

1 **Retention and generalizability of balance recovery response adaptations from trip-**
2 **perturbations across the adult lifespan**

3 Matthias König^{1*}, Gaspar Epro¹, John Seeley¹, Wolfgang Potthast² & Kiros Karamanidis¹

4

5 ¹Sport and Exercise Science Research Centre, School of Applied Sciences,

6 London South Bank University, London, United Kingdom;

7 ²Institute of Biomechanics and Orthopaedics, German Sport University Cologne, Cologne,

8 Germany.

9

10 *Correspondence to: M. König

11 Sport and Exercise Science Research Centre,

12 School of Applied Sciences, London South Bank University

13 103 Borough Road, London SE1 0AA, United Kingdom

14 E-mail: koenigm@lsbu.ac.uk

15 Tel: +44 20 7815 7937

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17 **Keywords:** Aging, falls, perturbation training, dynamic stability, motor learning

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19 **Abbreviated Title:** Retention/transfer of recovery response adaptations in aging

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21 **Article Type:** Research Article

22 **Word count:** 6236 words

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26 **Abstract**

27 For human locomotion, varying environments require adjustments of the motor system. We
28 asked whether age affects gait balance recovery adaptation, its retention over months and the
29 transfer of adaptation to an untrained reactive balance task. Healthy adults (26 young, 27
30 middle-aged and 25 older; average ages 24, 52 and 72 years respectively) completed two tasks.
31 The primary task involved treadmill walking: either unperturbed (control; $n=39$) or subject to
32 unexpected trip perturbations (training; $n=39$). A single trip perturbation was repeated after a
33 14-week retention period. The secondary transfer task, before and after treadmill walking,
34 involved sudden loss of balance in a lean-and-release protocol. For both tasks the
35 anteroposterior margin of stability (MoS) was calculated at foot touchdown. For the first (i.e.
36 novel) trip, older adults required one more recovery step ($P=0.03$) to regain positive MoS
37 compared to younger, but not middle-aged, adults. However, over several trip perturbations, all
38 age groups increased their MoS for the first recovery step to a similar extent (up to 70%), and
39 retained improvements over 14 weeks, though a decay over time was found for older adults
40 ($P=0.002$; middle-aged showing a tendency for decay: $P=0.076$). Thus, although adaptability
41 in reactive gait stability control remains effective across the adult lifespan, retention of
42 adaptations over time appears diminished with aging. Despite these robust adaptations, the
43 perturbation training group did not show superior improvements in the transfer task compared
44 to aged-matched controls (no differences in MoS changes), suggesting that generalizability of
45 acquired fall-resisting skills from gait-perturbation training may be limited.

46

47 **New & Noteworthy**

48 The human neuromotor system preserves its adaptability across the adult lifespan. However,
49 although adaptability in reactive gait stability control remains effective as age increases,

50 retention of recovery response adaptations over time appears to be reduced with aging.
51 Furthermore, acquired fall-resisting skills from single session perturbation training seem task-
52 specific, which may limit the generalizability of such training to the variety of real-life falls.

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71 **Introduction**

72 The aging human neuromotor system shows a gradual functional decline, which means a
73 diminished ability to produce effective and safe gait patterns during daily life, resulting in
74 higher fall risk. Falls in older adults can have severe functional consequences in the form of
75 various clinical conditions, disability or even death (Burns and Kakara 2018; Terroso et al.
76 2014). Epidemiological studies indicate fall incidence increases by middle age, i.e. by about 50
77 years of age (Donaldson et al. 1990). Given the demographic transition to an expanded older
78 population and higher life expectancy, the development of effective intervention strategies
79 aimed at prevention of falls in populations at higher fall risk is vital for public health.

80 Most falls in older adults occur during walking and more than 30% of these result from a trip
81 that causes sudden balance loss in the forward direction (Yang et al. 2018a). To ensure safe
82 onward locomotion during such unexpected balance disturbances, rapid compensatory motor
83 actions are required from the neuromotor system (Berger et al. 1984; Nashner 1980), but these
84 become less effective with the onset of middle age (Süptitz et al. 2013). Hence older age groups
85 are predisposed to higher fall risk. That being said, improvements in predictive and reactive
86 balance control strategies can take place (Bhatt et al. 2006). It is promising that even in old age
87 there is a capacity to enhance gait stability control following exposure to various laboratory-
88 induced gait perturbations (e.g. sudden changes in the walking surface, slips or trips; Bierbaum
89 et al. 2011; Epro et al. 2018a; Okubo et al. 2019; Pai et al. 2010; Wang et al. 2019a, 2019b;
90 Yang and Pai 2013). Moreover, such experimental protocols have revealed retention of balance
91 recovery response adaptations over prolonged time periods (i.e. several months to years),
92 resulting from single-perturbation training sessions in middle-aged (König et al. 2019) and
93 older adults (Bhatt et al. 2012; Epro et al. 2018b; Liu et al. 2017; Pai et al. 2014). These results
94 provide evidence that even a very small number of external perturbations to gait can induce
95 retainable task-specific balance control strategies in the aged neuromotor system. Therefore

96 older adults' fall risk in daily life may possibly be reduced, at least for the practiced perturbation
97 type (Rosenblatt et al. 2013).

98 In a previous study (Epro et al. 2018b) we found that adaptations in older adults' reactive
99 recovery responses to a sudden trip were retained over 14 weeks, though these responses were
100 significantly smaller than the acute effects from a single perturbation training session (i.e. there
101 was partial retention). It is unclear, however, whether the decay in the retention of recovery
102 response adaptations over time is dependent on the participants' age. Regarding this issue, Pai
103 et al. (2010) showed more rapid reduction of improvements in balance recovery behaviour due
104 to repeated slips in older compared to younger adults after merely a short wash-out period of
105 unperturbed walking. Combining these results with earlier studies demonstrating that locomotor
106 adaptations in general may be smaller and/or occur at a lower rate for older groups (Bierbaum
107 et al. 2011; Bohm et al. 2012; Bruijn et al. 2012; McCrum et al. 2016), one might suggest that,
108 although the capacity for adaptation in the human balance control system is preserved with
109 increasing age, various aspects of learning (i.e. adaptation rate, retention) may be diminished.

110 An additional and crucial aspect of neuromotor capacity, which is generally assessed in relation
111 to learning effects, is the ability to transfer the acquired adaptations from one situation to
112 various alternative contexts, in this case to transfer the improvement in balance recovery
113 mechanisms from perturbation training to different postural challenges. There is evidence to
114 support such generalization of adaptations, at least between different conditions of the same
115 task (e.g. from training gait-slips on the treadmill to a 'novel' overground slip, or from
116 simulated slips on a moveable platform to an untrained slip on an oily surface; Bhatt and Pai
117 2009; Lee et al. 2018; Parijat and Lockhart 2012; Wang et al. 2019c; Yang et al. 2013, 2018b).
118 It remains largely unknown, however, whether such adaptations are limited to a specific task or
119 can improve recovery performance for other reactive balance tasks (inter-task transfer) and
120 whether this is affected by age. This is of particular importance for the development of targeted

121 fall prevention strategies in aged populations since real-life falls can result from a variety of
122 postural threats.

123 The present study aimed to examine acute adaptations in reactive gait stability control due to
124 repeated trip-like perturbations, the retention of those adaptations over several months and their
125 transfer to an untrained reactive balance task (the lean-and-release task) in young, middle-aged
126 and older adults. We hypothesised that older adults are capable of inducing long-term
127 adaptation in their reactive gait stability control but that (i) the adaptation occurs at a lower rate,
128 (ii) decays at a faster rate and (iii) transfers less effectively to an untrained task than for the
129 young and middle-aged. The results of this study have significance for our understanding of the
130 dynamics of the human neuromotor system in relation to both acute external influences
131 (perturbations) and to longer-term internal (aging) constraints.

132

133 **Methods**

134 *Participants*

135 Twenty-six young (15 of them men; 24.1 ± 3.5 yr; mean and standard deviation), twenty-seven
136 middle-aged (13 men; 52.4 ± 5.3 yr) and twenty-five older adults (11 men; 72.0 ± 4.4 yr) took
137 part in this study. The height and body mass for each group were 176.8 ± 8.4 cm and $70.0 \pm$
138 11.0 kg for the young, 173.5 ± 11.0 cm and 78.3 ± 13.7 kg for the middle-aged, and 169.7 ± 7.9
139 cm and 75.3 ± 14.1 kg for the older adults respectively. People were excluded if they had any
140 neurological or musculoskeletal impairments of the lower limbs (e.g. joint pain during
141 locomotion). The participants were generally healthy and showed comparable self-reported
142 physical activity levels (7.1 ± 3.4 , 7.3 ± 4.3 and 6.5 ± 3.3 h week⁻¹ for young, middle-aged and
143 older adults respectively). The study was approved by the ethics committee of the German Sport
144 University Cologne (ethical approval number 141/2017) and met all requirements for human

145 experimentation in accordance with the Declaration of Helsinki. All participants provided
146 written informed consent after initial briefing.

147 *Reactive balance tasks*

148 Our participants took part in two different tasks - a primary trip-perturbation task and a
149 secondary lean-and-release transfer task. They were randomly assigned to one of two groups
150 for treadmill walking (20-25 min each): to a control group (unperturbed walking only; 14
151 young, 13 middle-aged and 12 older adults) or to a perturbation training group (eight separate
152 unexpected trip-like perturbations; 12 young, 14 middle-aged and 13 older adults). Before and
153 after treadmill walking all participants were exposed to a secondary transfer lean-and-release
154 task. In order to examine the extent of retention of recovery response adaptations from trip-
155 perturbation and their variation across the adult lifespan, participants from the training group
156 performed a single trip-perturbation trial after 14 weeks (see also Fig. 1). After the perturbation-
157 training session and testing, participants experienced no other exposure to mechanically
158 induced perturbations, but were allowed to continue with their normal physical activities.

159

160 **Insert Figure 1**

161

162 *Analysis of gait stability after unexpected trip perturbation*

163 The gait-perturbation task and paradigm have been described in detail previously (Epro et al.
164 2018a, 2018b; König et al. 2019). Briefly, trip-like gait perturbations were applied during
165 treadmill walking using a manually-controlled custom-built pneumatic brake-and-release
166 system, which generates a constant restraining force of approximately 55 N (rise time about 20
167 ms) to the swing phase of the lower right limb via an ankle strap and Teflon cable. Treadmill-
168 walking familiarization took place for all participants about seven days prior to the training

169 session. After the lean-and-release task (please see *Analysis of inter-task transfer* below) the
170 protocol began with the participants walking at a standardised velocity of 1.4 m s^{-1} on a
171 treadmill (pulsar 4.0, h/p/cosmos; Nussdorf-Traunstein, Germany) while wearing the ankle
172 strap and a full-body safety harness connected to an overhead frame. The strap created a
173 negligible resistance of about 0.1 N and this had no effect on sagittal plane joint kinematics at
174 the instant of foot touchdown (TD; unpublished data). After four minutes of walking
175 (Karamanidis et al. 2003), a baseline measurement (25 stride cycles of unperturbed walking)
176 was recorded in each measurement session, from which baseline values of the analyzed
177 parameters were determined as the average over twelve consecutive steps (Epro et al. 2018b;
178 König et al. 2019). Following this baseline measurement, the resistance was applied at an
179 unexpected point in time for one step and immediately removed. In the present study this
180 specific step is referred to as the *perturbed step*. The pulling force was activated during the
181 stance phase of the right limb, just before the start of the swing phase and turned off during the
182 next stance phase of the same foot. Resistance was first perceivable at toe-off of the perturbed
183 step. By applying the external resistance over the entire swing phase the perturbation was
184 standardised from participant to participant. The onset and removal of the resistance were
185 unexpected, but participants were aware that their gait was going to be perturbed at some points
186 during walking. The perturbation was repeated eight times in total (eight *trials*), separated by
187 uneven two- to three-minute washout periods of unperturbed walking, and was delivered only
188 when participants' step length returned to individual baseline levels (checked in real-time
189 through visualization of the anteroposterior trajectories of toe markers; Epro et al. 2018a,
190 2018b; König et al. 2019; McCrum et al. 2014). The *trials 1* and *8* of the training session and
191 the retention test trial post 14 weeks were used for statistical analysis. These specific trials were
192 considered to represent the participants' initial and post-training performance, including its

193 retention. However, as it was the aim of this study to assess adaptation rate also, the trial-to-
194 trial changes within the training session were examined via *trials 2, 4 and 6*.

195 To assess dynamic stability during treadmill walking a reduced kinematic model (Süptitz et al.
196 2013), consisting of five retro-reflective markers attached to anatomical landmarks (seventh
197 cervical vertebra and the greater trochanter and forefoot of the left and right legs), was tracked
198 using a 10-camera optical motion capture system (120 Hz; Nexus 2.6.1; Vicon Motion Systems,
199 Oxford, UK). The 3D coordinates of the markers were smoothed using a fourth-order digital
200 Butterworth filter (cut-off frequency 20 Hz). The anteroposterior margin of stability (MoS), as
201 a valid measure for biomechanical stability of human walking (Bruijn et al. 2013), was
202 calculated at each foot TD for baseline gait, the perturbed step and the first six recovery steps
203 after each perturbation as the difference between the anterior boundary of the base of support
204 (anteroposterior position of the toe projection to the ground) and the extrapolated center of mass
205 (Hof et al. 2005). Furthermore, to account for inter-individual differences in gait stability, the
206 change in MoS during the perturbed step and first two recovery steps relative to baseline
207 walking during the same session was used to examine the recovery response during perturbed
208 gait ($\Delta\text{MoS}_{\text{Step}} = \text{MoS}_{\text{Step}} - \text{MoS}_{\text{Base}}$, calculated for each individual; Epro et al. 2018a), with
209 negative $\Delta\text{MoS}_{\text{Step}}$ values indicating a smaller MoS relative to baseline. Foot TD was detected
210 using two 2D accelerometers (1080 Hz; ADXL250; Analog Devices, Norwood, MA, USA)
211 placed over the tibia of each leg (Süptitz et al. 2012). The reduced kinematic model used here
212 has been validated previously for the assessment of dynamic stability (i.e. MoS) during
213 perturbed and unperturbed treadmill walking (Süptitz et al. 2013) with the same age groups,
214 perturbation task and gait velocity as in the current study. There were significant correlations
215 with a full-body kinematic model (on average $r = 0.90$, $P < 0.01$ across trials).

216 For evaluation of adaptations in dynamic stability control for *trial 2* and other even-numbered
217 trials in the training session, we calculated the adaptation magnitude for MoS in a similar
218 manner to Bierbaum et al. (2011) as follows:

219

$$220 \quad \text{Adaptation magnitude} = \left(1 - \frac{MoS_{AdaptPhase} - MoS_{Base}}{MoS_{T1} - MoS_{Base}} \right) \times 100$$

221

222 where $MoS_{AdaptPhase}$ is the MoS during the first recovery step in *trials 2, 4, 6* or *8*, MoS_{T1} is the
223 MoS during the first recovery step in *trial 1* of the training session and MoS_{Base} represents
224 baseline MoS, with positive magnitude values indicating a higher MoS relative to the first (i.e.
225 novel) trip perturbation trial.

226 *Analysis of inter-task transfer*

227 Within a 10-to-15-minute period before and after treadmill walking and perturbation training,
228 participants' dynamic stability was assessed in a separate laboratory via a single trial of the
229 lean-and-release protocol involving sudden anterior balance loss. The same marker set as
230 described above for trip perturbations was tracked by a 6-camera optical motion capture system
231 (120 Hz; Nexus 2.6.1; Vicon Motion Systems, Oxford, UK). This secondary transfer task was
232 conducted as described previously (Karamanidis and Arampatzis 2007). Briefly, participants
233 stood on a force plate (1080 Hz; 60 x 90 cm; Kistler, Winterthur, Switzerland) and, keeping
234 their feet flat on the ground, were tilted forward via a horizontal inextensible cable attached to
235 a body harness until $23 \pm 3\%$ of their body weight (BW) was recorded on a load cell placed in
236 series with the cable (see also Do et al. 1982; Thelen et al. 1997). After the given inclination
237 was reached and any possible anticipatory behavior had subsided (i.e. antero-posterior and
238 medio-lateral weight shift regulation, recorded via real-time cable force on the load cell and
239 center of pressure on the force plate), the cable was suddenly released after a random time

240 interval of 10 to 30 s using a custom-built pneumatic release system. Participants were
241 instructed to attempt to restore stable stance within a single recovery step using the limb of their
242 choice when released from the forward-leaning position (Madigan and Lloyd 2005). No
243 practice trials were conducted to ensure novelty of the task. The exact forward lean was chosen
244 according to the reduced ability of older individuals to regain stability with a single recovery
245 step from greater cable loads than 23% BW (Karamanidis et al. 2008). The anteroposterior MoS
246 was calculated at foot TD of the recovery limb after the sudden release as described above for
247 gait perturbations. Foot TD was defined as the first instant when vertical ground reaction force
248 exceeded a threshold level of 20 N determined by a second force plate (1080 Hz; 60 x 90 cm;
249 Kistler, Winterthur, Switzerland) mounted in front of the first. Validity of our main outcome
250 parameter MoS has been demonstrated in a previous study (Karamanidis et al. 2008), showing
251 that MoS during the recovery step predicts the recovery behavior (i.e. single vs. multiple
252 stepping) in about 96% of the cases for a large subject pool. In order to account for inter-
253 individual differences in the recovery response to the untrained transfer task, the change in MoS
254 during the first recovery step in the trial after the treadmill protocol relative to the first (i.e.
255 novel) trial was used to examine inter-task transfer of training effects ($\Delta\text{MoS}_{\text{Trial}} =$
256 $\text{MoS}_{\text{PostTrial}} - \text{MoS}_{\text{PreTrial}}$, calculated for each individual), with positive $\Delta\text{MoS}_{\text{Trial}}$ values
257 indicating a higher MoS relative to the pre-trial. Participants were secured by a full-trunk safety
258 harness connected to an overhead track that allowed for forward and lateral motion while
259 preventing contact of the body with the ground (with exception of the feet). The safety harness
260 suspension cable incorporated a second load cell to ensure that the measured MoS values were
261 not affected by potential cable assistance (i.e. > 20% BW placed on the safety harness
262 suspension cable at TD of the recovery limb after the sudden release; Cyr and Smeesters 2009).

263 *Statistics*

264 To examine the recovery response adaptations to the trip-perturbation task amongst the three
265 age groups (young, middle-aged and older), separate one-way ANOVAs were used to compare
266 the number of recovery steps needed to regain positive MoS (in the present study defined as a
267 criterion for a “stable” body configuration) in *trial 1* and *8* of the training session. For the
268 analysis of the adaptation potential, the adaptation magnitude for MoS during the first recovery
269 step was analyzed in *trials 2, 4, 6* and *8* of the training session. To assess the effect of age and
270 perturbation trial on adaptation magnitude we used a two-way repeated-measures ANOVA with
271 age group and trial as factors [hypothesis (i)]. The effect of age on the retention of recovery
272 response adaptations was assessed by means of a two-way repeated measures ANOVA with
273 age group and perturbation trial (*trial 8* of the perturbation-training session, retention test trial)
274 as factors applied separately for $\Delta\text{MoS}_{\text{Step}}$ (MoS referenced to baseline) during the perturbed
275 step and first two recovery steps [hypothesis (ii)]. For baseline MoS (average of 12 consecutive
276 steps of unperturbed walking with ankle strap attached, assessed prior to the first perturbation
277 trial of each measurement session), a further two-way repeated measures ANOVA with factors
278 age group and time point (perturbation-training session, retention test) was implemented. For
279 the analysis of inter-task transfer we calculated $\Delta\text{MoS}_{\text{Trial}}$ as the absolute change in dynamic
280 stability after a sudden forward fall from before to after treadmill walking, and for the control
281 group. To assess the effect of age and treadmill perturbation training on $\Delta\text{MoS}_{\text{Trial}}$ we used a
282 two-way ANOVA with age group and intervention group (training, control) as factors
283 [hypothesis (iii)]. In a case of significant main effects or interactions Duncan *post-hoc*
284 corrections were applied pairwise. The level of significance was set at $\alpha = 0.05$, with all results
285 presented as mean and SD. All statistical analyses were conducted using Statistica software
286 (Release 10.0; Statsoft Inc, Tulsa, OK, USA).

287

288 **Results**

289 *Changes in gait stability control to repeated trip perturbations*

290 Four participants (one middle-aged and three older adults) had to grasp the handrails of the
291 treadmill to cope with the tripping task and were removed from the analysis (none of the
292 younger adults failed to cope with the task). Accordingly, 26 young, 27 middle-aged and 22
293 older adults were considered for the statistical analyses.

294 Assessment of dynamic stability during treadmill walking revealed positive MoS during
295 baseline walking (average value over twelve consecutive steps) for all analyzed participants
296 with no statistically significant age group or time point effects (perturbation-training session
297 vs. retention test; Fig. 2). The unexpected gait perturbation caused a considerable decrease in
298 MoS (lower values compared to baseline) in all age groups (Fig. 2), indicating less stable body
299 positions. For the recovery response to the first (i.e. novel) unexpected perturbation, we found
300 a statistically significant age effect [$F(2,32) = 2.99, P = 0.05$] with the older adults requiring on
301 average one more recovery step to regain positive MoS compared to younger adults ($P = 0.03$;
302 Fig. 2). Although not significant ($P = 0.085$), there was a tendency to require a higher number
303 of recovery steps also in middle-aged compared to younger adults. After experiencing eight trip
304 perturbations, there were no statistically significant differences in the required number of
305 recovery steps to attain positive MoS amongst the three age groups (Fig. 2).

306

307 **Insert Figure 2**

308

309 We found a significant trial effect [$F(3,96) = 4.35, P = 0.01$] for the adaptation magnitude (*trial*
310 *2* vs. *trials 4, 6* and *8*; $0.01 \leq P \leq 0.02$), indicating smaller changes in MoS, and hence more
311 complete recovery, during the first recovery step in *trials 2, 4, 6* and *8* relative to *trial 1* of the
312 training session (Fig. 3). However, no significant trial by age group interaction was found,
313 which refutes our first hypothesis.

314

315 **Insert Figure 3**

316

317 *Retention of improvement in gait stability control over 14 weeks*

318 The retention test trial was performed on average 98 (± 4) days after the perturbation-training
319 session and revealed an improved recovery response compared to the first (novel) trip-
320 perturbation trial for all age groups (Fig. 2). The specific comparison of $\Delta\text{MoS}_{\text{Step}}$ (MoS
321 referenced to baseline) during the perturbed step in *trial 8* of the training session and the
322 retention test trial revealed a statistically significant trial effect [$F(1,32) = 18.01, P < 0.001$],
323 showing lower $\Delta\text{MoS}_{\text{Step}}$ values (more negative, $P < 0.001$) after 14-weeks and hence only
324 partial retention of recovery response adaptations, independent of age group (Fig. 4). However,
325 when considering the same comparison for the first two recovery steps after perturbation a
326 statistically significant trial by age interaction for both analyzed steps was found [$F(2,32) =$
327 $3.37, P = 0.05$ and $F(2,32) = 1.30, P = 0.05$ for the first and the second recovery steps
328 respectively]. This means that the effect of single session perturbation training on long-term
329 retention in training effects was age specific. Specifically, a significant decrease ($0.002 \leq P \leq$
330 0.01) in $\Delta\text{MoS}_{\text{Step}}$ during the first two recovery steps after 14 weeks (retention test trial vs. *trial*
331 *8* of the perturbation-training session) could be observed for the older but not for the young and
332 middle-aged adults (Fig. 4), supporting our second hypothesis. Note that, although non-
333 significant, there was a tendency ($P = 0.076$) for middle-aged adults also to have lower
334 $\Delta\text{MoS}_{\text{Step}}$ values in the retention test trial compared to *trial 8* of the perturbation-training session
335 (Fig. 4). Consequently, older adults showed lower ($0.03 \leq P \leq 0.04$) $\Delta\text{MoS}_{\text{Step}}$ values during
336 the second recovery step in the retention test trial compared to the two younger age groups (Fig.
337 4).

338

339 **Insert Figure 4**

340

341 *Dynamic stability changes for the lean-and-release task*

342 All age groups improved their recovery response to the sudden forward fall in the second trial
343 compared to the first (i.e. novel) trial as indicated by the positive $\Delta\text{MoS}_{\text{Trial}}$ values (Fig. 5).
344 However, contrary to our third hypothesis, the analysis of inter-task transfer of recovery
345 response adaptations from a single trip-perturbation training session revealed no statistically
346 significant main effects or interaction (i.e. intervention vs. control group) for $\Delta\text{MoS}_{\text{Trial}}$ for the
347 first recovery step in the transfer lean-and-release task ($P = 0.98$ for the age group by
348 intervention group interaction; Fig. 5).

349

350 **Insert Figure 5**

351

352 **Discussion**

353 We aimed to examine acute adaptations of reactive gait stability control due to repeated trip-
354 like perturbations, the retention of those adaptations over several months and transfer to an
355 untrained reactive balance (lean-and-release) task in young, middle-aged and older adults. Our
356 first hypothesis that adaptation to repetitive perturbation exposure would occur at a lower rate
357 in old age was rejected since all age groups rapidly, and to a similar extent, improved their
358 reactive gait stability control to the perturbation task (i.e. no differences in the trial-to-trial
359 adaptation were found). However, our second hypothesis was confirmed in that older adults
360 demonstrated a significant decrease in retention of acquired recovery response adaptations after
361 14 weeks (lower recovery performance in the retention test trial vs. *trial 8* of the training
362 session), which was not observed for younger adults (though with a tendency for a decrease in
363 the middle-aged group). Finally, despite robust gait adaptations, the perturbation training group

364 did not show superior improvements in the untrained transfer task in comparison to aged-
365 matched controls (no differences in $\Delta\text{MoS}_{\text{Trial}}$). Hence, while the capacity for adaptation in
366 reactive gait stability control remains high as people age and the acquired changes appear
367 limited in their generalizability independent of age, retention of adapted stability improvements
368 over a prolonged time seems reduced with aging.

369 *Balance recovery response and its adaptability to trip perturbation*

370 In *trial 1* of the perturbation-training session older adults needed more recovery steps (3 vs. 2)
371 to regain positive MoS values compared to younger adults (Fig. 2), which is in line with
372 previously reported deficiencies in the recovery response from sudden balance loss with aging
373 (Karamanidis and Arampatzis 2007; Pai et al. 2010; Pavol et al. 2002; Süptitz et al. 2013). Note
374 that for this study, and similar to previous work (Süptitz et al. 2013), we found a tendency for
375 middle-aged adults to require one more recovery step to regain positive MoS compared to
376 young adults (a difference that did not, however, reach statistical significance: $P = 0.085$),
377 potentially indicating that the ability to cope with a sudden trip has already begun to deteriorate
378 by middle age. The diminished recovery from tripping with increasing age has previously been
379 associated with reduced ankle push-off for older adults (Pijnappels et al. 2005). Moreover, Epro
380 et al. (2018a) recently found that in older adults higher triceps surae muscle strength and tendon
381 stiffness contribute to enhanced recovery responses to an unexpected trip, highlighting a
382 potential role in gait stability control for general age-related degeneration in leg-extensor
383 muscle-tendon unit capacities (Karamanidis and Arampatzis 2006; Onambele et al. 2006).

384 After experiencing eight trip perturbations, all age groups improved their recovery response
385 and needed fewer steps to regain positive MoS values following the trip-perturbation task (Fig.
386 2). More interestingly, we found no age-related differences in adaptation magnitude with
387 respect to dynamic stability irrespective of perturbation trial with a plateau in improvement
388 after only four perturbation trials (Fig. 3). Our results align with previous findings that show

389 remarkable improvements in stability control after merely a single perturbation exposure
390 (König et al. 2019; Marigold and Patla 2002; Owings et al. 2001) and similarly rapid recovery
391 response adaptations to repetitive gait-slip perturbations for young and older adults (Pai et al.
392 2010). These results together suggest that although aging may reduce one's ability to cope with
393 sudden perturbations to gait, older adults remain capable of developing robust balance control
394 strategies after merely a few gait perturbations, which seems promising for application of
395 trip/slip training to frail, clinical populations or groups limited in their tolerance of higher
396 perturbation doses.

397 One might argue, however, that our perturbation paradigm may not permit a general conclusion
398 regarding the effect of age on the adaptability of the human balance control system since task
399 demand may have differed between age groups. Supporting this, we found remarkably lower
400 MoS values during the first recovery step for older compared to middle-aged and younger adults
401 in *trial 1* of the training session (-0.10 ± 0.10 m, -0.06 ± 0.03 m and -0.03 ± 0.05 m respectively;
402 see also Fig. 2). To deal with this issue a subgrouping of data was arranged with young and
403 older adults equal in MoS during the first recovery step in the initial perturbation trial. These
404 stability-matched subgroups consisted of eight young and eight older adults with respectively
405 the lowest and highest MoS values for the first recovery step (young, -0.06 ± 0.03 m; old, -0.06
406 ± 0.04 m). The subgroups still showed no differences in adaptation magnitude for dynamic
407 stability irrespective of adaptation phase ($P = 0.75$). Thus our current perturbation paradigm
408 revealed no evidence for age in having a negative effect on the rate of adaptation in reactive
409 gait stability control, though the issue of (initial) task demand and its possible effects on
410 adaptability should be examined in more detail in future investigations.

411 Given such rapid recovery response adaptations after merely a single perturbation trial, it seems
412 reasonable to suggest that the observed improvements may be driven foremost by the central
413 nervous system. Our perturbation paradigm consisted of repeated trip-like perturbations at

414 unexpected times, provoking involuntary prediction errors that may stimulate the central
415 nervous system to reorganise the motor programs relevant for stability control and hence
416 increase the system's robustness to similar future perturbations. Data from our previous study
417 (Epro et al. 2018a) using the same setup does indeed indicate that the observed recovery
418 response adaptations to the tripping task are accompanied by a refined neuromuscular control
419 of the perturbed step. These may benefit performance during the subsequent recovery steps.
420 That being said, it cannot be determined from the current findings whether the observed reactive
421 adjustments to the external perturbation occur solely at a spinal level as in previous observations
422 of motor output modulation, for example to repeated stumbling in complete low-thoracic spinal
423 cats (Zhong et al. 2012) or in human infants prior to independent walking (Lam et al. 2003;
424 Pang et al. 2003). Descending influence of supraspinal structures may also be involved (Dietz
425 et al. 1985; Dimitrov et al. 1996; Jacobs and Horak 2007; Mochizuki et al. 2009; Wittenberg et
426 al. 2017).

427 *Retention of recovery response adaptations after single-session perturbation training*

428 Aside from these short-term training effects, fall prevention strategies should target long-term
429 retention of the acquired recovery-response adaptations. Previous studies demonstrated
430 meaningful retention of improvements in reactive gait stability control over prolonged time
431 periods (i.e. several months up to years) following exposure to a single session of gait
432 perturbation in middle-aged (König et al. 2019) and older adults (Bhatt et al. 2012; Epro et al.
433 2018b; Pai et al. 2014). Nevertheless, after quite short periods of time (i.e. several minutes to
434 days) gait adaptive changes have been shown to wane more rapidly in older compared to
435 younger adults (Krishnan et al. 2018; Malone and Bastian 2016; Pai et al. 2010; Sombric et al.
436 2017). This provides evidence that, next to short-term adaptation, long-term retention of
437 recovery response adaptations may be diminished, to some degree, by aging.

438 As expected, all age groups showed a retention in training effects from the single perturbation
439 training session over 14 weeks as indicated by the improved recovery response in the retention
440 test trial compared to the first novel trip perturbation trial (Fig. 2). However, whereas we found
441 a minor but significant decrease in stability measures over time (*trial 8* of the training session
442 vs. the retention test trial; Fig. 4) for the perturbed step for all age groups, only older adults
443 demonstrated a significant drop in dynamic stability after the 14-week retention period for the
444 first two recovery steps. Together these results indicate that, independent of age, single-session
445 perturbation training leads to a partial retention in recovery response adaptations over several
446 months, with a more prominent decay over time with aging. This was supported by a trend for
447 a reduction in $\Delta\text{MoS}_{\text{Step}}$ over 14 weeks during the first recovery step for middle-aged but not
448 younger adults ($P = 0.076$). One might argue that our result of a diminished ability to retain
449 acquired recovery response adaptations with aging may be of limited importance in view of its
450 marginal significance level ($P = 0.05$). Additional support for our main finding was, however,
451 achieved when considering only young and older adults in our analysis since we found a highly
452 significant trial by age interaction at $P = 0.01$. In order to investigate this further we analyzed
453 additionally the recovery stepping behavior in the retention test trial, finding that older adults
454 on average required one more recovery step to regain positive MoS compared to younger and
455 middle-aged adults ($0.01 \leq P \leq 0.05$; note that there were no age-related differences in the
456 number of recovery steps in *trial 8* of the training session). Therefore our results clearly suggest
457 that although all age groups were able to adapt rapidly their reactive response to the trip-
458 perturbation task to a similar extent (as indicated by the plateau in learning effects, Fig. 3),
459 retention in those improvements over prolonged time seems diminished with aging. The ability
460 to retain a learned motor skill has been shown previously to involve a distributed network within
461 the central nervous system including the primary motor cortex (Cantarero et al. 2013; Centeno
462 et al. 2018; Hadipour-Niktarash et al. 2007), and different to that engaged in motor task

463 acquisition (Galea et al. 2011; Shadmehr and Holcomb 1997). Thus one possible explanation
464 for the observed deterioration in the ability of older adults to retain perturbation training-
465 induced adaptations may be inhomogeneous changes in brain function with aging (e.g. due to
466 non-uniform regional brain changes; Raz et al. 2005), possibly affecting motor memory more
467 than the ability to adapt motor behavior rapidly.

468 *Transfer of recovery response adaptations to the untrained lean-and-release task*

469 Although a vital aspect of neuromotor capacity is the ability to apply acquired adaptations from
470 one situation to various contexts, the topic of inter-task transfer has rarely been investigated to
471 date. In the present study we investigated potential transfer of balance recovery response
472 adaptations after a single perturbation training session to the recovery from a sudden forward
473 fall. The perturbation and lean-and-release tasks were chosen based on their shared stability
474 control mechanisms (i.e. establishing a new base of support in the anterior direction and
475 reducing the anterior velocity of the center of mass), possibly facilitating transfer of adaptations.
476 However, despite such task similarities and the meaningful improvements (~70%) in reactive
477 gait stability control following repeated exposure to unexpected gait-trip perturbations,
478 participants from the perturbation-training group did not show superior adaptations to the
479 untrained lean-and-release task compared to age-matched controls (no perturbation training,
480 Fig. 5), meaning that inter-task transfer of acquired fall-resisting skills (at least from single-
481 session treadmill-perturbation training) may be limited. We acknowledge that this might be
482 achieved if the number of perturbation-training sessions were increased, though the dose-
483 response relationship for generalizability of training effects from treadmill-perturbation training
484 needs to be examined in future investigations. Further, given the slightly higher stability
485 adaptations to the lean-and-release task in older adults compared to the two younger age groups
486 (Fig. 5), one might argue that exposure to the novel transfer task required older adults to adapt
487 more to the sudden balance loss due to possible age-related differences in task demand. Indeed

488 we found negative MoS values during the recovery step only in the majority of older adults
489 (whereas middle-aged and younger adults regained positive MoS already by the first step; data
490 not shown). This is in line with our results for the trip-perturbation task. However, when
491 excluding young and middle-aged adults from our transfer analysis we still found no differences
492 in the stability improvements between the training and the control group ($t(73) = 0.24$; $P =$
493 0.82). Thus this single trial effect confirmed the above findings of a high adaptation potential
494 of the human balance control system irrespective of age. One may conclude that the observed
495 improvements for the perturbation training group in the ‘untrained lean-and-release task can be
496 associated with rapid adaptability rather than transfer in recovery response adaptations from a
497 single perturbation training session.

498 Transfer of acquired motor behavior across tasks has been associated previously with similarity
499 in motor programs (i.e. the relative timings and weightings of muscle activity; Manoel et al.
500 2002). This is supported by the notion that generalizability of recovery response adaptations
501 has been found for different conditions of the same task (e.g. from gait-slips on a moveable
502 platform to an untrained slip on an oily surface; Bhatt and Pai 2009; Parijat and Lockhart 2012)
503 assisted possibly by a more robust motor output (Santuz et al. 2018). Thus one might argue that
504 despite certain task similarities, critical task parameters (e.g. muscle activity patterns, muscle-
505 tendon unit lengths, body dynamics), and hence modular organization of motor output, still
506 differ, thereby limiting inter-task transfer of training effects. A study of Rosenblatt et al. (2013)
507 showed reductions in older adults’ trip-related, but not all-cause, falls after four sessions of
508 treadmill trip-perturbation training. Combining those results with ours points to the need for
509 more-specific exercise-based fall prevention training if fall risk in aged populations is to be
510 reduced (Grabiner et al. 2014). Therefore one potential avenue of research may be to explore
511 the neuronal correlates determining generalizability of adaptations to the balance control system
512 in order to provide more closely targeted fall-prevention strategies. In summary, we put forward

513 the hypothesis that motor task acquisition is rapid, task-specific and independent of age, but
514 retention of these learning effects is age-dependent (Fig. 6).

515

516 **Insert Figure 6**

517

518 *Limitations*

519 With regard to the applied perturbation paradigm, one might argue that the participants may
520 have anticipated the perturbation onset after repeated practice of the task and thereby
521 *predictively* modified their gait, favouring increased effectiveness of the recovery response
522 (Pater et al. 2015). On account of this, trip perturbation was delivered only when participants'
523 step length returned to individual baseline levels. Hence we observed no significant differences
524 in MoS during the step prior to the perturbation (about 200 ms before perturbation) compared
525 with baseline. That being said, while we argue that the perturbed step is primarily feedback-
526 driven due to the short time window for possible predictive adjustments to gait after onset of
527 the perturbation, adaptations in the subsequent recovery steps may be partially predictive. Thus
528 we cannot fully exclude the possibility that laboratory settings involving perturbations may lead
529 to a heightened state of awareness supporting (undetected) predictive adjustments of gait.
530 Another potential limitation relates to a validity constraint of the MoS calculation (Hof et al.
531 2005), in that pendulum length (distance between axis of rotation and center of mass) may not
532 always remain constant during perturbed walking due to possible knee joint angle changes
533 during the ground contact phase. However, in our earlier trip perturbation studies (McCrum et
534 al. 2014; Süptitz et al. 2013) we found no substantial changes in pendulum length during the
535 trip perturbation trials, whereas intra and inter-individual variability in the recovery responses
536 was large. Further, one might argue that generalizability of perturbation training effects cannot
537 be disentangled from a 'single trial effect' to the transfer task which may vary between

538 participants. However, the training and control groups were relatively large and homogeneous
539 in their initial performances and therefore inter-subject variability is unlikely to have a
540 significant effect on our main findings.

541

542 *Conclusions*

543 The present results indicate that although adaptability in reactive gait stability control remains
544 effective across the adult lifespan, the retention of recovery response adaptations over time
545 appears to diminish with aging, suggesting that initial adaptations to reactive gait stability
546 control may not necessarily predict their long-term retention for different age groups.
547 Moreover, these robust adaptations to trip-perturbation training did not further improve the
548 performance in an untrained reactive balance task compared to age-matched controls. Therefore
549 the generalizability of acquired fall-resisting skills from gait-perturbation training may be
550 limited.

551

552 **Disclosure of Interest**

553 The authors report no conflicts of interest.

554

555 **Acknowledgements**

556 We thank Thomas Förster and Jürgen Geiermann and their teams for technical assistance. MK
557 was funded by a postgraduate scholarship from the German Social Accident Insurance
558 (Deutsche Gesetzliche Unfallversicherung).

559

560 **Author contributions**

561 M.K. and K.K. conceived and designed the research; M.K. and G.E. performed the experiments;
562 M.K., G.E. and K.K. analyzed data; M.K., G.E., J.S., W.P. and K.K. interpreted the results of
563 experiments; M.K. and K.K. prepared figures; M.K. and K.K. drafted the manuscript; M.K.,
564 G.E., J.S., W.P. and K.K. edited and revised the manuscript; M.K., G.E., J.S., W.P. and K.K.
565 approved the final text.

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740

741 **Figure legends**

742 **Figure 1:** Experimental protocol of the two reactive balance tasks. Treadmill gait-perturbation
743 training consisted of eight trials (T1-T8) of a trip-perturbation task, separated by uneven two-
744 to three-minute washout periods of unperturbed walking. Before and after treadmill walking
745 the participants were exposed to a transfer lean-and-release task. Retention of adaptations in
746 reactive gait stability control was analyzed 14 weeks later by means of a single retention test
747 trial (RET) of the trip-perturbation task.

748

749 **Figure 2:** Margin of stability (MoS) measurements for the trip-perturbation training session
750 and the retention test. Data are given for baseline walking (Base), for touchdown at perturbation
751 (Pert) and for the following six recovery steps after the perturbation (Reco1-Reco6) in young
752 ($n = 12$), middle-aged ($n = 13$) and older adults ($n = 10$). Values represent the first (T1), second
753 (T2) and subsequent alternate trials (T4, T6 and T8) of the trip-perturbation training session
754 and the retention trip trial (RET), and are expressed as means with SD error bars.

755

756 **Figure 3:** Adaptation magnitudes for the initial trip-perturbation training session. Values
757 represent the adaptation in the margin of stability at touchdown for the first recovery step for
758 the second (T2) and subsequent alternate trials (T4, T6 and T8) referenced to the first trip-
759 perturbation trial in young (YA; $n = 12$), middle-aged (MA; $n = 13$) and older adults (OA; $n =$
760 10). Values are expressed as means with SD error bars. * represents a statistically significant
761 difference with respect to T2 ($P < 0.05$).

762

763 **Figure 4:** Margin of stability changes ($\Delta\text{MoS}_{\text{Step}}$) for *trial 8* of the initial perturbation training
764 session (T8) and the retention trial (RET). $\Delta\text{MoS}_{\text{Step}}$ values are referenced to baseline walking.
765 Data are given for touchdown at perturbation (Pert) and for the following two recovery steps
766 (Reco1 and Reco2) in young (YA; $n = 12$), middle-aged (MA; $n = 13$) and older adults (OA; n
767 = 10). Values are expressed as means with SD error bars. Statistically significant differences at
768 the level $P < 0.05$: ‡ = older compared to young and middle-aged adults; * = compared to *trial*
769 8 of the initial perturbation training session. (t) = tendency to significance, *trial 8* of the
770 perturbation-training session compared to the retention test trial ($P = 0.076$).

771

772 **Figure 5:** Margin of stability changes ($\Delta\text{MoS}_{\text{Trial}}$) for touchdown of the recovery limb for the
773 transfer lean-and-release task. $\Delta\text{MoS}_{\text{Trial}}$ values are referenced to the first lean-and-release trial
774 (i.e. before treadmill walking). Data are given for young (YA), middle-aged (MA) and older
775 adults (OA) of the control group [unperturbed treadmill walking; $n = 39$ (14 young, 13 middle-
776 aged and 12 older)] and perturbation-training group [single trip-perturbation session; $n = 35$ (12
777 young, 13 middle-aged and 10 older)]. Values are expressed as means with SD error bars.

778

779 **Figure 6:** A schematic illustration of the adaptability of the human balance control system to
780 trip perturbations. (a) While motor task acquisition may be independent of age as indicated by

781 the observed similar rates and magnitudes of balance recovery response adaptations due to trip-
782 perturbation training in young (YA), middle-aged (MA) and older adults (OA) (Training),
783 retention of learning may diminish with increasing age (Detraining). (b) Although we observed
784 meaningful reactive response adaptations to gait-trip perturbation training, we found no
785 evidence for transfer of training effects to an untrained reactive balance task (the lean-and-
786 release task), despite the similarity of the dynamic stability control mechanisms (i.e.
787 establishing a new base of support in the anterior direction and reducing the anterior velocity
788 of the center of mass). This suggests limited generalizability of acquired fall-resisting skills due
789 to a single perturbation-training session.