat}.l)\}}{(24.3600)}$$
(15) 63

The electrical power of the lamps  $E_l$  was given in Eq. (16).

$$E_l = Lf. \frac{A_f + A_w}{e} \tag{16}$$

The total calculated heat load was presented plus individual heat loads from transmission, infiltration (door opening), defrost, lights, fork lift trucks, personnel, product, evaporator fans and other heat loads. The total electrical energy was presented plus the individual electrical loads from the refrigeration compressor, defrosts, condenser and evaporator fans, lights and floor heating.

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