

# Federated Learning: Crop Classification in a Smart Farm Decentralised Network.

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## Abstract

In this paper, the application of federated learning to smart farming has been investigated. The Federated averaging model has been used to carry out crop classification using climatic parameters as independent variables and crop types as labels. The decentralised machine learning models have been used to predict chickpea crops. Through experimentation, it has been observed the model converges when learning rates of 0.001 and 0.01 are considered using the Stochastic gradient descent (SGD) and the Adam optimizers. The model using the Adam optimizer converged faster than the SGD optimizer, this was achieved after 100 epochs. Analysis from the farm dataset has shown that the decentralised models achieve faster convergence and higher accuracy than the centralised network models.

*Keywords:* Federated Learning, classifier chain Gaussian (CCGNB), Binary Relevance Gaussian (BRGNB), Label powerset Gaussian Naïve Bayes (LPGNB)

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## 1. Introduction

The Federated Learning (FL) approach has been adopted in this research to ascertain how the predicted crop types are close to the original crop types within the provided dataset. An FL network is a decentralised network where the local edge nodes send their updated weights to the server and the server aggregates all these updated weights and sends the combined model back to the edge nodes for further training, this process continues until convergence is achieved. The models are hyper-tuned to investigate the convergence of the decentralised models during the optimization of the Federated Averaging model of the smart farming dataset. The FL algorithm is used to aggregate the edge node models within the decentralised network. The FL server sends its base model to the edge nodes and these edge nodes use the base model for training its local datasets and send their updated weights to the server, the server aggregates all the various updated weights from each edge node and forms a new global model, the updated global model is sent back to the edge nodes for the local model training, this process continues until convergence is obtained. As discussed in [1], the use of satellite images has helped to analyze soil and crops in farmlands, to determine the condition of the crops or soil. This has helped to resolve many challenges with soil and crops

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18 using data obtained via satellite, these solutions have enhanced farming through the  
19 forecast of the crops harvesting time to make decisions to combat poor harvest from the  
20 farms. According to [2], six domain models have been used for designing smart farms  
21 to interconnect between systems, the domain models have enhanced the joint ecosys-  
22 tem of sharing data between the industry players. It can be inferred that smart farms  
23 use Information and Communication Technology (ICT) and Internet of Things (IoT)  
24 devices which are interconnected via the Internet. Many data can be exchanged within  
25 these farms and one of the challenges experienced in smart farming is data privacy. It  
26 will be interesting to investigate federated learning applications for these smart farms,  
27 where the data owner will not share the data with the data scientist. Therefore, the  
28 data scientist will be able to evaluate these smart farm datasets without access to the  
29 farm data, this research explores smart farming use case within the federated learning  
30 platform.

31 The research of [3] discussed the automation of a smart farm where the irrigation  
32 system is controlled via a mobile app, enabling farmers to monitor the data captured  
33 by the IoT device around the plant. The limitation of their work is that their system  
34 has not performed any analysis of the data collected. The research conducted by  
35 [4,5,6] discussed predictions of pest infestation from the dataset captured from smart  
36 farms. The plants experience high moisture during the day and low moisture at night,  
37 prompting the researchers to calibrate the automated device to supply more water to  
38 the plants during the day. The limitation of their work is that they did not provide  
39 any analysis of the data captured. It was observed from [1, 2, 3, 4, 5] that many of the  
40 edge devices performed little or no analysis of the captured data. The synergies of the  
41 results, predictions, or analysis have not been achieved due to different platforms, and  
42 operating systems used in their research. This paper uses a multi-labelled dataset, the  
43 climatic parameters are the independent variables while the crop types are the labels  
44 and the federated learning platform has been used to predict the crop types from the  
45 climatic parameters for a smart farm network.

46 **Our Contributions:** This paper proposes the use of hyper-tuned federated av-  
47 eraging models that can provide privacy for the smart farming multi-labelled dataset  
48 during evaluation. This is due to the fact the dataset is not shared with the server  
49 but is trained locally at the edge nodes. The FL models outperform the centralised  
50 network machine learning Gaussian Naïve Bayes models by producing optimal con-  
51 vergence, accuracy, and harmonic means. Therefore, the model can predict the crop  
52 type from the dataset which contain climatic parameters as independent variables and  
53 crops as the labels. The hyper-tuned Federated averaging algorithm has been able to  
54 make crop predictions with a high accuracy value from the given multi-labelled dataset  
55 without access to the raw data within a decentralised network. The climatic features of  
56 the data have been temperature, humidity, the potential of hydrogen(pH), and rainfall  
57 while the following crops have been the dependent variables rice, maize, and chickpea.  
58 A Testbed using PySyft, Pytorch and Syft libraries has been used for the emulation.  
59 Section 2 discusses the existing publications on federated learning. Section 3 narrates  
60 the methodology adopted using federated Learning to predict crop types from the cli-  
61 matic datasets. Section 4 discusses the results obtained from the federated learning  
62 model and the Gaussian Naïve Bayes classifier models for the multi-labelled dataset.  
63 Section 5 discusses the conclusion drawn from the results in Section 4.

## 64 2. Related work

### 65 2.1. Federated Learning

66 The soil-less smart farming methodology [7] has been adopted to cultivate crops, this  
67 approach has enabled farmers to produce high-yield crops, reduce water usage within  
68 the smart farm, and low parasite infestation. However, the smart farming technic  
69 affords farmers the opportunity to monitor their crops using IoT sensors. Federated  
70 learning (FL) is a machine learning technic for analysing datasets without accessibility  
71 to the raw data. According to [8], FL has been used in the medical industry for covid-  
72 19 disease detection using chest computed tomography images. Their result indicates  
73 that using the Federated averaging model, the communication cost of their network has  
74 been reduced. The authors in [9] propose a modified Federated learning model where  
75 the edge nodes are randomly distributed into groups, and a group is given a different  
76 transmission time slot, this technic has been able to reduce the Byzantine attacks  
77 within their Federated learning test bed. Edge devices are agnostic in their capacities  
78 and resources, [10] paper proposes a federated learning framework that accepts the  
79 ad-hoc nature of the edge devices and analyses the models without compromising the  
80 privacy, and security of the data while achieving convergence. Research papers [11, 12]  
81 propose the decoupling of the federated Learning architecture while distributing the  
82 edge nodes task in an intelligent pattern. This architecture leads to low computational  
83 resource usage. Edge devices have been used to capture data which are processed or  
84 transmitted to the cloud or server for analytics to ascertain decisions in various sectors.  
85 Data privacy is ensured during the evaluation.

86 The authors in [13] have discussed that recent research work in federated learning  
87 has discussed extensively supervised learning and they have suggested that researchers  
88 should consider investigating unsupervised machine learning within a federated learning  
89 platform. As discussed in [14], to preserve the privacy of the data trained in a machine  
90 learning system, a shift from the classical machine learning algorithm to a decentralised  
91 machine learning platform is important, where the data are not sent to the server or  
92 cloud for training, this equally reduce the latency since the bandwidth consumption  
93 within the network is reduced equally. It can be inferred from [12,13,14] that their  
94 work has not been applied to an unsupervised learning algorithm which is a limitation.  
95 As cited in [15], federated learning has been used to establish cross-domain, cross-data,  
96 and cross-enterprise platforms. The limitation of their research is that their work did  
97 not mention if they used either homogeneous or heterogeneous datasets. Homogeneous  
98 edge nodes all have the same attributes such as the memory, processor, and bandwidth  
99 capacities as opposed to heterogeneous edge nodes. In this paper's research, the edge  
100 nodes are homogeneous because all the edge nodes have the same memory, processor  
101 and transmitting power. The authors in [16,17] have discussed that there exists a  
102 server and edge nodes correlation and cross-domain, cross-data transaction between  
103 edge nodes and server nodes in a Federated learning network. It has been discussed  
104 that sending only the updated weights within the FL network minimised the latency  
105 within the network. It can be inferred that communication cost has been reduced  
106 by two ranks in an FL network from their research. Their research considered low  
107 bandwidth consumption edge nodes during the rounds but their model has not been  
108 tested in a high bandwidth scenario.

109 In [17], it is considered that a modest assets scenario in an FL network, Federated  
110 Distillation (FD), is an algorithm that reduces communication overhead better than

111 the Federated averaging algorithm and Hybrid federated distillation (HFD) algorithm.  
112 This helps to enhance the performance gap between FL and FD by controlling the  
113 average probability vector and average input from the dependent variable during the  
114 offline phase. It was reported in their paper that FD and HFD yield better results  
115 compared with federated averaging when the number of uplinks and downlinks channels  
116 is very small. However, their research did not address the use of their model for a  
117 wired non-fading channel link and no information was provided on the frequency of the  
118 wireless edge nodes which was used for the experiment. The work of [16] inspires our  
119 architecture where a server has been set up for experimentation using homogeneous  
120 Edge nodes with the same attributes such as memory capacity, and processor. In [18], it  
121 is observed that using the distance of convex functions enables researchers to pick more  
122 nodes compared to other technological technics when the accumulation of Multi-access  
123 Edge Computing (MEC) devices allow applications to be run close to the service user for  
124 a rather demanding mean square error request which was achieved through increment  
125 of antennas at a base station in their experiment. The MEC allow cloud computing  
126 features and information technology profiles at the edge of any network. It can be  
127 deduced from their paper that aggregation of more MEC edge nodes in their experiment  
128 enhanced the performance of their model, some limitations were observed in their  
129 research such as, it did not investigate the effect of channel uncertainty in the model  
130 accumulation, more so their research did not address the computational complexity  
131 of the algorithm used. This paper applies the FL approach to smart farming, the  
132 Federated learning technique is a subset of machine learning that can be regarded as  
133 a contribution. The authors in [19] have used a greedy algorithm, a two-magnitude  
134 image analytical solution, where the edge nodes are vehicular. It can be deduced that  
135 the greedy algorithm helps to achieve model accuracy and aggregation efficiency for  
136 a federated learning vehicular network. Their work inspires the performance of FL  
137 models for smart farming. In this research, as shown in Figure 1, which depicts our  
138 architecture, the mobile edge computers receive the data from the IoT devices, the  
139 MEC perform the local training of the data and only sends their updated weights to  
140 the server, upon completion of the aggregation of all the received local weights, the  
141 server sends its new updated global model to each MEC and they also use this new  
142 received updated global model to perform the next training, this process continues  
143 until convergence is achieved.

144 The authors in [20] discussed, their modified C-fraction Federated Stochastic gradi-  
145 ent descent algorithm which considers the ratio of the online participants to the total  
146 number of participants within the federated network, their modified algorithm has  
147 been able to give between 99.65% to 99.85% accuracy from the training using different  
148 values of the c-fraction during experimentation, despite the impressive results from  
149 their experimentation, it can be observed that the same learning rate has been con-  
150 sidered for the 4 different C-fraction, it would have been interesting to get the results  
151 for each C-fraction using different learning rates. Many different learning rates have  
152 been considered for this research unlike the research of [20] to determine the effect of  
153 the different learning rates on our accuracy values using different optimizers such as  
154 Stochastic Gradient Descent (SGD) and Adam optimizer. According to the authors in  
155 [18], the Adam activation function has been used in a Federated averaging algorithm  
156 for a crowd-sourcing speech data to study an asset-limited wake word detector instead  
157 of using the normal global averaging for its training, their work achieved a 95% recall

158 per 5 false alarm per hour (FAH) for 100 communication cycle when the crowd-sourced  
 159 dataset communication cost per participant was 8 Megabyte (MB). Using the Adam  
 160 optimisation, the network can converge faster, the limitation of their work is that a  
 161 memory-efficient end-to-end model was not used in their research. [20] discussed that  
 162 SGD converges faster but the step sizes decay fast which affects its efficiency during  
 163 training, however, [21] stated that the Adam optimizer is a robust optimizer that com-  
 164 bines two other optimizers namely Adagrad and RMSProp, and uses less memory for  
 165 training and converges faster than SGD. This paper has considered both the SGD and  
 166 Adam optimizer in our research for analysis and our results depict the performance of  
 167 the model using smart farming variables within a Federated Learning network, the re-  
 168 sults indicate that the Adam optimizer had a higher accuracy compared with the SGD  
 169 optimizer while using climatic variables for crop type prediction. It is obvious from  
 170 [14-21] that federated learning has been implemented in various networks with edge  
 171 nodes which have reduced edge node queuing, bottleneck traffic, and latency of traffic  
 172 due to the application of different technic of algorithm schemes to make the communi-  
 173 cation cost low and the network more efficient. Related works have shown that several  
 174 technics have been adopted by researchers to reduce the latency and network traffic  
 175 challenges within a particular network, this research explores options for hyper-tuning  
 176 the parameters to achieve optimal convergence within the federated learning network  
 177 while predicting the crop type.

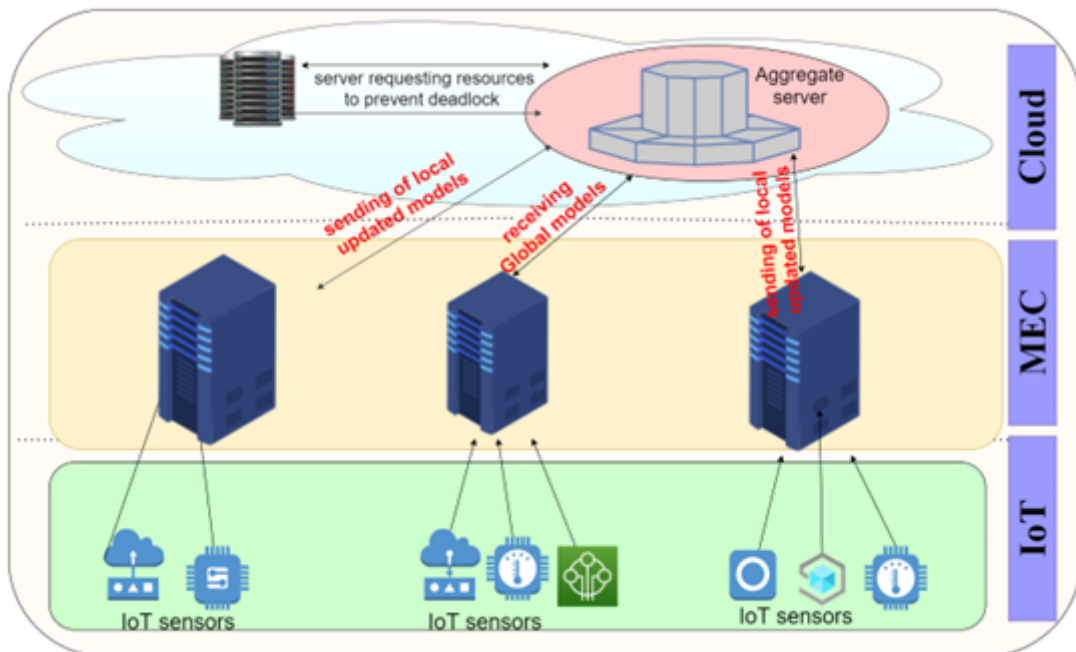


Figure 1: Federated Learning Architecture.

178 Figure 2 shows the Federated Learning network flow sequence from the sensors  
 179 which capture data and send these data to the edge devices. Unlike classical machine  
 180 learning where the data are sent to the cloud for training, Federated learning adopts a  
 181 different approach, the server sends its initial global models to the edge devices. Since  
 182 training takes place at the edge nodes where the data is domiciled, the edge devices use  
 183 the initial global model sent from the server to train its local model, the edge devices  
 184 then send its updated weights to the server. It is important to note that the aggregate

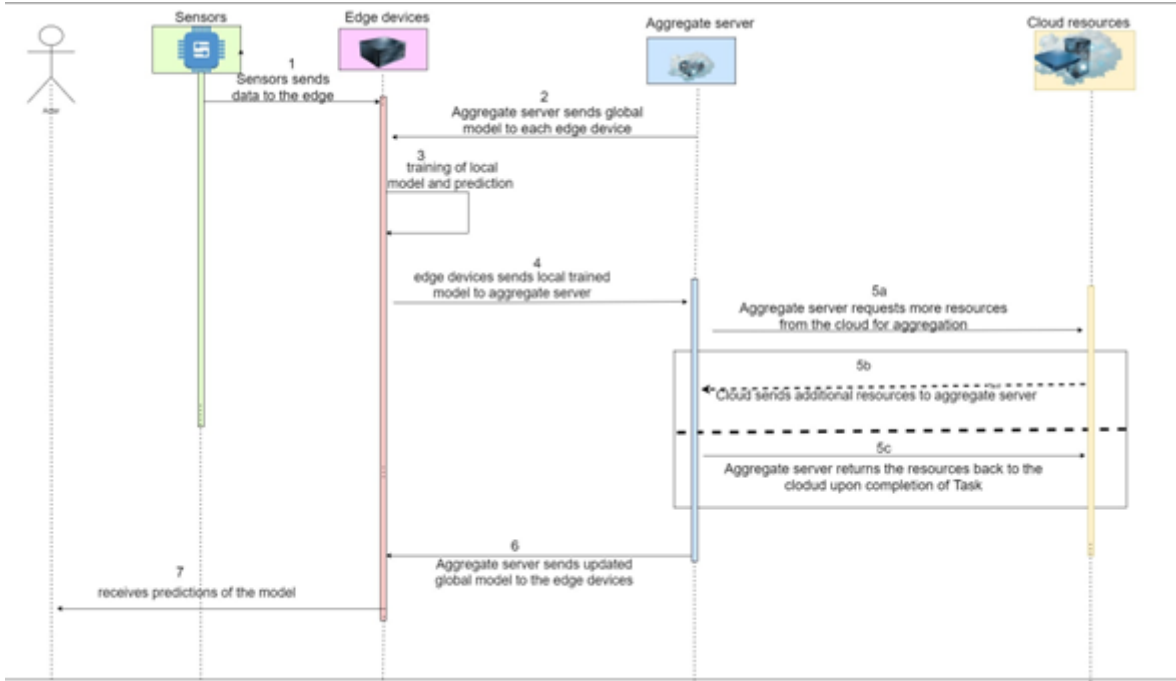


Figure 2: Federated Learning Sequence.

185 server never sees the raw data of the edge devices throughout the entire process which  
 186 provides data privacy and security for the data for the entire analysis.

187 **2.2. Gaussian Naïve Base (NB) Classifiers**

188 The authors in [22] discussed that Binary relevance breaks down the multi-class  
 189 dataset into several independent binary variables such that one variable is in one label.  
 190 According to [23], the classifier chain Gaussian NB equally disintegrates the multi-  
 191 class dataset into many independent variables but recognises the dependent variable  
 192 correlations which is an enhancement over the Binary relevance Gaussian NB model.  
 193 The authors in [23] discuss that the Label powerset Gaussian NB transform the multi-  
 194 label dataset into many multi-classes single-label classification problem. The Gaussian  
 195 Naïve Bayes is implemented from the Naive Bayes theorem.

196 **2.3. Federated Learning**

197 The following steps describe the sequence:

- 198 1. Initialisation of the tasks The training task is decided by the server.  
 199 The training process and global model hyper-parameters are handled by the server.  
 200 The selected participants receive the task and initialise the global model  $V_p^o$   
 201
- 202 2. update and train the local model.  
 203 The edge nodes use their local data and devices to optimize the local model  $V_p^t$   
 where t represents the recent iteration index.  
 204 The purpose of the edge nodes i in the process t is to determine the best variables  
 205  $V_i^t$  that will decrease the loss function  $L(V_i^t)$

$$V_i^t = \arg \min L(V_i^t) \dots \dots \dots (2)$$

Algorithm 1: Federated Averaging Algorithm [16]	
	The Learning rate is $\eta$
	The number of local epochs is $e$
	Locally reduced batch (mini-batch) = $S$
	Number of edge nodes in each iteration = $c$
	Global model $V_p^o$
1.	The participants are represented by $i$
2.	Local Training $V_i$
3.	Divide local dataset $G_i$ to small mini-batches, and place in set $G_i$
4.	$s$ which is part of a set $S_i$
5.	for every local epoch $h$ , from $i$ to $e$ do
6.	for every $s \in S_i$ do where ( $\eta$ = learning rate and $\delta$ =gradient of $L$ on $S$ )
7.	end for
8.	end for
9.	[server]
10.	set $V_p^o$
11.	for iteration $t$ from 1 to $t$ do
12.	arbitrarily select a subset $Y_t$ of $C$ edge nodes from $N$
13.	for each edge node $i \in Y_t$ similarly do
14.	$V_i^{t+1}$ local training ( $i, V_p^t$ )
15.	end for
16.	aggregating $V_p^t = \frac{1}{\sum_{i \in N} D_i} \sum_{i=1}^N D_i V_i^t$
17.	end for

$$V_i^t$$

207 The server receives the updated local model parameters.  
208 3. Global model accumulation and modification.  
209 Local models are aggregated which are from the edge nodes to the server,  
210 the edge nodes receive the modified global model.  $V_p^{t+1}$   
211  $L(V_p^t)$  is the global loss function, minimised by the server.

$$L(V_p^t) = \frac{1}{N} \sum_i^N L(V_i^t) \dots\dots\dots(3)$$

212 The global loss function converges after many repetitions of steps 2 to 3 (state which  
213 additional iterations do not enhance the model) FL training using learning rate=0.01,  
214 optimizer=SGD.

### 215 3. Methodology and Experimental Set-up

216 The data used for this research include climatic features namely temperature, hu-  
217 midity, the potential of hydrogen(pH), and rainfall which are the independent variables,  
218 and the labels are rice, maize, and chickpea. The classes in the dataset namely chick-  
219 pea, rice, and maize are equally distributed. This implies that the dataset is balanced.  
220 The dataset has been split into 80% for training and 20% for testing using the sci-kit  
221 learn library [24]. Each federated node has the same labels and attributes since we

222 are exploring homogeneous edge nodes where all the edge nodes manage data with the  
 223 same attributes and features. The research experiment aims to investigate the predic-  
 224 tion of a particular crop from a class of crops using climatic data as the independent  
 225 features from the dataset, while the crop types are the labels from the dataset. This  
 226 was achieved using a modified federated averaging algorithm model. The Syft library  
 227 is used in a decentralised platform where the edge nodes' data reside at the edge nodes  
 228 and the data scientist remotely trains the dataset without seeing the data [24], this  
 229 research uses the Syft library in the duet platform in our testbed. The Testbed has  
 230 been set up using a Linux machine, the data scientist and the data owner have been  
 231 able to interact via the duet platform, and the Data owner is the custodian of the data.  
 232 First, the data owner establishes the connection using the duet server and waits for the  
 233 Data scientist to connect to the data owner via the duet server, once a connection was  
 234 established, the data owner(edge device) then proceeds to train its dataset and sends  
 235 its local updated weights to the aggregate server or data scientist, the updated global  
 236 model is then sent back to the edge devices for a repeat iteration and this process  
 237 continues until the model converges. An emulation of the network was set up using the  
 238 GNS3 tool, to test the Federated Learning model for a smart farming dataset, climatic  
 239 data with independent variables such as temperature, humidity, pH, and rainfall were  
 240 used as the independent variable while three crops namely rice, maize, chickpea were  
 241 considered as the dependent variable and the results shown in tables 1-4 were obtained  
 242 from the experiment.

243 **4. Results and Discussion**

244 The dataset with independent variables of temperature, humidity, pH, and rain-  
 245 fall and dependent variables of rice, maize, and chickpea has been passed into the  
 246 Binary Relevance (Gaussian NB), Classifier chain (Gaussian NB) and Label Powerset  
 247 (Gaussian NB) model in the test bed setup within the Jupyter Notebook and the fol-  
 248 lowing results have been obtained as shown in figure 3, 4, 5 respectively. The Binary  
 249 Relevance (Gaussian NB), classifier chain (Gaussian NB) and Label power (Gaussian  
 NB) produced an accuracy of 60%, 60%, and 55% respectively from the training. The

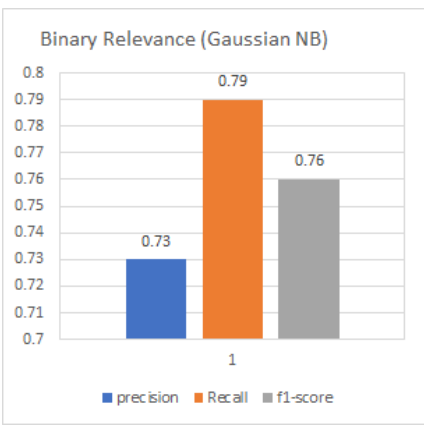


Figure 3: Binary Relevance (Gaussian NB)

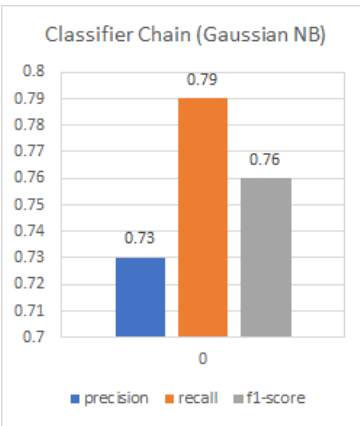


Figure 4: Classifier Chain (Gaussian NB)

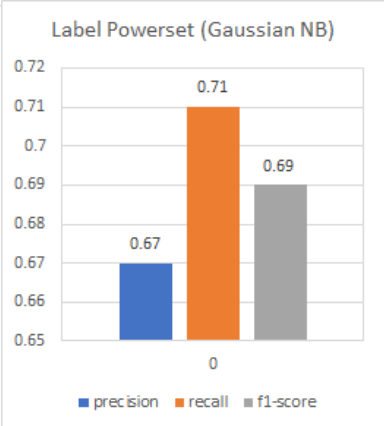


Figure 5: Label Powerset (Gaussian NB)

250 Binary Relevance and classifier chain Gaussian Naïve Bayes model has been used to  
 251



252 evaluate the multi-labelled dataset. Figures 3 and 4 indicate the results obtained from  
 253 using the Binary Relevance Gaussian NB and Classifier Chain Gaussian NB model in  
 254 both evaluations, a Harmonic mean of 0.76 and Accuracy of 60% has been obtained.  
 255 The Binary relevance Gaussian NB and Classifier chain Gaussian NB has been able  
 256 to use the sample averages of each instance of the multi-labelled dataset to produce  
 257 a Harmonic mean of 0.76 and both models were able to match 60% of the predicted  
 258 multi-labelled variables to the original labels of the dataset. The Label Powerset Gaus-  
 259 sian NB model has produced an accuracy of 55% as shown in Figure 5. The F1-score  
 260 of 0.69 has been achieved by the model showing that the ratio of the product of the  
 261 precision and recall to the sum of the precision and the recall values from the model  
 262 during evaluation is 0.69. The model takes into account the sample average since the  
 263 dataset considered is a multi-label and each of the sample averages for each instance  
 264 is used during evaluation to produce the harmonic mean of the model. Tables 1 – 4  
 265 show the results obtained from using the federated learning models to predict the crop  
 type using climatic parameters as independent variables and crops as labels. The

Table 1: FL training using learning rate=0.001, optimizer=SGD

	<b>Precision</b>	<b>recall</b>	<b>f1-score</b>	<b>support</b>
0	0.40	0.60	0.48	0.10
1	0.70	0.10	0.80	0.10
2	0	0	0	0.10
Accuracy			0.23	0.30
macro average	0.16	0.23	0.19	0.30
weighted average	0.82	0.23	0.19	0.30

Table 2: FL training using learning rate=0.01, optimizer=SGD

	<b>Precision</b>	<b>recall</b>	<b>f1-score</b>	<b>support</b>
0	0	0.62	0.77	0.10
1	1	0.30	0.46	0.10
2	0.91	1	0.95	0.10
Accuracy			0.77	0.30
macro average	0.84	0.77	0.73	0.30
weighted average	0.84	0.77	0.73	0.30

266 model hyper-parameters have been tuned to obtain various results, the learning rate  
 267 hyper-parameters range from zero (0) to One (1), and different values of learning rates  
 268 between zero(0) and one(1) have been considered for hyper tuning of the models, more  
 269 so different optimizers such SGD and Adam has been considered based on previous  
 270 research by [19]. Using an SGD optimizer, a learning rate of 0.001, and a Computa-  
 271 tional time of 0.00013 seconds have been obtained during the training of the model.  
 272 An Accuracy of 23% has been obtained while the predicted crop was rice, implying the  
 273 model made high errors since its loss values are also high as can be seen in Figure 6.  
 274 It can be inferred that using the SGD optimizer and a learning rate of 0.001 only 23%  
 275 of the predicted labels have been matched with the original labels in the dataset after  
 276 the training which indicates the SGD optimizer at this learning rate produced a poor  
 277

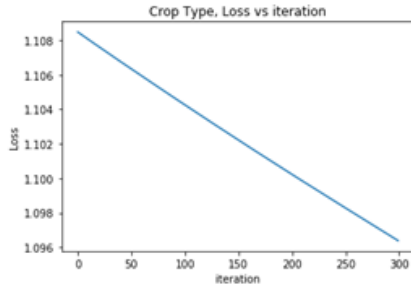


Figure 6: Loss using SGD optimizer, Learning rate=0.001

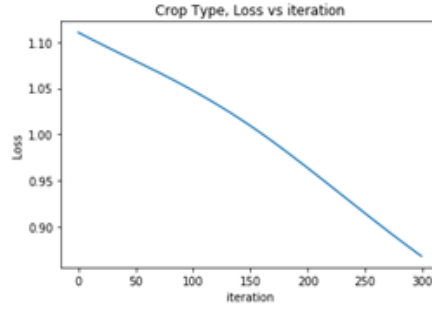


Figure 7: Loss using SGD optimizer, Learning rate=0.01

278 accuracy and failed to match the predicted classes with the original labels. Figure 7  
 279 shows further hyper tuning using the Federated Learning Model using a Learning rate  
 280 of 0.01, SGD optimizer, the model is converging very poorly due to over-fitting of the  
 281 model. it produced an accuracy of 77% indicating it has been able to match only 77%  
 282 of the predicted crop label to the original crop-dependent variables

283 The Federated learning model produced a precision value of 0.40 using the SGD  
 284 optimizer and a Learning rate of 0.001. This is the ratio of the correctly predicted  
 285 positive labels to the sum of the correctly predicted positive labels and the incorrectly  
 286 predicted positive labels. Upon further evaluation where the model has considered the  
 287 ratio of the correctly predicted positive labels to the sum of the correctly predicted  
 288 positive labels and the incorrectly predicted negative labels giving a recall value of  
 289 0.60 which can be referred to as the recall value. Comparing the precision and recall  
 290 values from the federated learning model, an F1 score of 0.48, which is the Harmonic  
 291 mean, that's the reciprocal of the arithmetic mean has been produced which is a poor  
 292 performance of the SGD optimizer function, as shown in Tables 1. It can be inferred  
 293 that the SGD optimizer function with a learning rate of 0.001 converged poorly and  
 294 extremely slowly to its local minima as shown in Figure 6. Further hyper-tuning  
 295 of the model parameters has been conducted with the SGD optimizer but with a  
 296 different learning rate value of 0.01. The results in Table 2 indicate that only 77%  
 297 of the predicted labels matched the original labels of the classes of chickpea, rice and  
 298 maize. The model has failed to produce a value for the evaluation of the ratio of the  
 299 true positive of the predicted labels to the sum of the true positive predicted labels  
 300 and incorrectly predicted positive labels, this indicates the poor performance of the  
 301 model using the SGD optimizer and learning rate values of 0.01. The evaluation of  
 302 the ratio of the true positive of the predicted labels to the sum of the true positive  
 303 predicted labels and incorrectly predicted negative labels has produced a recall value  
 304 of 0.60. Taking the ratio of the precision and the recall for the SGD with a learning  
 305 rate of 0.01, a Harmonic mean (F1-score) of 0.77 has been obtained which is a better  
 306 performance than the initial learning rate considered earlier. It can be inferred that  
 307 the federated learning model is converging to its local minima much faster, which  
 308 is a better value when compared with the results from Table 1 but its performance  
 309 is unable to give a precision value. From Table 3 a different optimizer function  
 310 namely the Adam optimizer is considered for the hyper-tuning of the model, the Adam  
 311 optimizer combines the Adagrad and RMSProp algorithms for its evaluation to give a  
 312 better evaluation during training. The predicted class has matched the original values

Table 3: FL training using learning rate=0.001, optimizer=Adam

	<b>Precision</b>	<b>recall</b>	<b>f1-score</b>	<b>support</b>
0	0.83	1	0.91	0.10
1	1	0.70	0.82	0.10
2	0.91	1	0.95	0.10
Accuracy			0.90	0.30
macro average	0.91	0.90	0.90	0.30
weighted average	0.91	0.90	0.90	0.30

Table 4: FL training using learning rate=0.01, optimizer=Adam

	<b>Precision</b>	<b>recall</b>	<b>f1-score</b>	<b>support</b>
0	0.83	1	0.91	0.10
1	0.67	0.40	0.50	0.10
2	0.67	0.80	0.73	0.10
Accuracy			0.73	0.30
macro average	0.72	0.73	0.71	0.30
weighted average	0.72	0.73	0.71	0.30

313 with a percentage of 90% which indicate a good performance of the accuracy metric.  
314 The ratio of the correctly predicted positive labels to the sum of the correctly predicted  
315 positive labels and the incorrectly predicted positive labels gave a value of 0.83 precision  
316 value as shown in Table 3. To further verify the Adam optimizer performance using  
317 a learning rate of 0.001, the ratio of the precision and recall values are taken which  
318 produce a Harmonic mean (F1-score) of 0.91 from the model evaluation. It can be  
319 inferred that the model has converged very fast which enabled it to reach its local  
320 minima, thereby improving its performance with a 0.91 harmonic mean (F1-score)  
321 value. Further analysis using the Adam optimizer with a learning rate of 0.01, the  
322 hyper-tuning of the model, the predicted class has a match with the original values  
323 with a percentage of 90% which indicate a good performance of accuracy metric as  
324 shown in Table 4. The ratio of the correctly predicted positive labels to the sum of the  
325 correctly predicted positive labels and the incorrectly predicted positive labels gives a  
326 value of 0.73 precision value. To further verify the Adam optimizer using a learning  
327 rate of 0.01, the ratio of the precision and recall values are taken which produce a  
328 Harmonic mean (F1-score) of 0.91 from the model evaluation. It can be inferred that  
329 the model dropped on its accuracy metric from the previous value using the 0.001  
330 learning rate when a learning rate of 0.01 is considered but has been able to maintain  
331 the F1 score. It can be inferred that the model using the Adam optimizer has been able  
332 to converge to a local minimum, considering all the true and false positives, and true  
333 & false negatives to give a high harmonic mean (F1-score) at a higher learning rate of  
334 0.01. The dataset contained three (3) classes in the dependent variables, during each  
335 hyper-tuning with different optimizer functions and learning rate parameters, it has  
336 been observed that chickpea was the predicted crop, indicating the federated learning  
337 model without seeing the raw dataset has been able to match a higher percentage of the  
338 predicted crop with its original values. Figures 6 and 7 show the loss value decreasing  
339 during the training of the model using stochastic gradient descent (SGD) optimizer,

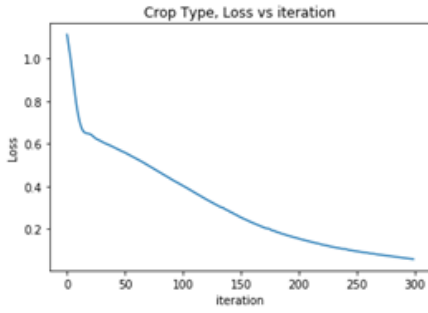


Figure 8: Loss using optimizer=Adam, Learning rate=0.001

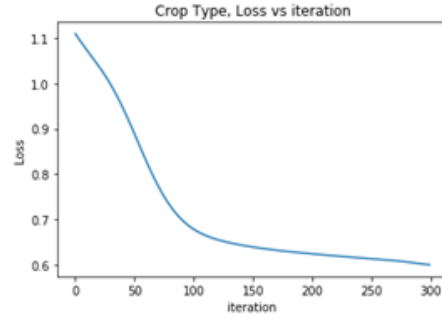


Figure 9: Loss using Adam optimizer, Learning rate=0.01

340 with a learning rate (LR) of 0.001 and 0.01 respectively.

341 From the results obtained, as shown in Figure 6, a minimum Loss of 1.096 has  
 342 been obtained from the evaluation of the model, Figure 7 has produced a minimum  
 343 loss value of 0.7, while Figure 9 depicts that a minimum loss value of 0.6 and the  
 344 loss started to converge appreciably after 100 iterations. However, from Figure 8, the  
 345 loss has started to converge appreciably after 20 iterations and eventually converge  
 346 at a Loss value of 0.1 which is a better improvement compared with the other initial  
 347 learning rate of 0.001, 0.01 for SGD optimizer and a learning rate of 0.001 for the  
 348 Adam optimizer. It can be inferred that with the learning rate of 0.001 using the  
 349 Adam optimizer, the federate learning model has been able to reach its local minima,  
 350 although its training time at this learning rate has been increased as shown in Figure 8.  
 351 However, in Figure 9 its training iteration is over 200, this implies the model has begun  
 352 to learn the noise in the dataset and it causes over-fitting and generalising poorly. This  
 353 research results confirm the efficiency of the Adam optimizer from the hyper-tuning  
 354 of the parameters of the Federated Learning model to a smart farm dataset, it can  
 355 be inferred that the Adam optimizer converges better than the SGD optimizer. This  
 356 confirms that federated learning models also reach their local minima at low learning  
 357 rates and use high training time to converge. The dataset used for this experiment was  
 358 obtained from [20].

## 359 5. Conclusion

360 A dataset obtained from [15] has been used for this research to determine the  
 361 performance of the Federated Averaging algorithm within a smart farming scenario. It  
 362 has been observed that climatic parameters can be considered as independent features  
 363 and crop types as dependent features, upon training the dataset with the adjusted  
 364 model, it has been observed that the Adam optimizer has enabled the model to reach  
 365 its local minima while considering the true and false positive predicted label classes,  
 366 true and false negatives predicted dependent variables to achieve a harmonic mean  
 367 (F1-score) of 0.91. It can be inferred from Table 1- 4, which depicts the various  
 368 Harmonic mean values obtained from the evaluation of the multi-labelled dataset with  
 369 temperature, humidity, pH, and rainfall as independent variables, with rice, maize and  
 370 chickpea as labels, using the binary relevance Gaussian NB, Classifier chain Gaussian  
 371 NB, Label Powerset Gaussian NB and the Federated averaging models that, the optimal  
 372 harmonic mean has been produced by the Federated averaging model with a value of

0.91 which is the decentralised model where the raw dataset has not been shared, unlike the centralised network where the raw dataset has been shared in the Gaussian NB models. Academic researchers can consider this work results to take decisions on smart farming within a Federated learning platform.

## 6. Future works

The Swin Transformer can be considered for evaluation of the climatic parameters to predict the crop type. It will be novel research to use the Federated split learning model to predict the crop types using the climatic parameters as independent variables and the crop types as your dependent variables.

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