1	Enhancement of awareness through feedback does not lead to interlimb transfer of
2	obstacle crossing in virtual reality
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#### 25 Abstract

Locomotor skill transfer is an essential feature of motor adaptation and represents the 26 generalization of learned skills. We previously showed that gait adaptation after crossing virtual 27 obstacles did not transfer to the untrained limb and suggested it may be due to missing feedback 28 of performance. This study investigated whether providing feedback and an explicit goal during 29 training would lead to transfer of adaptive skills to the untrained limb. Thirteen young adults 30 31 crossed 50 virtual obstacles with <u>one (trained)</u> leg. Subsequently, they performed 50 trials with their other (transfer) leg upon notice about the side change. Visual feedback about crossing 32 performance (toe clearance) was provided using a color scale. In addition, joint angles of the 33 34 ankle, knee, and hip were calculated for the crossing legs. Toe clearance decreased with repeated obstacle crossing from  $7.8\pm2.7$  cm to  $4.6\pm1.7$  cm for the trained leg and from  $6.8\pm3.0$  cm 35 to  $4.4\pm2.0$  cm (p<0.05) for the transfer leg with similar adaptation rates between limbs. Toe 36 clearance was significantly higher for the first trials of the transfer leg compared to the last trials 37 of the training leg (p<0.05). Furthermore, statistical parametric mapping revealed similar joint 38 kinematics for trained and transfer legs in the initial training trials but differed in knee and hip 39 joints when comparing the last trials of the trained leg with the first trials of the transfer leg. 40 We concluded that locomotor skills acquired during a virtual obstacle crossing task are limb-41 specific and that enhanced awareness does not seem to improve interlimb transfer. 42

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44 Keywords: obstacle crossing, motor adaptation, motor transfer, awareness, falls

## 46 Introduction

Challenges to stability during walking due to uneven floors, slippery surfaces, or obstacles 47 require the neuromotor system to execute effective motor actions and rapidly adapt to provide 48 safe locomotion. A widely used paradigm to assess locomotor adaptation is obstacle crossing 49 during treadmill walking (Erni & Dietz, 2001; Lam et al., 2004; Van Hedel et al., 2004). 50 Humans adapt their locomotor behavior with repeatedly practicing obstacle crossing as in using 51 a smaller toe clearance and reducing lower extremity muscle activation (Kloter et al., 2012, 52 Michel et al., 2018). An alternative method incorporates perturbations in virtual reality (VR), 53 avoiding the need for complex mechanical equipment. This approach has recently been 54 55 demonstrated to cause adaptations in stability and gait in different population groups (Delgado & Der Ananian 2020; Kim et al., 2019; Weber et al., 2021). However, contrary to the findings 56 of an obstacle crossing paradigm in the physical world (Van Hedel et al. 2002; Kloter et al. 57 2012), no interlimb transfer was detected in VR obstacle crossing (Weber et al., 2021). 58

Previous studies have highlighted the significance of visual feedback in enhancing skill 59 generalization (Taylor et al., 2013). Additionally, it has been suggested that optimizing 60 performance via feedback can lead to improved transfer performance (Krishnan et al., 2017, 61 62 2018; Swinnen et al., 1997). As a result, it is anticipated that providing participants with 63 feedback would grant them greater control over their actions and consequently improve interlimb transfer. Explicit performance feedback may have contributed to interlimb transfer in 64 previous studies addressing mechanical obstacle crossing (van Hedel et al., 2002; Kloter et al., 65 2012). In our previous study we showed gait adaptation for VR training without feedback, but 66 transfer to the untrained limb was absent (Weber et al., 2021). In the current study, we therefore 67 decided to extend our investigations and aimed to evoke enhanced awareness by feedback as a 68 factor influencing interlimb transfer. We hypothesized that additional feedback about obstacle 69 crossing performance would increase awareness and hence support adaptation and transfer of 70 movement kinematics. 71

72

# 73 Methods

# 74 Participants

Thirteen healthy young adults (7 males, 6 females; age 22.7±1.4yr; body height 175±9cm; body 75 mass 73.1±9.6kg; means ± standard deviations) without prior experience in virtual obstacle 76 crossing were recruited for this study. All participants had normal or corrected-to-normal 77 vision, no neurological and musculoskeletal impairments, were right leg dominant (prior asked 78 via kicking leg) and provided informed consent before any measurements were made. Using 79 the effect size and power values from our previous study (Weber et al., 2021), the a priori 80 81 sample size was computed using G\*Power software (Faul et al., 2009), yielding a sample size of N=13 with a power of 0.99. The study was approved by the ethics committee of the 82 University of Applied Sciences Koblenz and the protocol met all requirements for human 83 84 experimentation in accordance with the Declaration of Helsinki (World Medical Association, 2013). 85

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## 87 *Experimental setup and procedures*

This study is a direct continuation of our previous study (Weber et al., 2021) and characterized 88 by the same experimental setup. In brief, upon familiarization to treadmill walking, participants 89 wore a head-mounted VR display (Vive Pro, HTC Corporation, Taoyuan, Taiwan) and 90 performed an obstacle crossing training in virtual environment while walking at 1.3m/s. The 91 training consisted of crossing 50 unilateral virtual obstacles (height 10cm × depth 10cm × width 92 50cm) appearing at touch-down, 80cm in front of the participants' right (training) leg. After 93 this session, participants performed 50 crossing trials with their left (transfer) leg upon notice 94 about the change in legs. Participants were equipped with a 50-marker full body model (as in 95 Weber et al., 2022; 120Hz, Qualisys, Gothenburg, Sweden) to visualize their body in VR and 96

97 for kinematic analysis. Throughout all trials, participants wore a safety harness attached to the98 arch of the treadmill.

Obstacles were presented in the same sequence for all participants and for both legs. The 99 explicit goal for the gait perturbation task was to 1) cross the obstacle within a given target 100 range above the obstacle and 2) avoid crossing it below the target height. Enhanced awareness 101 through feedback information about toe clearance was provided via a color scale presented in 102 103 the participants' field of view (Fig. 1). A yellow section of the scale indicated 3-5 cm distance; a red section indicated a distance below the minimum target. A black, open circle on the scale 104 indicated the clearance of the participant's toe above the front edge of the virtual obstacle for 105 106 the previous crossing and remained displayed until the next obstacle crossing (Weber et al., 2022). Note that participants were not informed about the assignment of the colors to the 107 corresponding height above the obstacle. 108

109

#### 110 Insert Figure 1

111

#### 112 Data Processing

Three-dimensional coordinates of markers from motion capture were filtered using a low-pass 113 second-order zero-phase Butterworth filter with a 12Hz cut-off frequency. Foot take-off and 114 touchdown were determined using the foot contact algorithm of Maiwald et al. (2009). Toe 115 clearance was calculated as the difference between the height of the toe marker and the height 116 117 of the obstacle when that marker was above the leading edge of the obstacle (Weber et al., 2022). Sagittal plane hip, knee and ankle angles of the trained and transfers legs were calculated 118 119 for swing phases that were defined as the time between take-off and touchdown of the feet. Joint angles were calculated as in our previous study (Weber et al., 2022). In brief hip angle 120 was calculated using the hip center, femoral head center and knee joint center. Knee angle was 121 calculated using the hip joint center, knee joint center and ankle joint center. Ankle angle was 122

calculated using the knee joint center, ankle joint center and fifth metatarsal. All calculations
were performed using custom routines written in MATLAB (version 9.3.0, The Mathworks Inc,
Natick, MA, USA).

- 126
- 127 *Statistics*

Trial data were pooled for obstacles 1-3, 24-26 and 48-50 and named early, mid and late 128 adaptation, respectively (Weber et al., 2021). Obstacle crossing training was investigated 129 statistically through one-way repeated measures ANOVA with three levels (early, mid and late 130 adaptation) on toe clearance. Toe clearance data was normally distributed and heterogeneous 131 132 in all adaptation phases of the trained leg and early adaptation of the transfer leg. Due to two outliers (one each for mid and late adaptation) parametric assumption could not be confirmed 133 for these phases. These outliers were caused by natural variability hence we decided to consider 134 respective data for further analyses. Bonferroni post-hoc tests were applied in cases of 135 significance. Transfer effects were examined by comparing the data of early and late adaptation 136 of toe clearance of the trained leg with early adaptation of the transfer leg in separate paired 137 sample *t*-tests. Statistical Parametric Mapping (SPM; Pataky, 2010) *t*-tests were used to detect 138 effects of obstacle crossing training on transfer in sagittal plane joint angles (obstacle crossing 139 140 leg, swing phase) for early and late adaptation. Statistical analyses were performed using SPSS Statistics (version 27, IBM, Armonk, NY, USA) or open-source code SPM1d (version M.0.4.8, 141 http://www.spm1d.org) in MATLAB, with  $\alpha$  set at 0.05. All results in the text are presented as 142 143 mean  $\pm$  standard deviation.

144

#### 145 **Results**

146 Locomotor adaptations with repeated VR obstacle crossing

Whilst there were virtual collisions between participants' feet and obstacles during some of thetrials, these were not present in the statistically analyzed trials. Crossing virtual obstacles with

the right leg resulted in adaptation effects indicated by a decrease in toe clearance (Fig. <u>2</u>). The repeated measures ANOVA revealed statistically significant differences in toe clearance over repeated training for the trained right leg [F(1.24, 14.82)=13.78; p=0.001; Fig. <u>2</u>]. Toe clearance decreased over repeated training between early and late adaptation (from 7.84±2.74cm to 4.56±1.67cm; p=0.005) and between early and mid adaptation (values for mid adaptation, 5.27±2.11cm; p=0.013) but there was no significant difference between mid and late adaptation (p=0.185; Fig. <u>2</u>).

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# 157 Transfer of locomotor adaptations to the untrained leg

158 Paired *t*-tests revealed statistically significant differences in toe clearance between trained leg late adaptation and transfer leg early adaptation (p=0.007) with higher values for the transfer 159 leg (Fig. 2). Further, significantly greater knee joint extension during the swing phase between 160 161 0 and 21% and 65 and 84% (p<0.001), and hip joint extension between 0 and 65% (p<0.001; Fig. 3) were observed when comparing late adaptation (trained leg) with early adaptation 162 (transfer leg). When comparing early adaption of the trained and transfer legs during obstacle 163 crossing no significant or functionally relevant differences for toe clearance or any joint angle 164 trajectories over time were determined; only in the initial period of the swing phase (0 to 12%) 165 166 there were leg-differences in ankle joint angles (p=0.032). Similar to the trained right leg, ANOVA revealed statistically significant differences in toe clearance adaptation for the transfer 167 leg [F(2, 24)=7.09; p=0.004]. Toe clearance decreased over repeated training between early and 168 late adaptation (6.81 $\pm$ 3.04cm and 4.35 $\pm$ 2.03 cm; p=0.044) and between early and mid 169 adaptation (mid values,  $4.93\pm2.04$  cm; p=0.016) but there was no significant difference between 170 the mid and late adaptation (p=1.00; Fig. 2). Both legs toe clearance of all adaptation phases 171 was not significantly different between the trained and transfer leg (early, p=0.237; mid, 172 *p*=0.574; late, *p*=0.694). 173

175 Insert Figure 2

176 Insert Figure 3

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# 178 Discussion

This study investigated the effect of enhanced awareness of motor <u>adaptation</u> in young adults crossing virtual obstacles, and interlimb transfer of <u>adaptation</u>. The results indicate that crossing multiple virtual obstacles leads to locomotor skill adaptation but transfer between legs is limited even with constant feedback about crossing performance.

Motor adaptation effects in the current study are characterized by a reduction in toe clearance 183 184 between early and late as well as between early and mid adaptation. This in line with our previous investigation in which participants did not receive feedback about their crossing 185 performance (Weber et al., 2021). When visual feedback was available and an explicit 186 performance target for toe clearance was set, toe clearance during the 25<sup>th</sup> and 50<sup>th</sup> obstacle 187 were significantly smaller compared to the previous study (tested via an additional mixed 188 ANOVA, p<0.01; current data vs. previous publication Weber et al., 2021: 25<sup>th</sup>: 4.86±2.01cm 189 vs 11.69±7.20cm, 50<sup>th</sup>: 4.55±1.92cm vs. 8.96±4.18 cm) while baseline performance did not 190 differ (1<sup>st</sup>: 12.49±10.55cm vs. 14.21±5.01cm). Thus combined with our previous findings the 191 192 current study provides evidence that both rate and magnitude of refinements in locomotor skill using VR can be enhanced via feedback on one's performance. 193

Although locomotor skill <u>adaptation</u> was improved and participants were informed about limb change before starting the transfer task, we were unable to detect any interlimb transfer of adaptive changes in obstacle crossing. Toe clearance of the transfer leg (early adaptation) revealed higher values when compared to the trained leg (late adaptation), which were on average close to the values of the trained leg during early adaptation (Fig. <u>2</u>). Examination of the data for individual participants did not change the group-based conclusion for the absence of interlimb transfer. This might be further supported by our observations that acquisition was

not enhanced i.e. there were no differences between legs in early, mid, and late adaptation. 201 Moreover, lower extremity joint kinematics of the crossing limb were similar for early 202 adaptation of both trained and transfer legs, with functionally relevant interlimb differences 203 between late adaptation trained leg and early adaptation transfer leg. Though participants 204 adapted faster and with a higher magnitude with feedback (current study) than without feedback 205 (Weber et al., 2021) we found no interlimb transfer for both studies. Thus interlimb transfer 206 was not elicited by enhanced awareness through feedback and information about limb change 207 indicating that the acquisition of locomotor skills in a VR obstacle crossing task seems to be 208 limb specific. 209

210 It remains unclear why some studies revealed interlimb transfer (e.g. Kloter et al., 2012 and Van Hedel and Dietz 2002) and others did not (e.g. Bhatt et al., 2008). Relevant factors might 211 be the complexity and type of task, aspects of the cohort analyzed - including age, instructions 212 that were given, practice-dose, or the experimental protocol (Carroll et al., 2016; Joiner et al., 213 2013; Krishnan et al., 2018, Stockel and Wang, 2011; Wang et al., 2011). However, based on 214 our studies on VR obstacle crossing in two different sample groups (one with and the other 215 without feedback), we have no evidence that adaptive changes in locomotion are transferred 216 from one leg to the other in a VR condition. Thus, it remains controversial whether or not 217 218 explicit goals and enhanced awareness lead to interlimb transfer (Wang et al., 2011; Werner et al., 2019). Since we recently identified limited locomotor skill transfer from the virtual to the 219 physical world (Weber et al., 2022), we conclude that VR training using feedback enhances the 220 effectiveness of limb-specific locomotor skill adaptation but should be considered carefully for 221 applied settings given that transfer seems limited. 222

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#### 224 **Disclosure of interest**

225 The authors declare no conflicts of interest.

## 227 Availability of data and materials

The datasets used and/or analyzed during the current study are available from the corresponding
author on reasonable request.

230

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# 302 Figure Legends

303	Figure 1: Experimental design, feedback and awareness procedure. Feedback was provided
304	using a color scale with black open circle showing the position of the target area. Back view of
305	the environment containing a corridor, a model of the treadmill, avatar of the participant, virtual
306	obstacle and visual feedback about avoidance height. Participant crossing the 1 <sup>st</sup> (a) and 50 <sup>th</sup>
307	(b) obstacle with the trained leg and the 1 <sup>st</sup> (c) and 50 <sup>th</sup> (d) obstacle with the transfer leg. By
308	informing participants about leg change between (b) and (c) we enhanced their awareness of
309	the task.

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Figure 2: Adaptation of toe clearance for (a) trained leg and (b) transfer leg for obstacle crossing. Circles present mean values and grey shading presents standard deviations for all participants. Obstacles used to investigate adaptation (early, mid and late adaptation) are presented as white circles. (c) Mean and standard deviations (black) as well as individual values (grey circles) of early, mid and late adaptation for trained and transfer legs. + Significant difference to early adaptation for the corresponding leg. \* Significant difference between late adaptation trained leg and early adaptation transfer leg (p < 0.05).

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Figure <u>3</u>: Sagittal plane ankle, knee and hip joint angle trajectories of the crossing leg during swing phase for early and late adaptation trained leg and early adaptation transfer leg as means and standard deviations (blue and red shadings respectively) for VR obstacle crossing. Grey areas indicate significant differences between late adaptation trained leg and early adaptation transfer leg. For comparison between early adaptation of the trained and transfer leg only the ankle joint showed significant differences at the initiation of the swing phase (0 to 12% period).