

1 **Running into fatigue: The effects of footwear on kinematics, kinetics, and energetics**

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25 **ABSTRACT**

26 **Purpose:** Recent studies identified a redistribution of positive mechanical work from distal to  
27 proximal joints during prolonged runs, which might partly explain the reduced running  
28 economy observed with running-induced fatigue. Higher mechanical demand of plantar flexor  
29 muscle-tendon-units, e.g., through minimal footwear, can lead to an earlier onset of fatigue,  
30 which might affect the redistribution of lower extremity joint work during prolonged runs.  
31 Therefore, the purpose of this study was to examine the effects of a racing-flat and cushioned  
32 running shoe on the joint-specific contributions to lower extremity joint work during a  
33 prolonged fatiguing run.

34 **Methods:** On different days, eighteen runners performed two 10-km runs with near-maximal  
35 effort in a racing-flat and a cushioned shoe on an instrumented treadmill synchronized with a  
36 motion-capture-system. Joint kinetics and kinematics were calculated at 13 pre-determined  
37 distances throughout the run. The effects of shoes, distance, and their interaction were analyzed  
38 using a two-factor repeated-measures ANOVA.

39 **Results:** For both shoes, we found a redistribution of positive joint work from ankle (-6%) to  
40 knee (+3%) and hip (+3%) throughout the entire run. Negative ankle joint work was higher  
41 ( $p<0.01$ ) with the racing-flat compared to the cushioned shoe. Initial differences in foot-strike  
42 patterns between shoes disappeared after 2 km of running distance.

43 **Conclusion:** Irrespective of the shoe design, alterations in the running mechanics occurred in  
44 the first 2 km of the run, which might be attributed to the existence of a habituation rather than  
45 fatigue effect. While we did not find a difference between shoes in the fatigue-related  
46 redistribution of joint work from distal to more proximal joints, more systematic studies are  
47 needed to explore the effects of specific footwear design features.

48

49 **Key Words:** SHOE, ANKLE, JOINT TORQUE, RUNNING ENHANCEMENT, RUNNING  
50 ECONOMY, RUNNING MECHANICS, HEELSTRIKE PATTERN

51 **INTRODUCTION**

52 Running economy is an essential predictor of distance running performance and is defined  
53 by the metabolic cost for a given submaximal running velocity (1). Running economy declines  
54 with running-induced fatigue, which is, among other factors, related to changes in running  
55 mechanics (2–5). In a recent publication, we demonstrated that energy generation shifts partly  
56 from distal to proximal joints during a near-maximal effort 10-km run, which likely has a  
57 detrimental effect on running economy because more proximal joints are less equipped for  
58 efficient energy generation (6).

59 Previous studies suggest that changes in running mechanics are not always in a linear  
60 relationship with running distance and sometimes exhibit a higher rate of change in the  
61 beginning compared to later stages of an exhausting run (7,8). In our previous work (6), we  
62 also observed a nonlinear response to the running distance, e.g., in the positive work of the  
63 ankle, knee, and hip joints as well as the flexion angle and torque of the knee. However, we  
64 did not analyze these qualitatively observed nonlinearities in detail.

65 We speculate that at least two processes influence the changes in running mechanics with  
66 running distance: habituation and fatigue. Habituation might occur in the early stages of a run  
67 to harmonize the current state of a runner's neuromuscular system with the running  
68 environment, e.g., footwear or surface, and the requirements of the run (running distance and  
69 velocity). On the other hand, fatigue is defined as the exercise-induced reduction in the ability  
70 to generate muscle force or power due to changes in the neural drive or exhaustion of contractile  
71 function (9) and might, therefore, affect running mechanics during later stages of prolonged  
72 runs. However, studies addressing running mechanics, especially joint kinetics, throughout  
73 fatiguing runs are rare (10), and therefore, knowledge about the potential influence of  
74 habituation and fatigue is limited.

75 Next to habituation and fatigue, footwear can also affect the running kinematics and  
76 kinetics within the lower extremities (8,11–16). When assessed in an unfatigued state, running  
77 with minimal footwear (very flexible, reduced cushioning, drop height, and mass compared to  
78 cushioned running shoes) places a higher mechanical demand (higher joint torques, negative  
79 power, and work) on the plantar flexor muscle-tendon-units in comparison to wearing more  
80 cushioned shoes (13,15,17–19). However, whether this higher mechanical demand on ankle  
81 plantar flexors in an unfatigued state amplifies the previously reported fatigue induced  
82 redistribution of joint work from the ankle towards more proximal joints throughout a fatiguing  
83 run (6) is currently not known.

84 Running shoes are predominantly characterized by their mass, built-in cushioning  
85 materials, longitudinal bending stiffness as well as motion control technologies incorporated  
86 underneath the medial longitudinal arch (20–22). These design features not only affect running  
87 kinematics and kinetics but also running economy (22–24). A recent study demonstrated that  
88 a prototype shoe incorporating a highly compliant and resilient midsole material with a full-  
89 length carbon-fiber plate was able to improve running economy on average by 4% (25). This  
90 improvement appears to be partly due to superior energy storage within the midsole foam  
91 material and reduced ankle plantarflexion torque (26). In case this lower mechanical  
92 plantarflexion demand in the unfatigued state (26) would affect the fatigue-induced  
93 redistribution of joint work, an additional pathway for the improvement of running economy  
94 with cushioned running shoes may be conceivable.

95 Therefore, the purpose of this study was to investigate the difference between a typical  
96 racing flat shoe and a typical cushioned running shoe with regards to joint-specific  
97 contributions to lower extremity joint work during a fatiguing 10-km run with a near-maximal  
98 effort in rearfoot runners. We hypothesized that using a racing flat shoe in comparison to a  
99 cushioned shoe leads to a more pronounced and earlier fatigue-related redistribution of positive

100 work from distal to proximal joints during a fatiguing near-maximal effort run. This hypothesis  
101 was motivated by the findings that wearing racing flat shoes requires a higher mechanical  
102 demand at the ankle compared to cushioned shoes (13,15,19,26). Furthermore, we  
103 hypothesized that separating a fatiguing near-maximal effort run into a habituation and fatigue  
104 phase will reveal markedly larger changes in biomechanical parameters in the initial  
105 habituation compared to the fatigue phase of running. The findings of the present study will  
106 improve the understanding of habituation- and fatigue-related alterations in running mechanics  
107 and their interaction with footwear design in prolonged fatiguing runs.

108

## 109 **METHODS**

### 110 **Participants**

111 We recruited a total of eighteen male competitive ( $n = 6$ ) and recreational ( $n = 12$ ) long-  
112 distance runners (age  $24.4 \pm 3.7$  years; body height  $1.83 \pm 0.06$  m; body mass  $77.1 \pm 8.3$  kg)  
113 with a season-best time between 34:00 min and 54:30 min in a 10-km run. These eighteen  
114 runners were a subset of the participants of a previous study (6) and were selected because they  
115 showed a habitual rearfoot strike landing pattern during shod running. We focused the analysis  
116 on rearfoot runners since we expected that cushioning systems would have the strongest effect  
117 in this type of footfall pattern. All participants stated that they had experience in the use of  
118 racing flats and cushioned shoes as well as running on a treadmill. Further, they were free of  
119 any musculoskeletal injuries or impairments for at least the prior twelve months. Each  
120 participant signed informed written consent before participation. The University Ethics  
121 Committee had approved the study protocol (No. 102/2017), and the protocol met all  
122 requirements for human experimentation following the Declaration of Helsinki.

123

### 124 **Experimental protocol**

125 In a cross-sectional study design, all participants performed two separate 10-km treadmill  
126 runs with near-maximal effort (105% of their season-best time throughout the 10-km distance  
127 with an average running velocity of  $3.6 \pm 1.1 \text{ m}\cdot\text{s}^{-1}$ ) as described in our previous study (6) with  
128 at least seven recovery days between the runs. Participants used a different shoe type for each  
129 run in a randomized order. Seven days before the first run, participants performed a run with a  
130 self-determined running velocity and duration to familiarize themselves with both shoes and  
131 the treadmill. Before each run, the participants executed a warm-up run in the test shoe at a  
132 self-determined running velocity with a duration of at least 5 minutes. The participants were  
133 continuously encouraged and kept informed of the covered distance during both runs.

134

### 135 **Footwear properties**

136 All participants wore two shoe types (Fig. 1, I). The first shoe condition (shoe<sub>Racing</sub>) was a  
137 typical racing flat shoe (Adizero Pro 4, Adidas AG, Herzogenaurach, Germany) with a shoe  
138 mass of 0.170 kg (size: US 10). The other shoe condition (shoe<sub>Cushion</sub>) was a typical cushioned  
139 running shoe without any additional support elements underneath the medial longitudinal arch  
140 of the foot (Glycerin 10, Brooks Sports Inc., Seattle, Washington, USA) with a shoe mass of  
141 0.348 kg (size: US 10) (Fig. 1, I).

142 Both shoes underwent the ‘Minimal Shoe Index’ test (20), which indicates the minimalism  
143 of a running shoe. The ‘Minimalist Shoe Index’ describes shoes on a scale ranging from 1 (no  
144 minimalism at all) to 100 (perfectly minimal footwear). We found a score of 60 for shoe<sub>Racing</sub>,  
145 and 18 for shoe<sub>Cushion</sub> (see Appendix, Supplemental Table 1, ‘Minimal Shoe Index’ test).

146

147 **\*\*\* Insert Fig. 1 about here \*\*\***

148

149 We performed two mechanical tests to evaluate the midsole material properties of the  
150 shoes in an unused state (Fig. 1, II and III).

151 In order to test longitudinal bending stiffness, each shoe was fixed on a rearfoot shoe last  
152 which we had mounted on a moving apparatus (low-friction ball bearing sled) in a material  
153 testing machine (Z020; Zwick GmbH & Co.KG, Ulm, Germany) to allow for a natural bending  
154 behavior (Fig. 1, II). The longitudinal bending stiffness we tested is related to the bending  
155 behavior of the forefoot and midfoot part of the shoe. The material testing machine executed  
156 20 cycles with a vertical displacement of 50 mm and a vertical velocity of 15 mm·s<sup>-1</sup>.  
157 Longitudinal bending stiffness was calculated by dividing vertical force by vertical  
158 displacement. Maximal bending stiffness and vertical force results were averaged over 20  
159 cycles (Fig. 1, II).

160 To quantify cushioning properties of the midsole material, we mounted a rigid rearfoot-  
161 form in a material testing machine (Z020; Zwick GmbH & Co.KG, Ulm, Germany) and  
162 compressed the midsole in a vertical direction with 2000 N (Fig. 1, III). This load is similar to  
163 the average maximal vertical ground-reaction force (GRF) during stance phases in this study.  
164 We calculated the mechanical energy stored and returned for both shoe conditions at a constant  
165 compression velocity of 16 mm·s<sup>-1</sup> (Fig. 1, III). The mechanical test revealed a significant  
166 difference between the shoe conditions in the deformation (shoe<sub>Racing</sub> 10.1 mm vs. shoe<sub>Cushion</sub>  
167 13.6 mm) as well as the resilience values (energy return in shoe<sub>Racing</sub> 63.9% vs. shoe<sub>Cushion</sub>  
168 73.1%) (Fig. 1, III), which are comparable to similar shoes reported in the literature (25).

169

## 170 **Running kinematics and kinetics**

171 We captured joint kinematics with a 13 infrared camera motion capture system (250 Hz,  
172 MX-F40; Vicon Motion Systems, Oxford, UK) and collected GRF data with four three-  
173 dimensional force transducers (1000 Hz, MC3A-3-500-4876; AMTI Inc., Watertown, USA)



174 embedded in a single-belt treadmill (Treadmetrix, Park City, USA) synchronized with the  
175 motion capture system. We attached the markers of the foot over the anatomical landmarks on  
176 the upper of the shoe. All marker trajectories and GRF data were filtered with a recursive 4<sup>th</sup>  
177 order Butterworth low-pass filter (cutoff frequency: 20 Hz) (27). As described in our previous  
178 study (6), a three-dimensional inverse dynamics model of the total body was used to calculate  
179 the kinematic and kinetic parameters of the lower extremity (28). The upright standing position  
180 determined the neutral position of all joints (0° joint angles). We expressed joint torques in the  
181 anatomical coordinate system of the proximal segment. Throughout the entire stance phase, the  
182 negative and positive work at the ankle, knee, and hip joint were calculated by numerical  
183 integration of the power-time curve. Positive work was determined by summing all positive  
184 integrals and negative work by summing all negative integrals (29).

185 All spatiotemporal, joint kinematic, and joint kinetic parameters were determined during  
186 the stance phase of the right leg and averaged over 20 stance phases at each of the 13 distances  
187 (0 km, 0.2 km, 0.5 km, 1 km, and following each kilometer to 10 km). Firstly, we calculated  
188 ankle dorsiflexion and knee joint flexion angles at foot touch-down (TD) as well as ankle  
189 plantarflexion at toe-off (TO). In addition, to assess the footfall pattern of the runners, we  
190 determined the angle between the foot and the treadmill surface at TD (foot-TS<sub>TD</sub>).  
191 Furthermore, we determined maximal joint angles and calculated maximal external joint  
192 torques of ankle dorsiflexion, knee and hip flexion. We normalized maximal external joint  
193 torques as well as negative and positive work at the ankle, knee, and hip joint to body mass.  
194 Subsequently, the relative joint-specific contributions to the total lower-extremity joint work  
195 were calculated. All analyses were performed for the sagittal plane, separately for each  
196 individual joint, as described in our previous studies (6,28).

197 At the end of the run, we determined the maximal heart rate (M51; Polar Electro, Kempele,  
198 Finland), and the rating of perceived exertion using the Borg 6 – 20 scale (30).

199

## 200 **Statistical analysis**

201 We used a two-factor repeated-measure analysis of variance (ANOVA) to detect possible  
202 main and interaction effects with two within-subjects factors (shoe condition and running  
203 distance). We calculated partial eta squared ( $\eta_p^2$ ) as normalized effect size measure, which  
204 explains the proportion of the total variance related to main and interaction effects (shoe  
205 condition and running distance). The suggested norms from Cohen (31) were used for  $\eta_p^2$  with  
206 0.01 representing small, 0.06 medium, and 0.14 large effect.

207 In the case of a shoe condition main effect, we applied pairwise post-hoc comparisons  
208 using Fisher's least significant difference correction between the shoe conditions at each of the  
209 13 distances. With respect to two intervals, we performed post-hoc tests for each parameter,  
210 regardless of whether we found a running distance main effect. We selected a first distance  
211 interval (0 – 2 km) in an attempt to capture habituation (HAB) effects based on qualitative  
212 observations in our previous study (6) and earlier findings (7,8). We considered the second  
213 distance interval (2 – 10 km) in an attempt to capture fatigue (FAT) processes.

214 To assess the validity of our assumption that the changes observed with running distance  
215 are related to habituation and fatigue processes, we fit different types of models to the results  
216 observed over running distance. We used three different models: A simple linear model (all  
217 data: 0 – 10 km), a quadratic model (all data: 0 – 10 km), and a bi-linear model (two-  
218 components: 0 – 2 km, and 2 – 10 km). We then calculated the sum of squared errors for each  
219 model as a measure of model fit.

220 All statistical analyses were performed using SPSS Statistics 23 (IBM Corp., Armonk,  
221 NY, USA) with the level of significance set at  $\alpha = 0.05$ . We present all results in the text and  
222 figures as group means and standard deviations.

223

## 224 **RESULTS**

### 225 **Heart rate and rating of perceived exertion**

226 At the end of the run, we found no significant shoe main effect for heart rate (shoe<sub>Racing</sub>:  
227  $176 \pm 15$  BPM; shoe<sub>Cushion</sub>:  $179 \pm 15$  BPM) and rating of perceived exertion ( $16.9 \pm 1.3$ ;  $16.9$   
228  $\pm 1.7$ ), respectively.

229

### 230 **Spatiotemporal parameters**

231 No significant shoe by distance interaction effects were found for spatiotemporal  
232 parameters (Table 1). A significant shoe main effect was found for step frequency (Table 1),  
233 where step frequency was on average higher for shoe<sub>Racing</sub> ( $2.75 \pm 0.16$  Hz) compared to  
234 shoe<sub>Cushion</sub> ( $2.72 \pm 0.15$  Hz), and for flight time (Table 1), which was on average shorter for  
235 shoe<sub>Racing</sub> ( $0.126 \pm 0.025$  s) compared to shoe<sub>Cushion</sub> ( $0.129 \pm 0.024$  s). A significant distance  
236 main effect was found for contact time, step length, and step frequency (Table 1). Contact time  
237 and step length increased with running distance while step frequency decreased irrespective of  
238 the shoe condition (see Appendix, Supplemental Table 2, Spatiotemporal parameters;  
239 Supplemental Fig. 1 and 2, Fitting methods).

240

241 **\*\*\* Insert Table 1 about here \*\*\***

242

### 243 **Joint work**

244 No significant shoe by distance interaction effects or shoe main effects for any joint work  
245 parameter other than for the negative work at the ankle were observed (Table 1), which was on  
246 average  $0.043 \text{ J}\cdot\text{kg}^{-1}$  (corresponds to approx. 7%) higher with shoe<sub>Racing</sub> throughout the entire  
247 run than with shoe<sub>Cushion</sub> (see Appendix, Supplemental Fig. 3, Joint work). Even though no  
248 significant shoe main effect for the negative knee joint work was found (Table 1), it was

249 noticeable that the difference between both shoes throughout the entire run (negative knee joint  
250 work was on average  $0.038 \text{ J}\cdot\text{kg}^{-1}$  smaller for shoe<sub>Racing</sub> compared to shoe<sub>Cushion</sub>) was similar to  
251 the difference in the negative work at the ankle joint (see Appendix, Supplemental Fig. 3, Joint  
252 work). Accordingly, we found a difference in relative contributions of the ankle and knee joint  
253 to the total negative lower-extremity joint work between the shoe conditions (Fig. 2; see  
254 Appendix, Supplemental Table 3, Relative joint work).

255

256 **\*\*\* Insert Fig. 2 about here \*\*\***

257

258 Concerning the running distance, significant main effects were found for the positive work  
259 at the ankle, knee, and hip joint, as well as for the negative work at the ankle joint (Table 1).  
260 Irrespective of the shoe condition, we found that the positive work at the ankle joint decreased  
261 significantly ( $P < 0.001$ ) as well as the knee and hip joint increased significantly (knee:  $P <$   
262  $0.001$ ; hip:  $0.012 < P < 0.025$ ) from the beginning (mean value of both shoe conditions for the  
263 ankle:  $0.68 \pm 0.12 \text{ J}\cdot\text{kg}^{-1}$ ; knee:  $0.36 \pm 0.09 \text{ J}\cdot\text{kg}^{-1}$ ; hip:  $0.26 \pm 0.13 \text{ J}\cdot\text{kg}^{-1}$ ) to the end of the run  
264 (ankle:  $0.61 \pm 0.14 \text{ J}\cdot\text{kg}^{-1}$ ; knee:  $0.41 \pm 0.10 \text{ J}\cdot\text{kg}^{-1}$ ; hip:  $0.30 \pm 0.16 \text{ J}\cdot\text{kg}^{-1}$ ). Detailed values for  
265 each shoe condition can be found in the Appendix (Supplemental Fig. 3, Joint work).  
266 Accordingly, independent of the shoe condition, we found a redistribution of relative positive  
267 work from distal to proximal joints from the beginning (ankle 53.0%, knee 28.1%, hip 19.0%)  
268 to the end of the run (46.9%, 31.2%, 21.9%). For more specific values please see Fig. 2 and  
269 the Appendix (Supplemental Table 3, Relative joint work).

270 During the HAB phase, negative work at the ankle increased significantly ( $P = 0.031$ ) for  
271 shoe<sub>Cushion</sub> (Table 1; Fig. 3). For shoe<sub>Racing</sub>, negative work at the knee and hip joint increased  
272 significantly ( $P < 0.05$ ) during the HAB phase (Table 1; Fig. 3). Positive work at the ankle  
273 decreased significantly ( $P < 0.01$ ) in the HAB and FAT phase, independent of the shoe

274 condition (Table 1; Fig. 3). The positive work at the knee and hip joint showed significant ( $P$   
275  $< 0.05$ ) increases only for the HAB phase irrespective of the shoe condition (Table 1; Fig. 3).

276

277 **\*\*\* Insert Fig. 3 about here \*\*\***

278

### 279 **Footwear differences at the beginning of the run**

280 We identified several kinematic and kinetic differences between the shoe conditions at the  
281 beginning of the run, indicating a more plantarflexed foot strike pattern for shoe<sub>Racing</sub> and a  
282 higher mechanical demand placed on plantar flexor muscle-tendon-units.

283 Specifically, at the 0-km distance we found a significantly ( $P = 0.012$ ) higher negative  
284 work at the ankle with shoe<sub>Racing</sub> compared to shoe<sub>Cushion</sub> (see Appendix, Supplemental Table  
285 4, Pairwise comparisons between shoes; Supplemental Fig. 3, Joint work). The maximal ankle  
286 dorsiflexion torque was higher for shoe<sub>Racing</sub> compared to shoe<sub>Cushion</sub>, but this difference was  
287 not significant ( $P = 0.163$ ) at the 0-km distance, but then significant ( $P = 0.034$ ) at 0.2 km (Fig.  
288 4). At the 0-km distance, the foot-TS<sub>TD</sub> ( $P = 0.010$ ), ankle dorsiflexion angle at TD ( $P < 0.001$ )  
289 (Fig. 5), and maximal ankle dorsiflexion angle ( $P = 0.008$ ) with shoe<sub>Racing</sub> was decreased  
290 significantly compared to shoe<sub>Cushion</sub> (see Appendix, Supplemental Fig. 4, Maximal joint  
291 angle). However, at the 0-km distance, the ankle plantarflexion angle at TO with shoe<sub>Racing</sub> was  
292 increased significantly ( $P = 0.002$ ) compared to shoe<sub>Cushion</sub> (Fig. 5).

293

### 294 **External joint torques**

295 We could neither identify a shoe by distance interaction effect nor a significant shoe main  
296 effect for any joint torque parameters, but significant running distance main effects for all joint  
297 torques were found (Table 1).

298 Maximal ankle dorsiflexion torque decreased significantly ( $P < 0.05$ ) over the entire run  
299 for both shoe conditions (Fig. 4), although it should be noted that  $P = 0.05$  for the FAT phase  
300 using shoe<sub>Cushion</sub> (Table 1) and so this difference was not strictly significant. For both shoe  
301 conditions, a significant ( $P < 0.01$ ) increase in maximal knee flexion torque (Fig. 4) was  
302 detected only during the HAB phase (Table 1).

303

304 **\*\*\* Insert Fig. 4 about here \*\*\***

305

### 306 **Joint angles**

307 We found significant shoe by distance interaction effects for parameters describing the  
308 foot strike pattern of runners, more precisely foot-TS<sub>TD</sub> and ankle dorsiflexion angle at TD  
309 (Table 1).

310 A closer look at the post-hoc comparisons revealed that the interaction effects for foot-  
311 TS<sub>TD</sub> and ankle dorsiflexion angle at TD were caused by a significant difference between the  
312 shoe conditions that was only present during the HAB phase and disappeared during the FAT  
313 phase (Fig. 5). In addition, we found only for shoe<sub>Racing</sub> that the ankle dorsiflexion angle at TD  
314 decreased significantly ( $P < 0.05$ ) during the FAT phase (Fig. 5). We found a higher ankle  
315 dorsiflexion angle at TD (Fig. 5), and a higher maximal ankle dorsiflexion angle (see Appendix,  
316 Supplemental Fig. 4, Maximal joint angle) throughout the entire run, when using shoe<sub>Cushion</sub> in  
317 comparison to shoe<sub>Racing</sub> (Fig. 5).

318

319 **\*\*\* Insert Fig. 5 about here \*\*\***

320

321 The shoe by distance interaction effect for the ankle plantarflexion angle at TO (Table 1)  
322 was represented by a decrease in the ankle plantarflexion angle in the FAT phase only with

323 shoe<sub>Racing</sub> (Fig. 5). There was a significant shoe main effect for the maximal ankle dorsiflexion  
324 angle (Table 1). We found a decreased ankle plantarflexion angle at TO throughout the entire  
325 run, when using shoe<sub>Cushion</sub> in comparison to shoe<sub>Racing</sub> (Fig. 5). A running distance main effect  
326 for the maximal knee joint flexion angle and the knee joint flexion angle at TD was identified  
327 (Table 1) indicating a more flexed knee joint configuration with increasing running distance.

328

### 329 **Fitting models of changes in running mechanics**

330 While assessing the nonlinear nature of changes in running mechanics throughout the 10-  
331 km runs, we found for all joint work parameters that fitting a bi-linear model resulted in the  
332 smallest sum of squared errors compared to a linear or quadratic model. This finding was  
333 independent of the shoe condition analyzed (see Appendix, Supplemental Table 5, Sum of  
334 squared errors; Supplemental Fig. 5 and 6, Fitting methods). Similarly, we found for all joint  
335 torque parameters and joint angle parameters (except for maximal ankle dorsiflexion when  
336 using shoe<sub>Racing</sub>, and maximal hip flexion torque using shoe<sub>Racing</sub> and shoe<sub>Cushion</sub>) that fitting a  
337 bi-linear model resulted in a smaller sum of squared errors compared to fitting a linear or  
338 quadratic model, independent of the shoe condition (see Appendix, Supplemental Table 5, Sum  
339 of squared errors; Supplemental Fig. 7 – 10, Fitting methods).

340

## 341 **DISCUSSION**

342 The primary purpose of this study was to investigate the difference between a typical  
343 racing flat shoe and a typical cushioned running shoe with regards to joint-specific  
344 contributions to lower extremity joint work during a fatiguing 10-km run with a near-maximal  
345 effort in rearfoot runners. We hypothesized that using a racing flat shoe in comparison to a  
346 cushioned shoe leads to a more pronounced and earlier fatigue-related redistribution of positive  
347 work from distal to proximal joints during a fatiguing near-maximal effort run.

348 The joint work magnitudes in the current study were comparable to previous studies  
349 analyzing running at similar velocities (11,19,32,33). Differences in the magnitude of lower  
350 extremity joint work might be explained by different experimental setups (overground vs.  
351 treadmill), skill levels of runners, or analysis details such as the segmentation of the foot  
352 (11,13,19,26,32,33), which can affect power calculations at more proximal joints (34).

353 While we identified significant effects of running distance for both absolute and relative  
354 positive work parameters indicating a redistribution of positive work from distal to proximal  
355 joints for both shoe conditions, we did not find a shoe by distance interaction effect for any  
356 joint work-related parameter. Consequently, our central hypothesis that using a racing flat shoe  
357 in comparison to a cushioned shoe leads to a more pronounced and earlier fatigue-related  
358 redistribution of positive work from distal to proximal joints during a fatiguing near-maximal  
359 effort run could not be accepted.

360 This result was not expected because, in accordance with the literature (13,15,19), we also  
361 found a higher mechanical demand at the ankle (+3% dorsiflexion torque; +8% negative work)  
362 for shoe<sub>Racing</sub> compared to shoe<sub>Cushion</sub> at the beginning of the run. However, this increased  
363 demand for shoe<sub>Racing</sub> disappeared over the course of the run, which might partly explain the  
364 lack of difference in the redistribution of joint work between the shoe conditions (Fig. 2).

365 Next to the higher energy absorption capacity in the rear part of the midsole, shoe<sub>Cushion</sub>  
366 was characterized by a threefold higher longitudinal bending stiffness (Fig. 1). Previous studies  
367 analyzing shorter running distances found an association between the longitudinal bending  
368 stiffness of footwear and the length of the lever arm of the GRF at the ankle (28). However, in  
369 this study (28), not all runners made use of this longer lever arm and increased internal ankle  
370 plantarflexion torques. Instead, some runners prolonged the push-off period and thereby  
371 avoided the generation of increased muscle forces (28). This subject specific response might  
372 partly explain the inconsistent evidence provided by other studies reporting the response in



373 ankle joint torques to increased bending stiffness levels of footwear in the literature (11,32).  
374 An increase in GRF lever arm at the ankle along with higher energy absorption capacity of  
375 shoe<sub>Cushion</sub> and the associated adaptations in foot strike behavior could influence fatigue-related  
376 reduction in ankle joint torque. Therefore, the increased bending stiffness of shoe<sub>Cushion</sub> might  
377 also partly explain the lack of difference in the redistribution of joint work between the shoe  
378 conditions (Fig. 2).

379 The second hypothesis of this study was that separating a fatiguing near-maximal effort  
380 10-km run into a habituation (HAB) and fatiguing (FAT) phase will reveal markedly larger  
381 changes in biomechanical parameters in the initial HAB phase of running. Although the  
382 participants executed a warm-up run with self-determined velocity and duration before the  
383 actual run, we observed a nonlinear behavior of several biomechanical variables over the  
384 running distance. Such nonlinear behavior of biomechanical variables seems to be more  
385 pronounced in recreational runners (6). To assess the validity of our assumption that the  
386 changes observed with running distance are related to habituation and fatigue processes, we fit  
387 different types of models to the results observed over running distance. We found that fitting a  
388 bi-linear (two-components: 0 – 2 km, and 2 – 10 km) model or a quadratic model provided a  
389 better fit to the data over running distance for most biomechanical variables compared to using  
390 a simple linear model, independent of the used shoe condition. Specifically, we found  
391 significant changes for more than half (shoe<sub>Racing</sub>: n = 14; shoe<sub>Cushion</sub>: n = 12) of the 20 analyzed  
392 parameters during the HAB phase (Table 1). In particular, we observed that positive work at  
393 all lower extremity joints (see Appendix, Supplemental Fig. 3, Joint work), maximal ankle  
394 dorsiflexion and knee joint flexion torques (Fig. 4) as well as maximal knee joint flexion angles  
395 (see Appendix, Supplemental Fig. 4, Maximal joint angle) changed more substantially during  
396 the HAB phase, independent of the shoe condition (Table 1). While further research is needed  
397 to address this issue more specifically, we believe that these findings provide evidence in

398 support of our second hypothesis that changes in running mechanics in an intense, prolonged  
399 run underlie HAB and FAT processes. It is noteworthy that when using shoe<sub>Cushion</sub>, the ankle  
400 angle at TD became less dorsiflexed ( $P < 0.001$ ) (Fig. 5) and the negative work at the ankle  
401 increased ( $P = 0.031$ ) (Fig. 3) at a near-linear rate during the HAB phase compared to shoe<sub>Racing</sub>  
402 (see Appendix, Supplemental Fig. 5 and 10, Fitting methods). Such decreases in the ankle  
403 dorsiflexion angle at TD were also found in other studies (8,35) during the first 5 minutes of a  
404 30 minutes run (corresponding approximately to the 1-km distance in our study) and this was  
405 independent of the shoe midsole thickness. The more pronounced ankle dorsiflexion angle at  
406 TD with shoe<sub>Cushion</sub> at the beginning of the run might be related to differences in rearfoot  
407 construction (12), midsole thickness, and heel-toe drop height (8,13,14,22). While using a more  
408 dorsiflexed ankle angle at TD might allow for a greater energy absorption by the foam materials  
409 of shoe<sub>Cushion</sub>, it might have led to a greater demand for the dorsiflexors of the ankle joint (i.e.  
410 mainly m. tibialis anterior) due a greater leverage of the GRF (12,36,37). It is possible that the  
411 neuromuscular system tries to establish a balance between passive impact absorption and  
412 mechanical demand of the dorsiflexors of the ankle joint during the HAB phase during  
413 prolonged runs when wearing cushioned footwear. This hypothesis is in line with previous  
414 research showing a decrease in ankle dorsiflexion angle at TD during prolonged runs (10) or  
415 when performing a localized dorsiflexors fatigue protocol (38). This mechanism might have  
416 been accentuated in this study given the relatively short familiarization time that each  
417 participant had with the new shoe conditions, even though they were generally experienced  
418 with running with racing flat and cushioned shoes.

419 The difference in foot strike and ankle dorsiflexion angle at TD between the shoe  
420 conditions did not persist throughout the entire run, as the more pronounced rearfoot strike  
421 pattern when using shoe<sub>Cushion</sub> decreased continuously during and disappeared at the end of the  
422 HAB phase. This finding challenges the assumptions from previous reports analyzing short

423 bouts of running with previous short habituation to the shoe condition (12–15,32) that foot  
424 strike behaviors between more minimalist and more cushioned shoes persist during prolonged  
425 running. Since increased heel height can change the working conditions of ankle plantar flexor  
426 muscle-tendon-units (12), it might be possible that runners adjusted their foot strike behavior  
427 during the HAB phase in order to optimize the economy of power generation.

428 Further, habituation effects, regarding foot strike behavior, may be due to long-term  
429 habituation effects, for example, habituation to barefoot running may take 8 weeks or longer  
430 (39). It is further conceivable that the participants may have been insufficiently accustomed to  
431 running on a treadmill (40), or that the materials of the midsole of shoe<sub>Cushion</sub> changed their  
432 properties throughout the HAB phase due to the repeated cyclic loading. Further, interactions  
433 with changes in running mechanics outside the sagittal plane need to be considered (41). In  
434 order to better understand the habituation of the neuromuscular system to different kinds of  
435 shoes or other external constraints, future studies should consider and control in detail the short-  
436 term (warm-up phase before a test run) and longer-term habituation. These studies should also  
437 address changes within the biomechanical properties of biological tissues involved in  
438 generating propulsion and support. These changes might include, e.g., alterations in tendons  
439 and ligaments stiffness or modifications in the contractile elements within muscle-tendon-  
440 units. In this context, recent work has identified that the fluid content of ankle plantar flexor  
441 muscles undergoes a rapid initial increase followed by a decrease at slower rate during 75  
442 minutes of running (42). Changes in muscle fluid content have been related to the active and  
443 passive force generation potentials of muscles (43,44) and should therefore be considered when  
444 investigating changes in joint mechanics during prolonged, intense activities.

445 In contrast to the HAB phase, few (shoe<sub>Racing</sub>:  $n = 6$ ; shoe<sub>Cushion</sub>:  $n = 2$ ) of the 20 analyzed  
446 parameters changed significantly ( $P < 0.05$ ) during the FAT phase (Table 1). During the FAT  
447 phase, the foot-TS<sub>TD</sub> and ankle dorsiflexion angle at TD were not different between the shoe

448 conditions (Fig. 5). Furthermore, in the FAT phase, maximal ankle dorsiflexion torques were  
449 not different between shoes (Fig. 4). Therefore, we assume that the mechanical demand of  
450 plantar flexor muscle-tendon-units was slightly higher in shoe<sub>Racing</sub> only during the HAB phase  
451 and similar during the FAT phase, which might partly explain the comparable decline of  
452 positive work at the ankle during this phase. In particular, positive work at the ankle decreased  
453 at a near-linear rate during the FAT phase (see Appendix, Supplemental Fig. 6, Fitting  
454 methods), independent of shoe condition (Table 1). However, it is noticeable that the decrease  
455 in positive work at the ankle during the FAT phase was higher for shoe<sub>Racing</sub> compared to  
456 shoe<sub>Cushion</sub> (Fig. 3). This finding is similar to the delayed decrease in positive work at the ankle  
457 recently described by Cigoja et al. (45) for shoes with higher longitudinal bending stiffness.  
458 Since shoe<sub>Cushion</sub> had a higher bending stiffness than shoe<sub>Racing</sub>, we speculate that the difference  
459 in bending stiffness might have played a role in this distance specific difference between the  
460 shoe conditions.

461 While this study provides a clear indication that changes in running biomechanics over  
462 prolonged fatiguing runs are not necessarily a linear function of running distance, there is more  
463 research needed to understand the mechanisms underlying this phenomenon. Based on the  
464 current findings, we can only speculate that the changes during the HAB phase might be due  
465 to a harmonization of the runners' neuromuscular system with the running environment, e.g.,  
466 footwear and surface, and the requirements of the task, e.g., running distance and velocity. In  
467 contrast, the more linear changes during the FAT phase could be related to fatigue effects of  
468 the involved muscle-tendon-units.

469

## 470 **LIMITATIONS**

471 This study has several limitations. First, the mechanical test to analyze cushioning  
472 properties of shoe midsoles was technically limited in the compression velocity of  $16 \text{ mm}\cdot\text{s}^{-1}$

473 due to the limits of our material testing machine (Fig. 1, III). Typical compression velocities  
474 are approximately threefold higher during running and could be simulated with other material  
475 testing machines (25). The mechanical test was also limited to a one-dimensional actuation of  
476 force and allowed a general characterization of midsole mechanical energy storage and return  
477 capabilities only in the rearfoot region of the shoe and not over the entire midsole as performed  
478 in a previous study (25). Second, we investigated only one type of typical racing flat and  
479 cushioned shoe. Third, we attached the reflective markers of the foot to the corresponding  
480 position on the shoe, which might not exactly represent the movement of the foot inside the  
481 shoe, which may have affected our results. Fourth, we chose an explorative approach by using  
482 Fisher's least significant difference correction between the shoe conditions at each of the 13  
483 distances, which has increased the statistical power to identify smaller differences between  
484 footwear conditions, but at the same time has increased the risk for a type 1 error. Fifth, the  
485 running economy was not directly quantified. Finally, the isometric or isokinetic force  
486 capacities of the leg extensors before and after the prolonged fatiguing run were not  
487 determined, therefore we can only speculate about potential fatigue effects in these muscle  
488 groups.

489

## 490 **CONCLUSION**

491 Our findings demonstrate that a typical racing flat shoe (with less cushioning material and  
492 lower longitudinal bending stiffness) and a typical cushioned running shoe do not differ in the  
493 fatigue-related redistribution of positive work from distal to proximal joints, despite small  
494 differences in the timing of the redistribution between shoes.

495 Furthermore, irrespective of the analyzed shoe, the majority of the kinetic and kinematic  
496 alterations in the running mechanics occurred in the first 2 km of the 10 km fatiguing run,  
497 which might be attributed to the existence of a habituation rather than a fatigue effect,

498 indicating a nonlinear response to the running distance. Despite the observed changes in the  
499 habituation phase, positive work and maximal ankle dorsiflexion torque decreased  
500 continuously between 2 and 10 km of the run, leading to the previously described redistribution  
501 of positive work from distal to proximal joints. Overall, these findings improve the knowledge  
502 on the role of footwear for fatigue-related alterations in running mechanics during prolonged  
503 fatiguing runs.

504

505

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511 figure 1.

512

## 513 **CONFLICTS OF INTEREST**

514 The manufacturers of shoe<sub>Racing</sub> (Adidas AG; Herzogenaurach, Germany) and shoe<sub>Cushion</sub>  
515 (Brooks Sports Inc., Seattle, Washington, USA) were not involved in the study design or the  
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517 Brooks Running Inc., Seattle, WA, to perform work not related to this study. There are no other  
518 conflicts of interest to declare. The results of the present study are presented clearly, honestly,  
519 and without fabrication, falsification, or inappropriate data manipulation. The results of this  
520 study do not constitute an endorsement by the American College of Sports Medicine.

521

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675 **FIGURE AND TABLE LEGENDS**

676 **FIG. 1:** Footwear conditions (I): ‘Adizero Pro 4’ (Shoe<sub>Racing</sub>) and ‘Glycerin 10’ (Shoe<sub>Cushion</sub>).  
677 Schematic illustration of the testing method (II) to quantify longitudinal bending properties of  
678 the running shoes. The shoes were mounted in a material testing machine (Z020; Zwick GmbH  
679 & Co.KG, Ulm, Germany) on a rearfoot shoe last, which was fixed on a moving apparatus  
680 (low-friction ball bearing sledge) to give the freedom to bend where its sole construction allows  
681 it to. The illustration presents the unloaded situation (gray) and the maximal bending situation  
682 (white) due to the vertical displacement (50 mm) of the load cell as well as the corresponding  
683 vertical force at maximal vertical displacement (Force<sub>MVD</sub>) to bend the forefoot and midfoot  
684 part of the shoe as well as the bending stiffness for both analyzed shoes. Schematic illustration  
685 of the simple mechanical test (III) which was performed in a material testing machine (Z020;  
686 Zwick GmbH & Co.KG, Ulm, Germany) to evaluate midsole material properties (energy  
687 storage and return) by compressing the rearfoot midsole in vertical direction with 2000 N at a  
688 constant compression velocity of 16 mm·s<sup>-1</sup>.

689

690 **TABLE 1:** Main effects of a two-factor repeated-measures ANOVA (*P*-values) with two  
691 within-subjects factors (shoe condition and running distance) as well as the interaction effect  
692 between both factors for spatiotemporal parameters, maximal (max) joint angles, joint angles  
693 at foot touch-down (TD) and toe-off (TO), angle between the foot and the treadmill surface at  
694 touch-down (foot-TS<sub>TD</sub>), maximal external joint torques, and positive (pos) and negative (neg)  
695 joint work. The partial eta squared ( $\eta_p^2$ ) values are presented as normalized effect sizes. The  
696 last two columns show the pairwise comparisons (*P*-values) of 0 km and 2 km as well as 2 km  
697 and 10 km of 10-km treadmill run with near-maximal effort for the shoes, ‘Adizero Pro 4’  
698 (Racing) and ‘Glycerin 10’ (Cushion). All significant differences ( $P < 0.05$ ) are represented by  
699 bold printed *P*-values.

700

701 **FIG. 2:** Relative negative and positive work (mean  $\pm$  standard deviation) at the ankle (triangle),  
702 knee (circle), and hip (square) joint in both shoe conditions (left: shoe<sub>Racing</sub>; right: shoe<sub>Cushion</sub>)  
703 throughout the 10-km treadmill run with near-maximal effort. The first distance interval (0 – 2  
704 km) was selected to assess potential habituation effects (grey area) and the second distance  
705 interval (2 – 10 km) to demonstrate fatiguing processes. Significant differences between 0 km  
706 and 2 km as well as 2 km and 10 km are represented by \* $P < 0.05$ , \*\* $P < 0.01$ , and \*\*\* $P <$   
707 0.001, respectively.

708

709 **FIG. 3:** Mean changes in negative and positive joint work during the habituation phase (HAB;  
710 corresponds to the distance of 0 – 2 km) and the fatigue phase (FAT; corresponds to the distance  
711 of 2 – 10 km) for shoe<sub>Racing</sub> and shoe<sub>Cushion</sub>. All significant changes are represented by \* $P < 0.05$ ,  
712 \*\* $P < 0.01$ , and \*\*\* $P < 0.001$ , respectively.

713

714 **FIG. 4:** Maximum external torques (mean  $\pm$  standard deviation) at the ankle, knee, and hip  
715 joint throughout the 10-km treadmill run with near-maximal effort in both shoe conditions (■  
716 shoe<sub>Racing</sub>: racing flat shoe; ○ shoe<sub>Cushion</sub>: cushioned running shoe). The first distance interval  
717 (0 – 2 km) was selected to assess potential habituation effects (grey area) and the second  
718 distance interval (2 – 10 km) to demonstrate fatiguing processes. Significant differences  
719 between 0 km and 2 km as well as 2 km and 10 km are represented by \* $P < 0.05$ , \*\* $P < 0.01$ ,  
720 and \*\*\* $P < 0.001$  for shoe<sub>Racing</sub> as well as  $^{\wedge}P < 0.05$ , and  $^{\wedge\wedge}P < 0.01$  for shoe<sub>Cushion</sub>, respectively.  
721 A significant ( $P < 0.05$ ) shoe difference for the maximum external torque of ankle was found  
722 for the 0.2-km distance and is represented by  $S$ . Further results of pairwise comparisons  
723 between shoes can be found in the Appendix (Supplemental Table 4, Pairwise comparisons  
724 between shoes).

725

726 **FIG. 5:** Selected kinematic parameters (mean  $\pm$  standard deviation) for both shoe conditions  
727 (■ shoe<sub>Racing</sub>: racing flat shoe; ○ shoe<sub>Cushion</sub>: cushioned running shoe) throughout the 10-km  
728 treadmill run with near-maximal effort. Top left: the angle between the foot and the treadmill  
729 surface at touch-down (foot-TS<sub>TD</sub>). Top right: ankle dorsiflexion angle at touch-down  
730 (angle<sub>TD</sub>). Bottom left: ankle plantarflexion angle at toe-off (angle<sub>TO</sub>). Bottom right: knee joint  
731 flexion angle at touch-down (knee<sub>TD</sub>). The first distance interval (0 – 2 km) was selected to  
732 assess potential habituation effects (grey area) and the second distance interval (2 – 10 km) to  
733 demonstrate fatiguing processes. Significant differences between 0 km and 2 km as well as 2  
734 km and 10 km are represented by \* $P < 0.05$ , \*\* $P < 0.01$ , and \*\*\* $P < 0.001$  for shoe<sub>Racing</sub> as  
735 well as  $^{^^}P < 0.001$  for shoe<sub>Cushion</sub>, respectively. Significant ( $P < 0.05$ ) shoe differences are  
736 represented by *S*. Further results of pairwise comparisons between shoes can be found in the  
737 Appendix (Supplemental Table 4, Pairwise comparisons between shoes).

738

#### 739 SUPPLEMENTAL DIGITAL CONTENT

740 SANNO\_2020\_SDC\_Running\_into\_fatigue\_and\_footwear.pdf